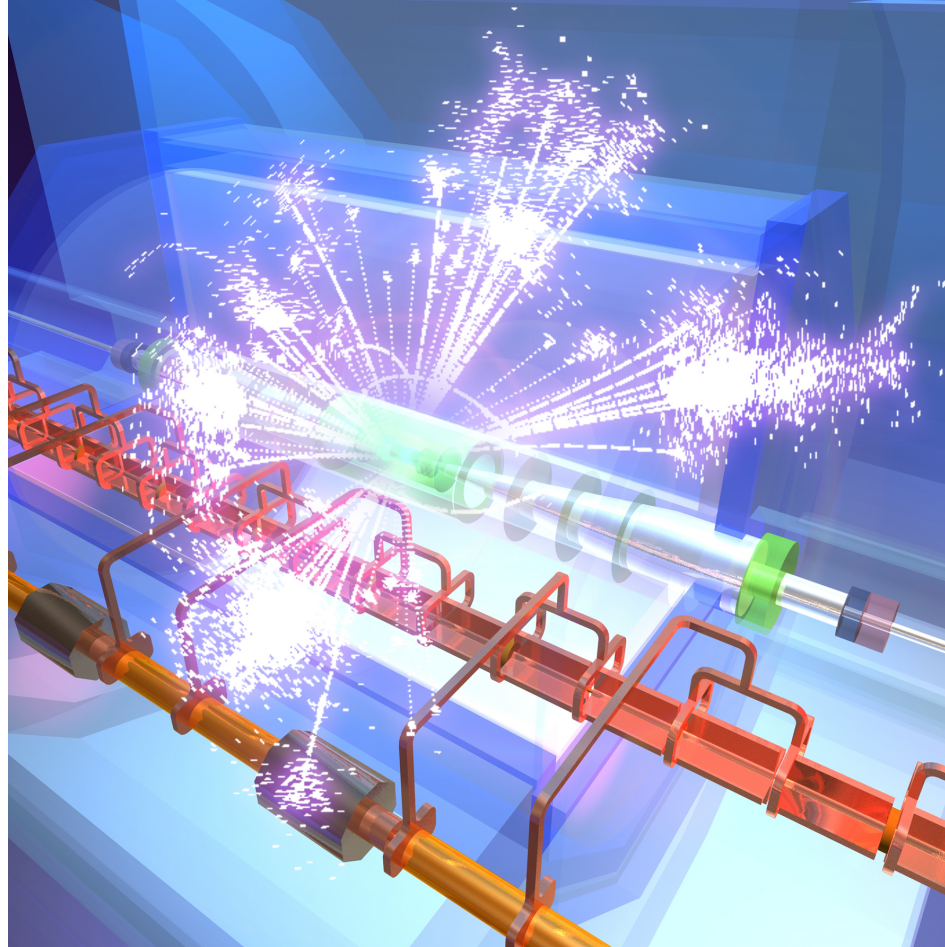




Particle Flow Calorimetry*

Mark Thomson
University of Cambridge



*with an LC bias



Outline

This talk:

- 1) What is Particle Flow Calorimetry ?
- 2) Calorimetry Goals at the ILC
- 3) Linear Collider Detector Concepts
- 4) Particle Flow Reconstruction
- 5) Particle Flow Performance
- 6) Beyond Particle Flow
- 7) Summary



1) What is Particle Flow?



What is Particle Flow ?

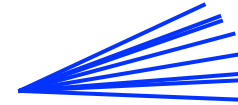
- ★ No strict definition of **particle flow calorimetry...**
 - Move away from pure calorimetry
 - **Move towards full event reconstruction**
 - Use **tracks** to correct/determine jet energies
- ★ Used in three main contexts:
 - “Energy flow”
 - Use tracks to correct jet energies
 - “Particle flow/Full event reconstruction” e.g. CMS
 - Aim to reconstruct particles not just energy deposits
 - “High granularity particle flow” e.g. ILC
 - Technique applied to detector concept optimised for particle flow



Traditional Calorimetry

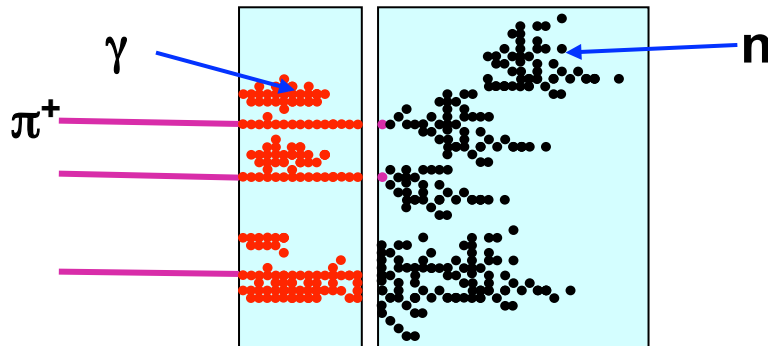
★ In a typical jet :

- ◆ 60 % of jet energy in charged hadrons
- ◆ 30 % in photons (mainly from $\pi^0 \rightarrow \gamma\gamma$)
- ◆ 10 % in neutral hadrons (mainly n and K_L)



★ Traditional calorimetric approach:

- ◆ Measure all components of jet energy in ECAL/HCAL !
- ◆ ~70 % of energy measured in HCAL: $\sigma_E/E \approx 60\% / \sqrt{E(\text{GeV})}$
- ◆ Intrinsically “poor” HCAL resolution limits jet energy resolution



High quality tracking
information not used

$$E_{\text{JET}} = E_{\text{ECAL}} + E_{\text{HCAL}}$$



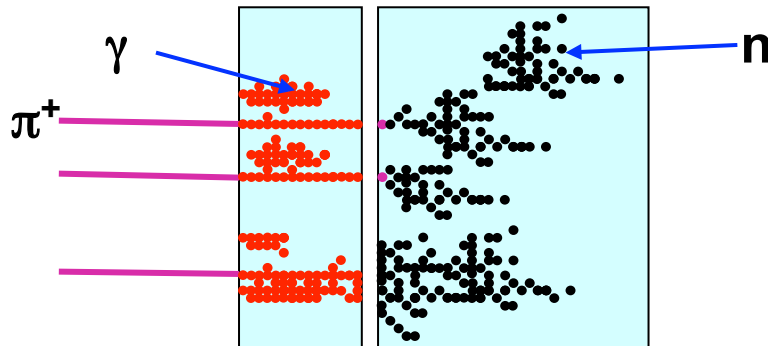
Particle Flow Paradigm

★ Particle flow approach:

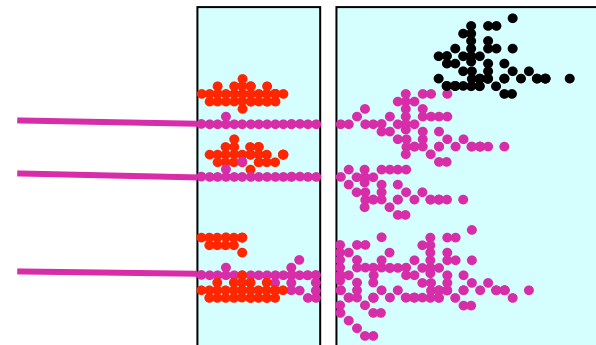
- ◆ Try and measure energies of individual particles
- ◆ Reduce dependence on intrinsically “poor” HCAL resolution

★ Idealised Particle Flow Calorimetry paradigm:

- ◆ charged particles measured in tracker (essentially perfectly)
- ◆ Photons in ECAL
- ◆ Neutral hadrons (and ONLY neutral hadrons) in HCAL
- ◆ Only 10 % of jet energy from HCAL \Rightarrow improved jet energy resolution



$$E_{\text{JET}} = E_{\text{ECAL}} + E_{\text{HCAL}}$$



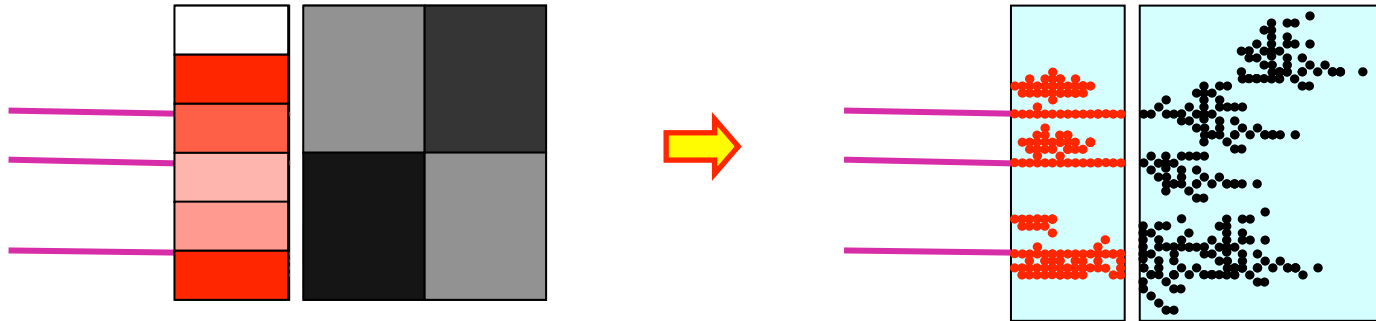
$$E_{\text{JET}} = E_{\text{TRACK}} + E_{\gamma} + E_n$$



Realising Particle Flow

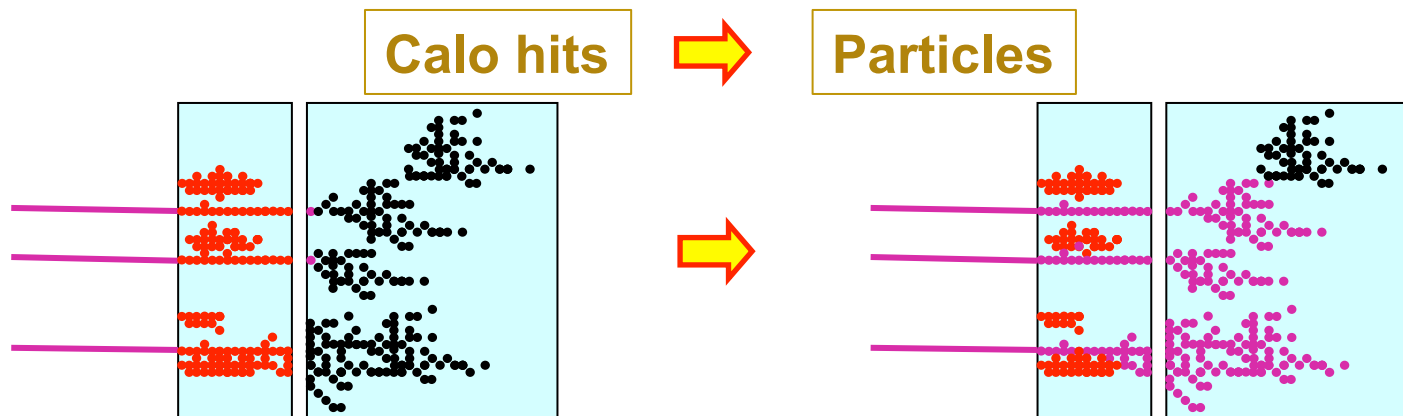
Hardware: need to be able to resolve energy deposits from different particles

- Requires highly granular detectors (as studied by CALICE)



Software: need to be able to identify energy deposits from each individual particle

- Requires sophisticated reconstruction software



Particle Flow Calorimetry = HARDWARE + SOFTWARE

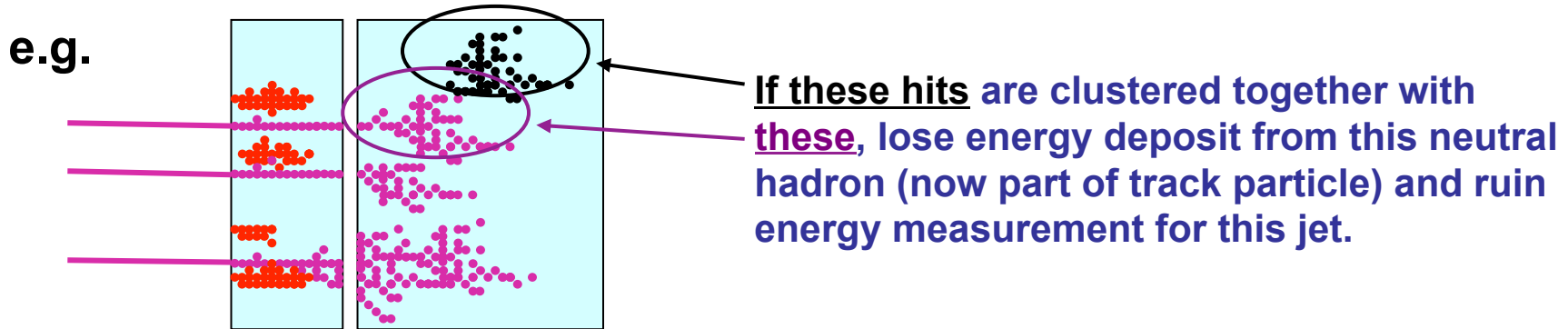


Particle Flow Reconstruction

Reconstruction of a Particle Flow Calorimeter:

- ★ Avoid double counting of energy from same particle
- ★ Separate energy deposits from different particles

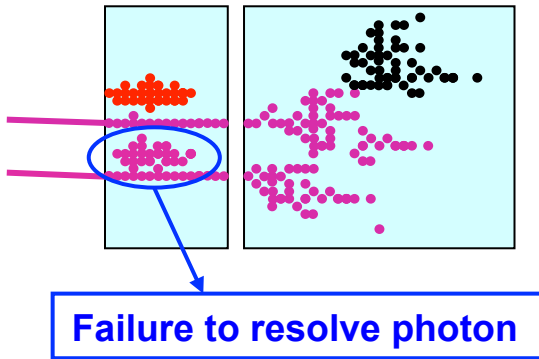
$$E_{\text{JET}} = E_{\text{TRACK}} + E_{\gamma} + E_n$$



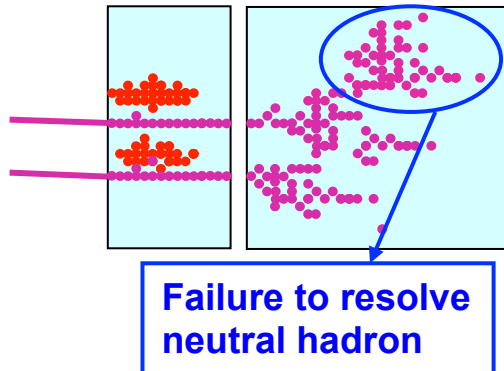
Level of mistakes, “confusion”, determines jet energy resolution

Three types of confusion:

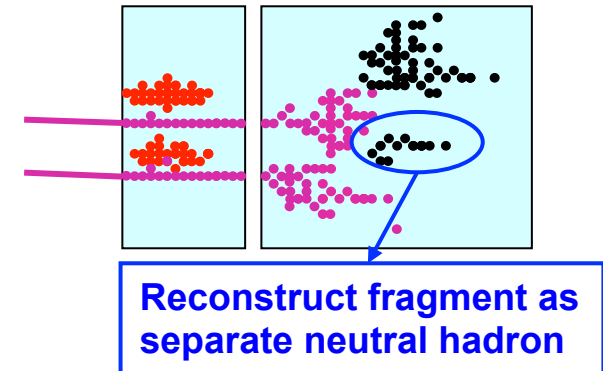
i) Photons



ii) Neutral Hadrons



iii) Fragments





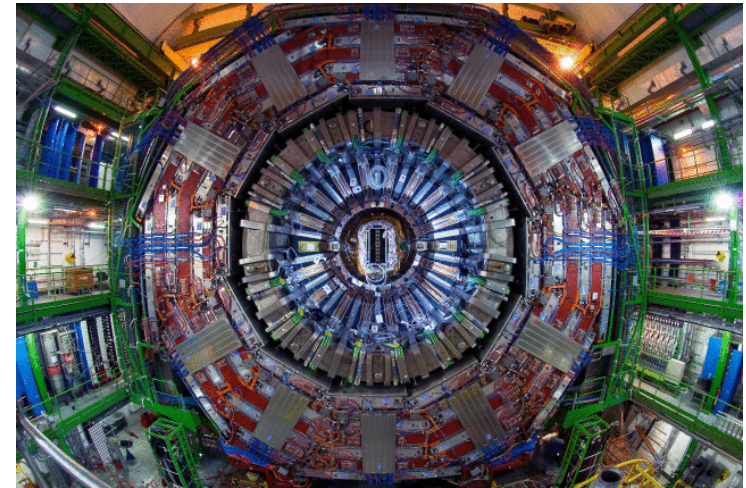
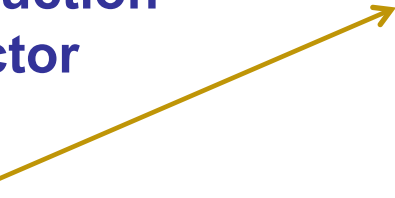
Practical Particle Flow

★ Particle flow reconstruction

- ◆ In practice, what one means by particle flow reconstruction depends on the detector

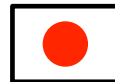
i) Reality

CMS



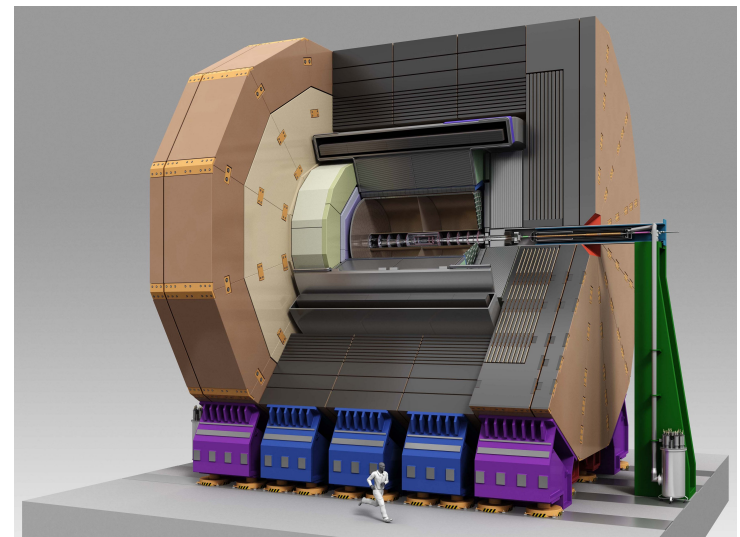
- ★ Apply particle flow techniques to an existing detector – see next talk

ii) Our dreams..



Soon to become reality?

ILD Concept for the ILC



- ★ Design the “ultimate” particle flow detector – this talk

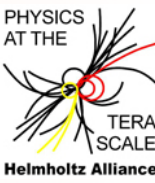


2) Calorimetry Goals for the ILC*

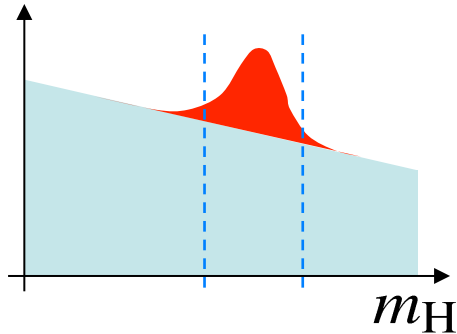
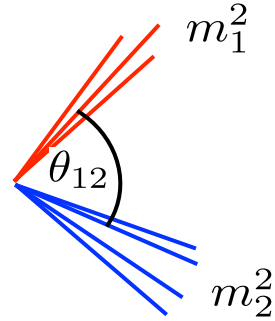
*or CLIC



Calorimetry at a Future e^+e^- Collider



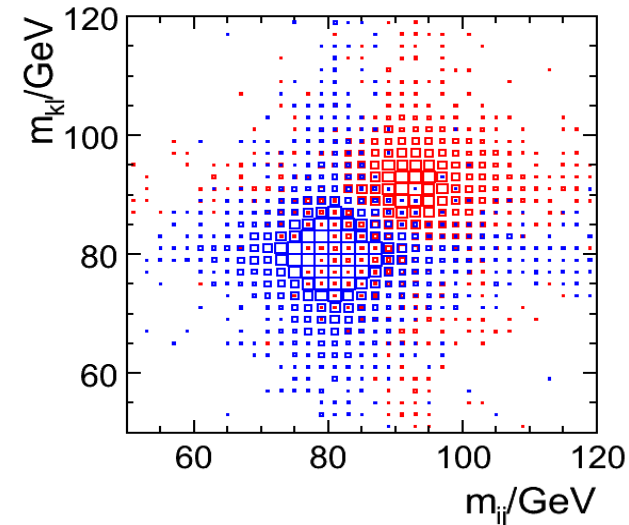
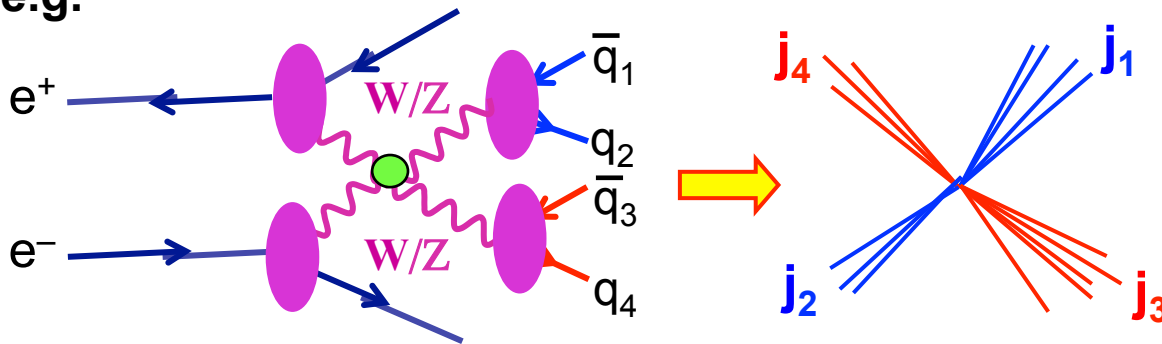
- ★ What motivates the jet energy requirements at a future LC ?
 - in part, depends on physics..
- ★ Likely to be primarily interested in di-jet mass resolution
 - For a narrow resonance, want **best possible di-jet mass res.**



$$\text{signif.} \propto \frac{S}{\sqrt{B}} \propto (\text{resolution})^{-\frac{1}{2}}$$

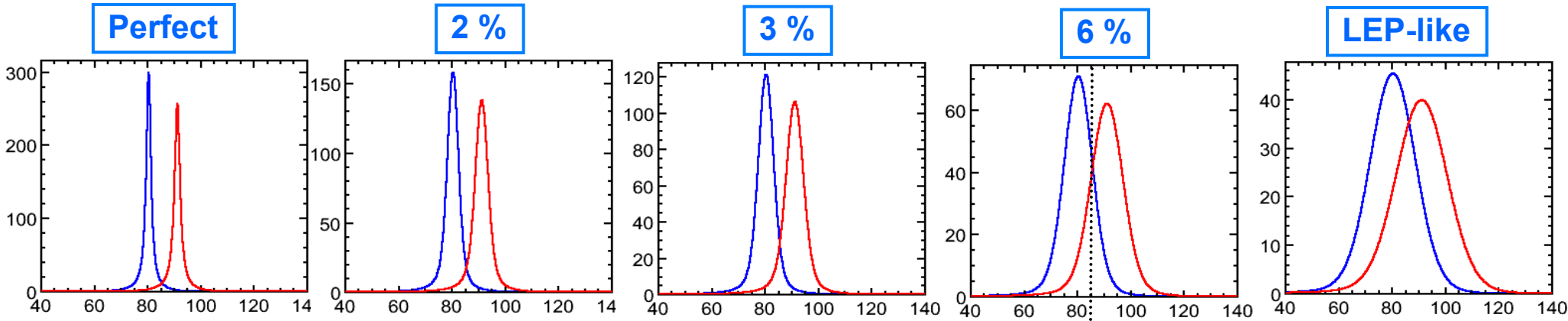
- + **strong desire** to separate W/Z hadronic decays

e.g.



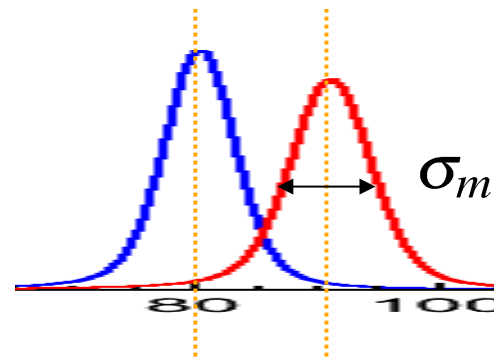


Gauge boson reconstruction



$$W/Z \text{ sep} = (m_Z - m_W) / \sigma_m$$

Jet E res.	W/Z sep
perfect	3.1 σ
2%	2.9 σ
3%	2.6 σ
4%	2.3 σ
5%	2.0 σ
10%	1.1 σ



Defined as **effective**
Gaussian equivalent
Mass resolution

- 3 – 4 % jet energy resolution give decent W/Z separation 2.6 – 2.3 σ
- sets a **reasonable** choice for Lepton Collider jet energy **minimal** goal **~3.5 %**
- for W/Z separation, not much to gain beyond this as limited by W/Z widths



LC Jet Energy Goals

ILC Goals: ~3.5 % jet energy resolution for 50 – 250 GeV jets

CLIC Goals: ~3.5 % jet energy resolution for 100 – 500 GeV jets

Can not be achieved with conventional calorimetry !



**High Granularity
Particle Flow**

Dual Readout

Unproven, not clear if viable
for a collider detector



3) Linear Collider Detector Concepts

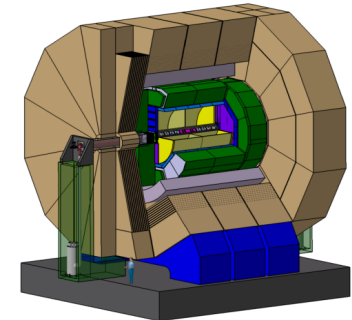


ILC Detector Concepts

- ★ **Designed from the outset for Particle Flow Calorimetry**
 - **ECAL and HCAL inside solenoid**
 - **low mass trackers – reduce interactions/conversions**
 - **high granularity imaging calorimeters**
- ★ **Design studies based on two concepts “proto-collaborations”:**

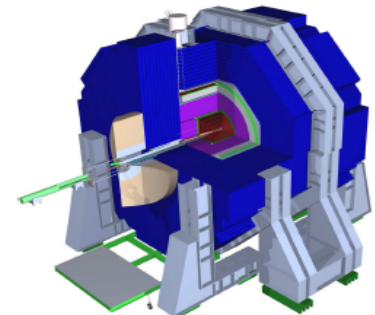
ILD: International Large Detector

“Large”	: tracker radius 1.8m
B-field	: 3.5 T
Tracker	: TPC
Calorimetry	: fine granularity particle flow



SiD: Silicon Detector

“Small”	: tracker radius 1.2m
B-field	: 5 T
Tracker	: Silicon
Calorimetry	: fine granularity particle flow

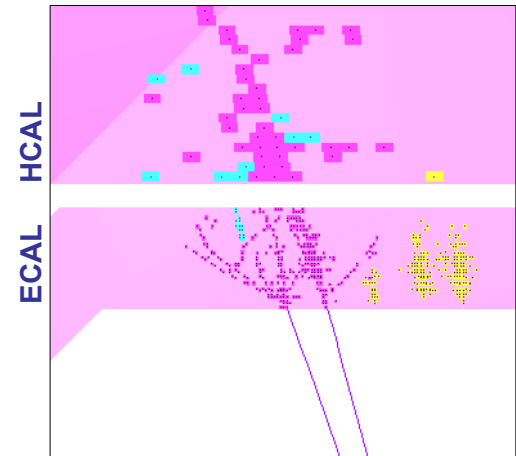
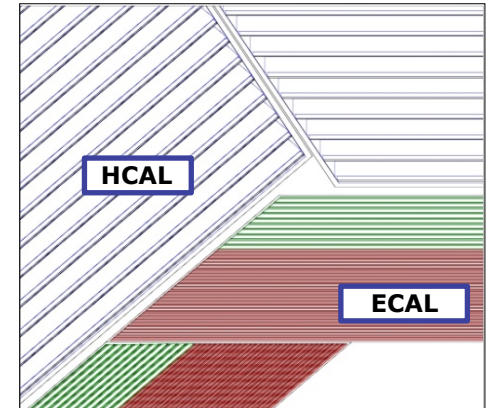




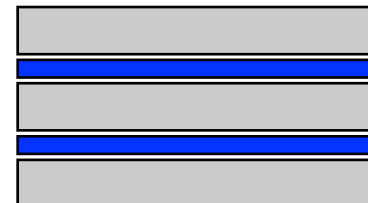
ECAL Considerations

- ★ Want to minimise transverse spread of EM showers
 - Require small Molière radius
 - High transverse granularity ~Molière radius
- ★ Want to longitudinally separate EM and Hadronic showers
 - Require large ratio of λ_I/X_0
 - Longitudinal segmentation to cleanly ID EM showers

Material	X_0/cm	ρ_M/cm	λ_I/cm	λ_I/X_0
Fe	1.76	1.69	16.8	9.5
Cu	1.43	1.52	15.1	10.6
W	0.35	0.93	9.6	27.4
Pb	0.56	1.00	17.1	30.5



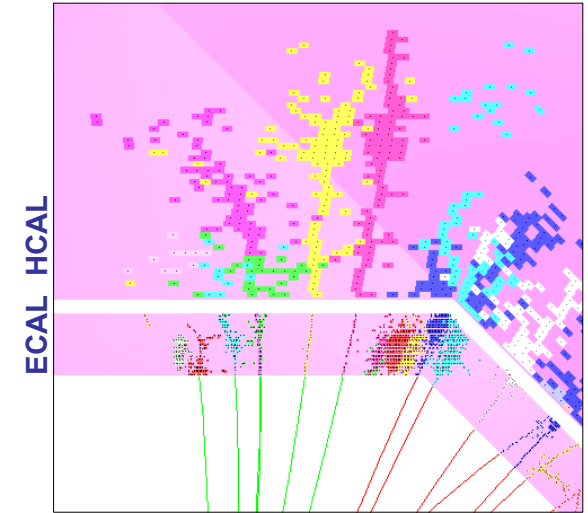
- ★ Favoured option : **Tungsten absorber**
 - Need 'thin' sensitive material to maintain small Molière radius





HCAL Considerations

- ★ Want to resolve structure in hadronic showers
 - Require **longitudinal** and **transverse** segmentation
- ★ Want to fully contain hadronic showers
 - Require small λ_1
- ★ HCAL will be large, so absorber cost & structural properties will be important



Material	X_0/cm	ρ_M/cm	λ_1/cm	λ_1/X_0
Fe	1.76	1.69	16.8	9.5
Cu	1.43	1.52	15.1	10.6
W	0.35	0.93	9.6	27.4
Pb	0.56	1.00	17.1	30.5



- ★ **Technological options under study**, e.g. by CALICE collaboration:
CAlorimetry for the **L**inear **C**ollider **E**xperiment



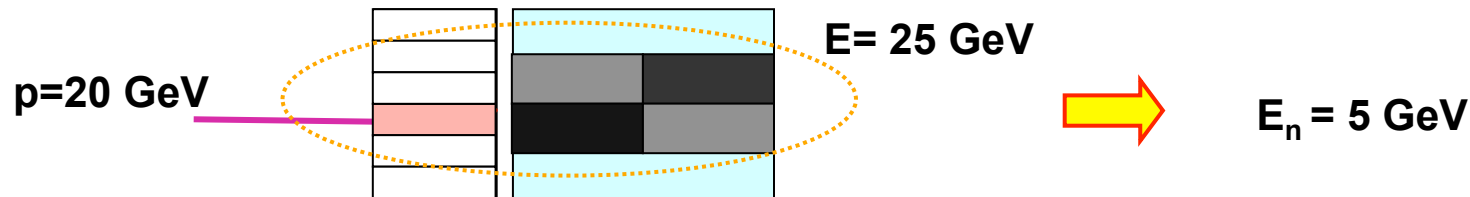
4) Particle Flow Reconstruction



“Energy Flow” vs “Particle Flow”

Energy flow

- ★ The idea *behind* particle flow calorimetry is not new
- ★ a *similar* idea was first (?) used by ALEPH NIM A360:481-506, 1995
 - ◆ Jet energies reconstructed using an “ENERGY FLOW” algorithm
 - ◆ Remove ECAL deposits from IDed electrons/photons
 - ◆ Left (mostly) with charged and neutral hadrons
 - ◆ **However**, insufficient HCAL granularity to identify neutral hadrons
 - ◆ Neutral hadrons identified as **significant** excesses of CALO energy



- ◆ Energy of neutral hadron obtained by **subtraction**: $E_n = E_{\text{calo}} - p_{\text{track}}$
- ⇒ $\sigma_E / E \sim 10\%$ jet E resolution for 45 GeV jets

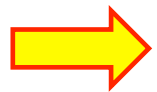
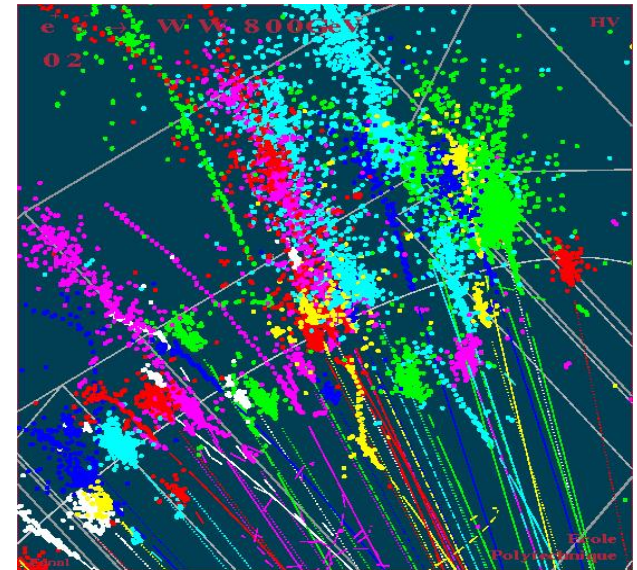
Particle flow

- ★ “PARTICLE FLOW” significantly **extends** this to highly granular calorimeters
 - ◆ Now directly **reconstruct neutral hadrons (not subtraction)**
 - ◆ Potentially much better performance -



Particle Flow Reconstruction

- ★ High granularity calorimeters – very different to previous detectors
- ★ “Tracking calorimeter” – requires a new approach to ECAL/HCAL reconstruction

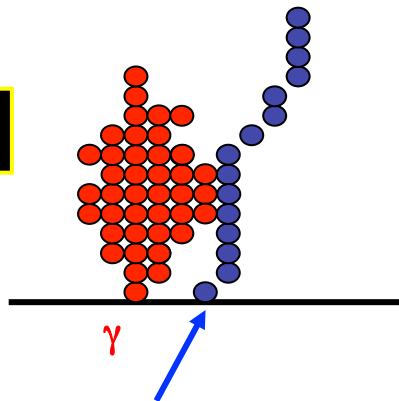


Particle Flow Algorithms (PFA)

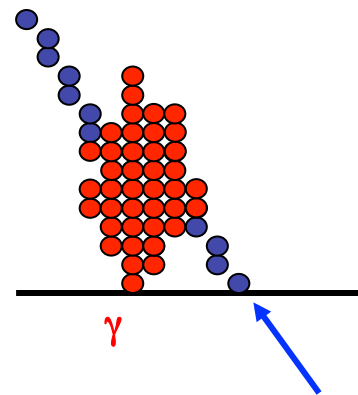
e.g.

- ★ Need to separate “tracks” (charged hadrons) from photons

hardware
granularity



software
PFlow Algorithm



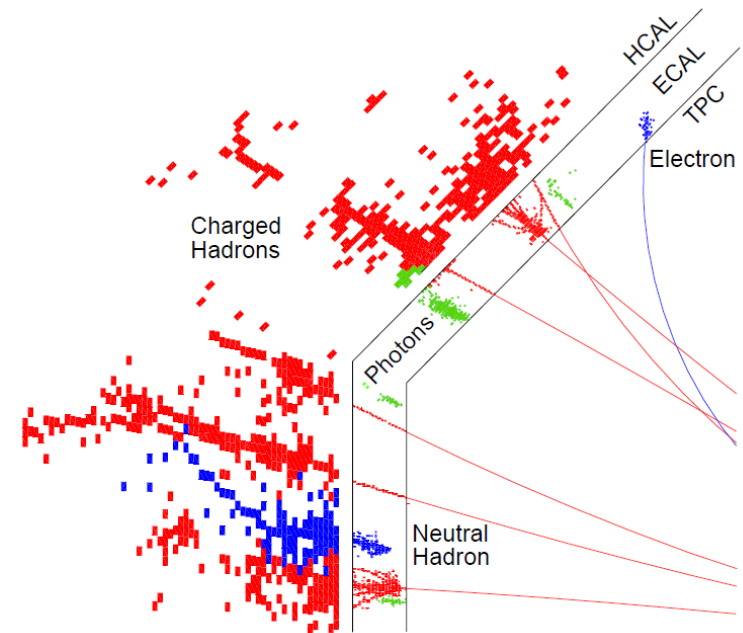


PandoraPFA

- ★ High granularity particle flow calorimetry **lives or dies** on the quality of the reconstruction of particles
- ★ Requires high-performance software, both in terms of:
 - algorithmic sophistication
 - CPU/memory usage – these are complex events with many hits

PandoraPFA

- ★ Almost all ILC/CLIC studies based on Pandora C++ **software development kit**
- ★ Provides highly sophisticated PFlow reconstruction for LC-style detectors
 - + flexibility for much more...

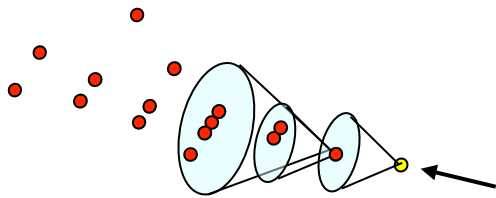


Typical topology of a simulated 250GeV jet in CLIC ILD

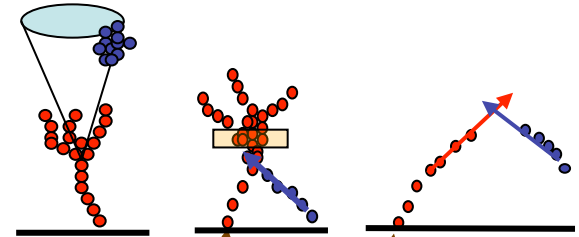


PandoraPFA Algorithms

M. Thomson, NIM 611 (2009) 24-40



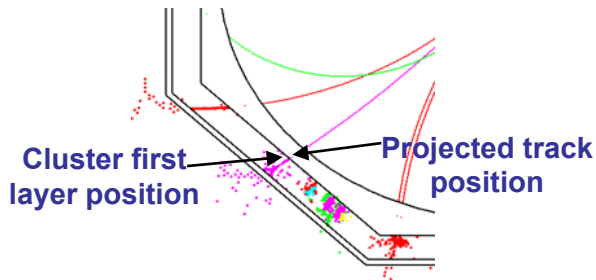
ConeClustering Algorithm



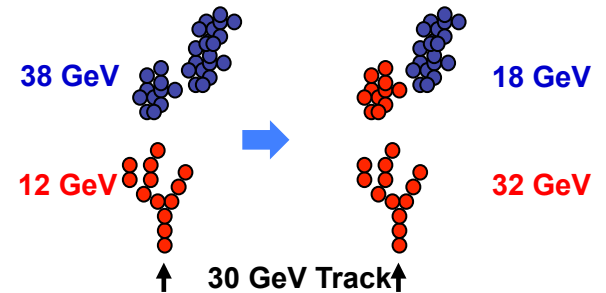
Cone associations

Back-scattered tracks

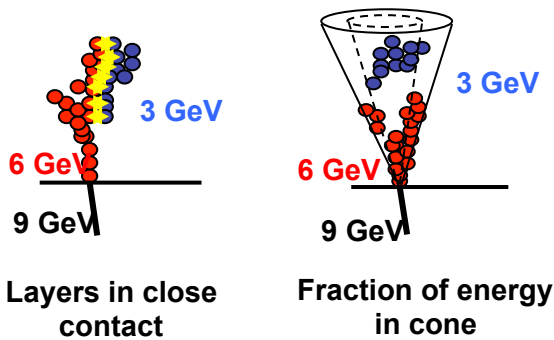
Looping tracks



Track-Cluster Association Algorithms

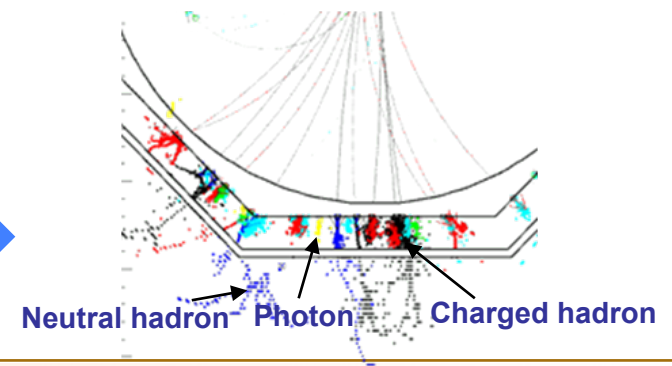


Reclustering Algorithms



Fragment Removal Algorithms

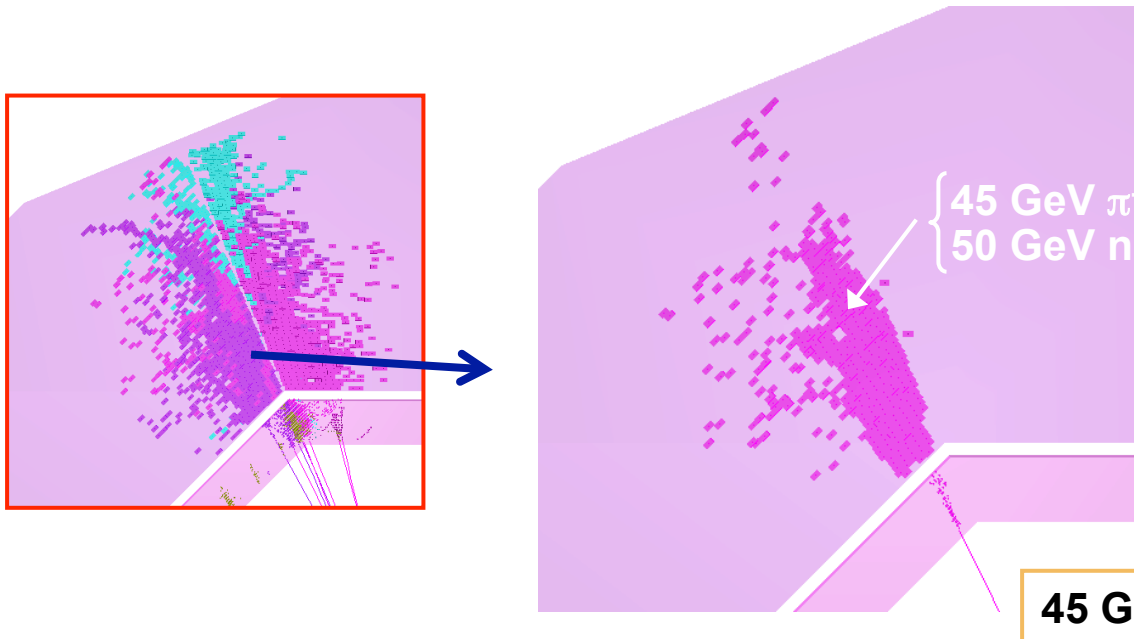
PFO Construction Algorithms





e.g. Iterative Reclustering

- ★ At some point, in high density jets (high energies) reach the limit of “pure” particle flow
 - ◆ For example can't resolve a neutral hadron in hadronic shower



But know something is wrong:

**e.g. 45 GeV track associated
with 95 GeV cluster**

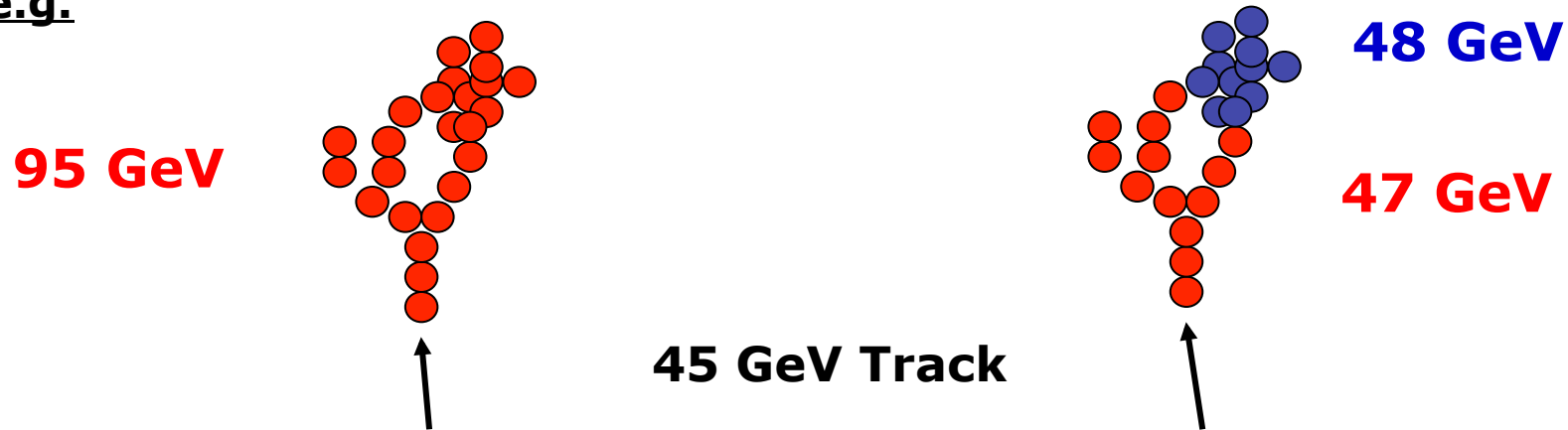
This case triggers the “statistical”
iterative reconstruction algorithm



The track comes to the rescue

★ If track momentum and cluster energy inconsistent : **RECLUSTER**

e.g.



Change clustering parameters until cluster splits
and get sensible track-cluster match

NOTE:

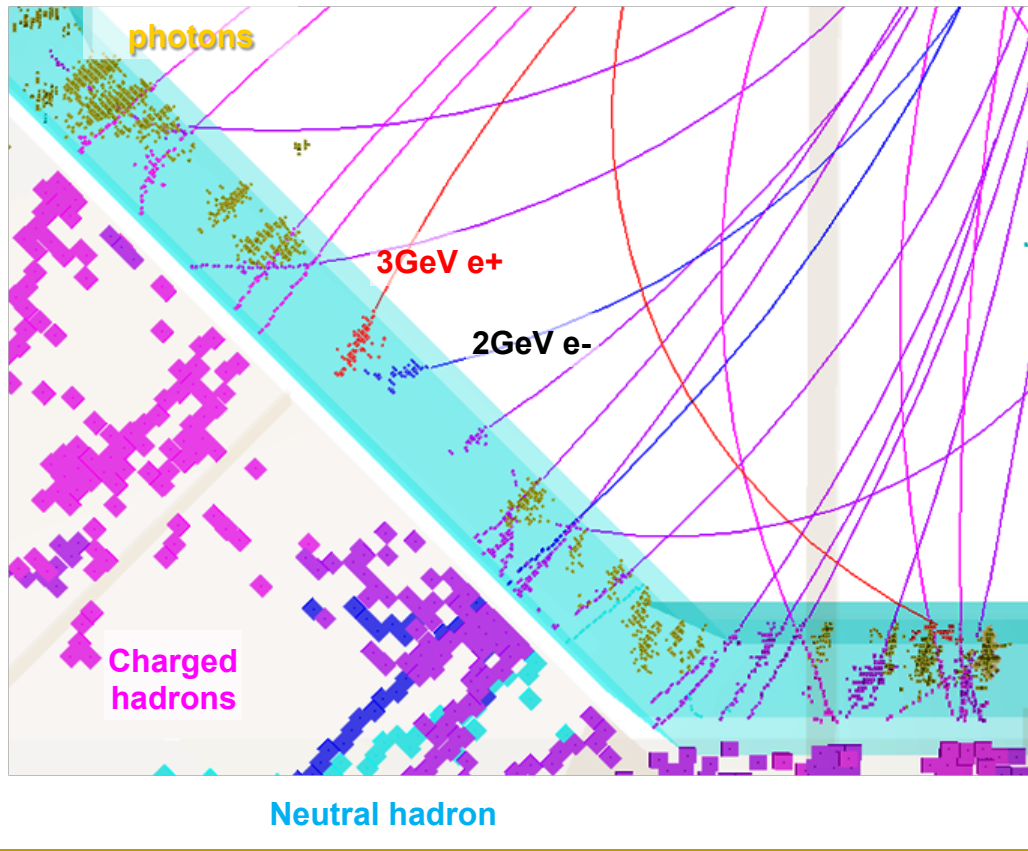
- as a result, clustering *guided* by track momentum
- for “simple cases” works very effectively
- for complex cases tends to energy subtraction “**Energy Flow**”

★ Smooth transition between pure **Particle Flow** and **Energy Flow**



Particle Flow Objects

Typical 250GeV Jet in ILD:



After all that:

Particle flow objects (PFOs)
built from tracks and clusters:

- List of reconstructed particles with energies and particle ID
- Build jets...
- Study physics performance

★ Assess performance of a Particle Flow detector using simulation...



5) Particle Flow Performance



Performance

★ Recall: motivation for high granularity PFlow Calorimetry

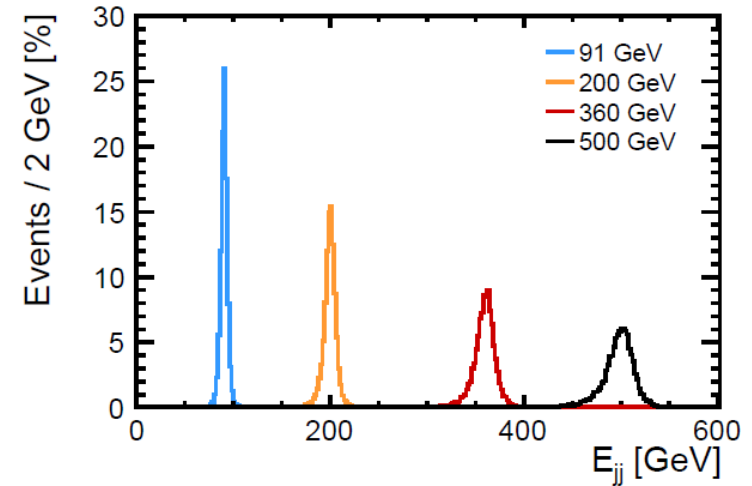
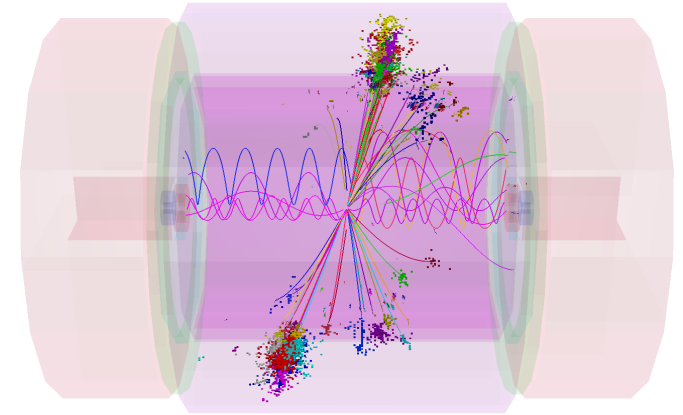
➡ Jet energy resolution: $\sigma_E / E < 3.5\%$

★ Benchmark performance using jet energy resolution in Z decays to light quarks

★ Use total energy to avoid complication of jet finding (mass resolutions later)

★ Current performance (PandoraPFA + ILD)

- uds jets (full GEANT 4 simulations)



rms₉₀

E_{JET}	σ_E / E_j
45 GeV	3.7 %
100 GeV	2.8 %
180 GeV	2.9 %
250 GeV	2.9 %

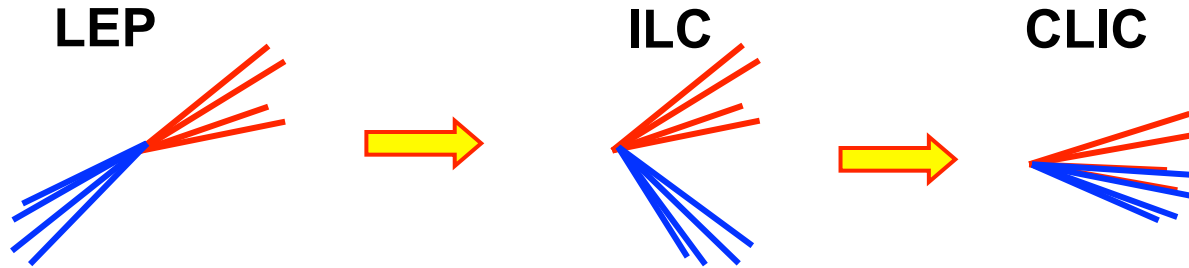
➡ GOAL MET !

★ Factor 2-3 better than traditional calorimetry !



PFA at Higher Energy

★ On-shell W/Z decay topology depends on energy:

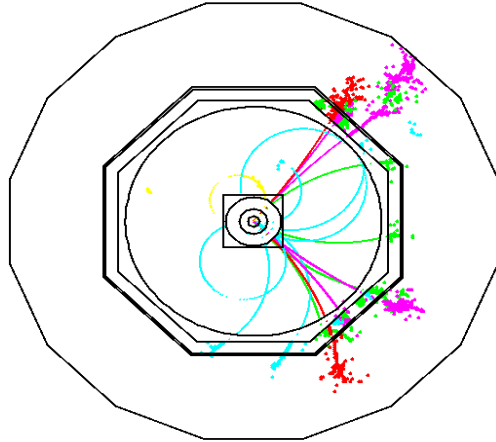


★ Note:

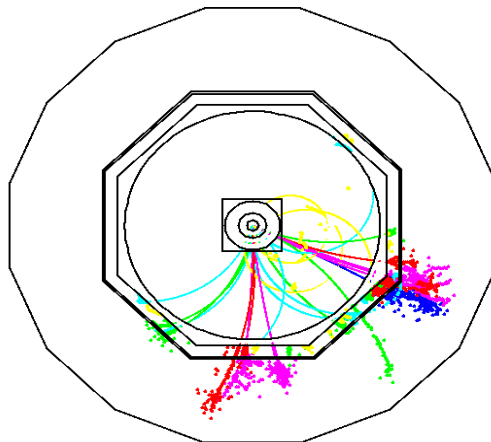
- Particle multiplicity does not change
- **Boost** means higher particle density
- For boosted jets – no sub-jet finding, just sum the 4-momenta of the PFOs !

More confusion !

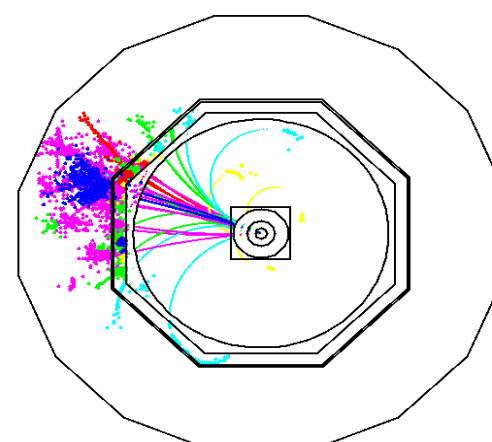
125 GeV Z



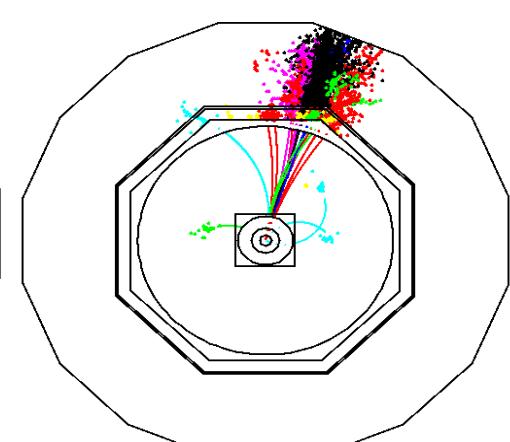
250 GeV Z



500 GeV Z



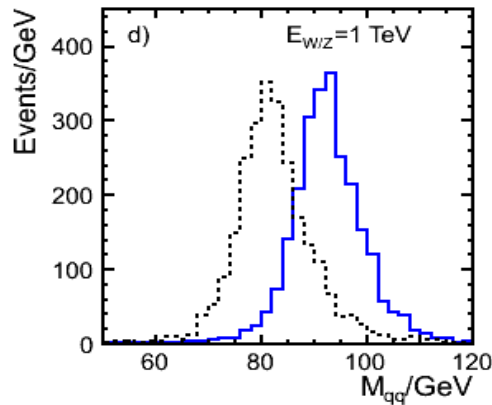
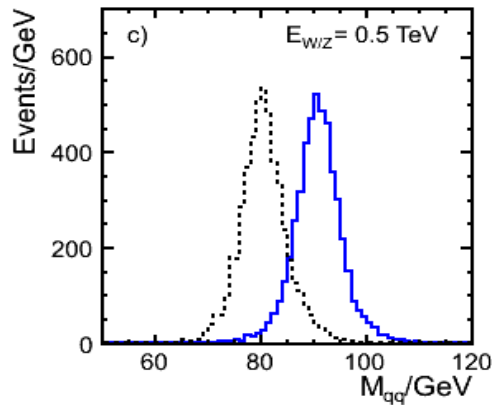
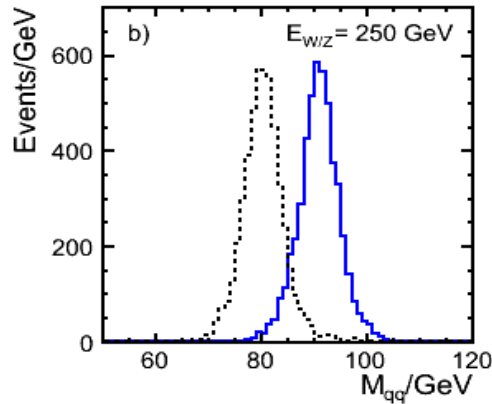
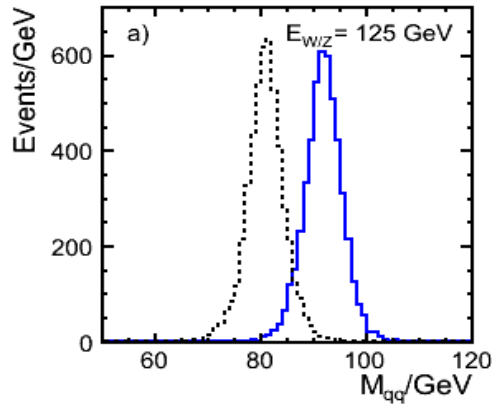
1 TeV Z



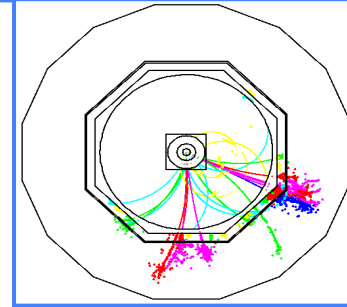


W/Z Separation

★ Studied di-jet/mono-jet masses in ILD concept $\left\{ \begin{array}{l} e^+e^- \rightarrow WW \rightarrow u\bar{d}\nu\mu \\ e^+e^- \rightarrow ZZ \rightarrow d\bar{d}\nu\bar{\nu} \end{array} \right.$

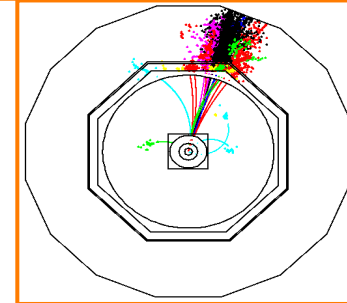


ILC-like energies



Clear separation
of W/Z di-jet
mass peaks

CLIC-like energies



W and Z still
resolved
from monojet
invariant mass

★ Impressive demonstration of power of Particle Flow at a Linear Collider



Gaugino Pair Production at 3 TeV

★ Have also demonstrated power of particle flow in physics analyses, e.g.

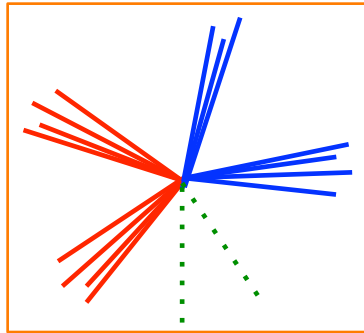
★ Pair production and decay:

$$e^+e^- \rightarrow \tilde{\chi}_1^+ \tilde{\chi}_1^- \rightarrow \tilde{\chi}_1^0 \tilde{\chi}_1^0 W^+ W^-$$

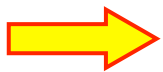
$$e^+e^- \rightarrow \tilde{\chi}_2^0 \tilde{\chi}_2^0 \rightarrow hh \tilde{\chi}_1^0 \tilde{\chi}_1^0 \quad \mathbf{82\%}$$

$$e^+e^- \rightarrow \tilde{\chi}_2^0 \tilde{\chi}_2^0 \rightarrow Zh \tilde{\chi}_1^0 \tilde{\chi}_1^0 \quad \mathbf{17\%}$$

★ Largest decay BRs have same topology for all final states

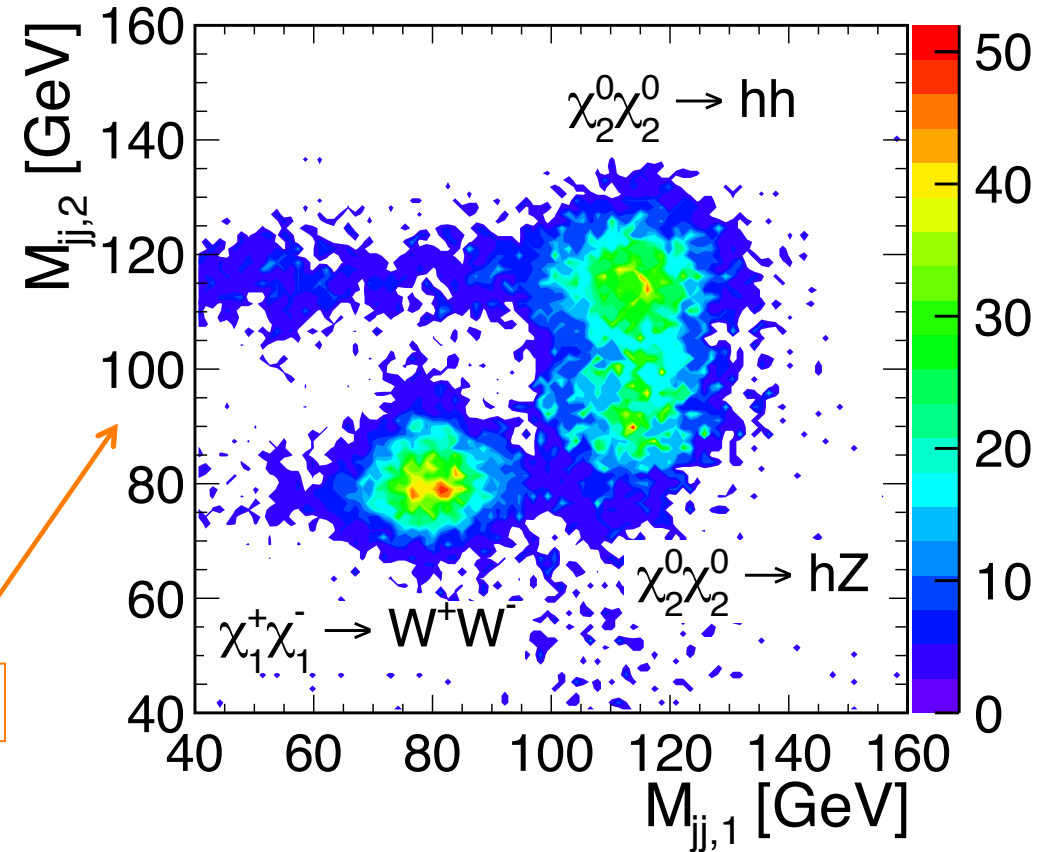


★ Separate using di-jet invariant masses



$$m(\tilde{\chi}_1^\pm) : \pm 7 \text{ GeV}$$
$$m(\tilde{\chi}_2^0) : \pm 10 \text{ GeV}$$

Full Simulation with background





6) Beyond Particle Flow Calorimetry

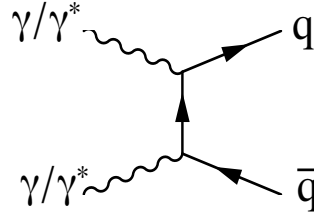


Its not just calorimetry

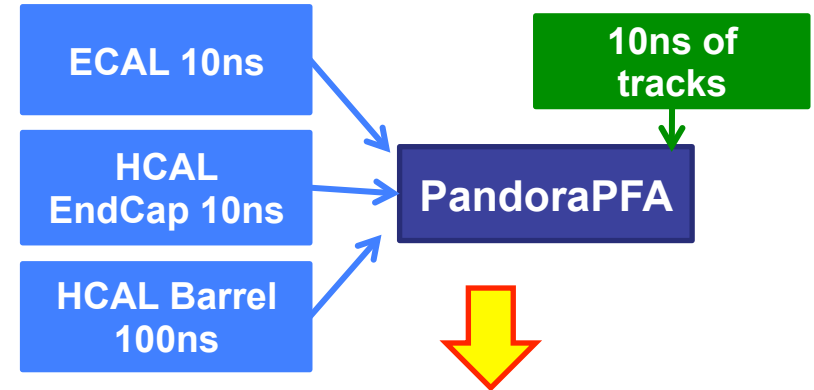
★ Working at **reconstructed particle**

level brings other benefits:

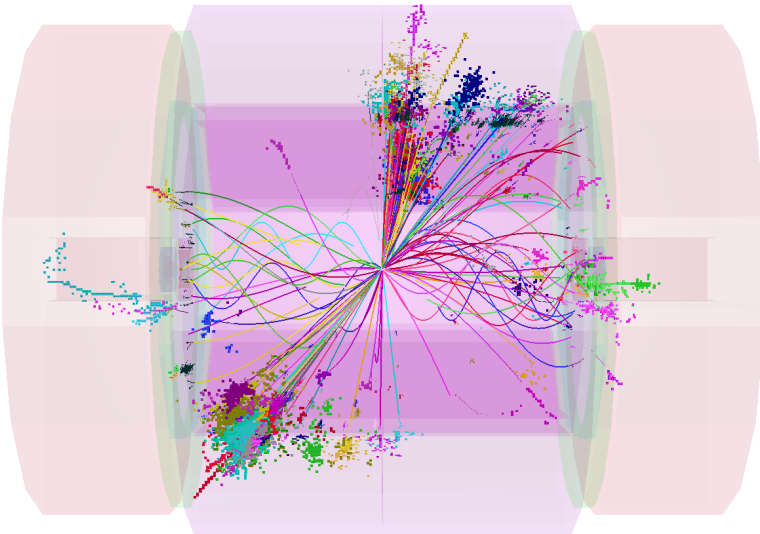
- e.g. at CLIC energies (or ILC at 1 TeV) background from $\gamma\gamma \rightarrow$ hadrons



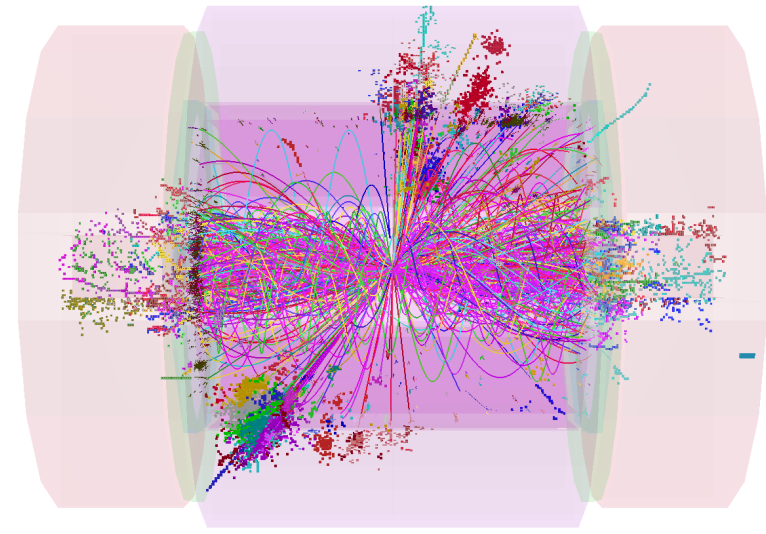
1. CLIC 3 TeV: input to reconstruction:



3. Selected particles, total energy 85 GeV



2. Reco. particles, total energy 1.2 TeV



Apply timing cuts to reconstructed particles

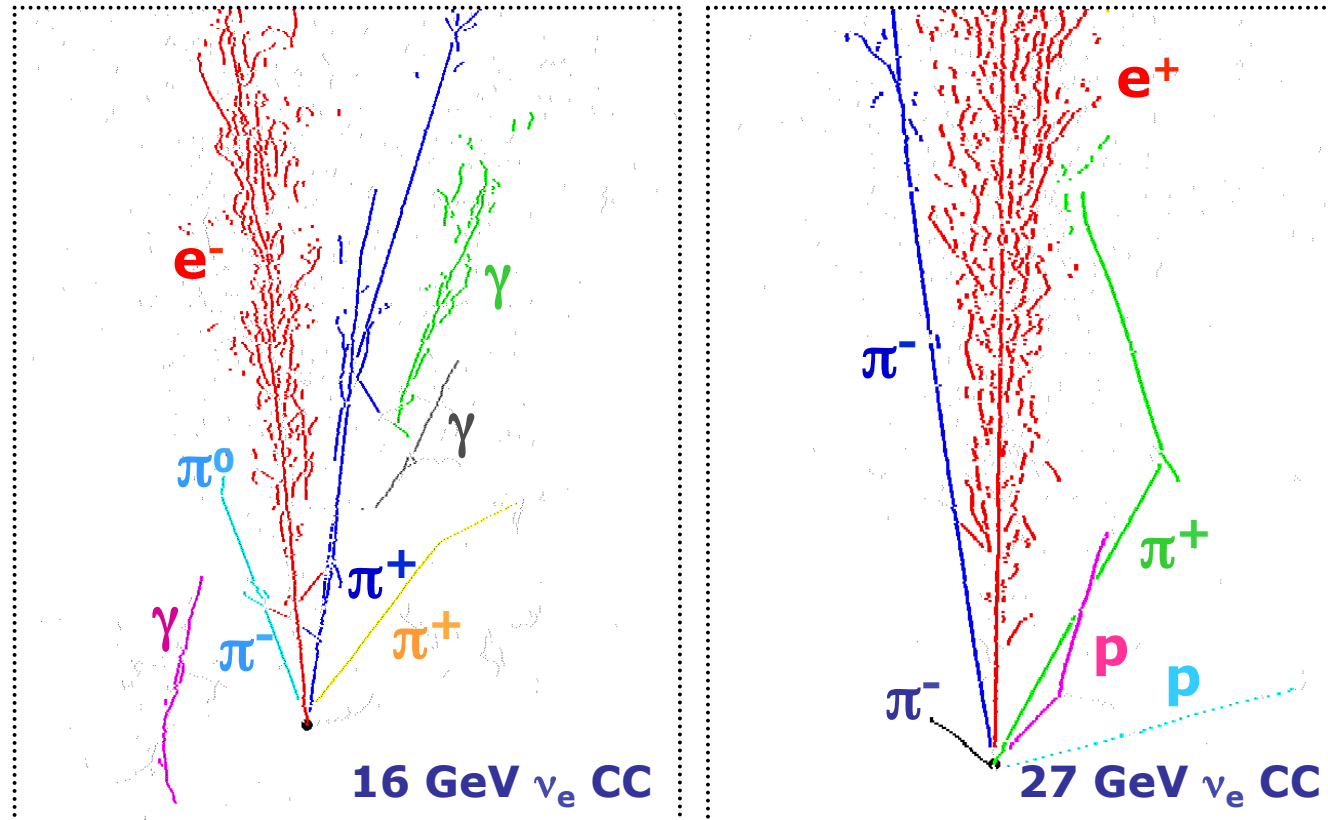




+ not just collider physics

- ★ PandoraPFA provides now generic reconstruction framework
 - developed algorithms for Liquid Argon TPC reconstruction
 - another type of imaging calorimeter...

Example simulated
electron neutrino
Interactions in the
LBNE detector



★ Looks very promising...



7) Summary



Summary

★ **High Granularity Particle Flow Calorimetry is the baseline for the detector at the ILC (or CLIC)**

- ✦ such a detector can be built (at a cost)
- ✦ would provide unprecedented performance

★ **PandoraPFA reconstruction**

- has provided proof of principle over wide range of energies and physics processes
- excellent performance from $\sqrt{s} = 500 \text{ GeV}$ to $\sqrt{s} = 3 \text{ TeV}$
- sufficiently generic to be used elsewhere

Particle Flow techniques already being used in anger

- In particular by the CMS collaboration – next talk...



Thank you



Backup Slides



Dependence on hadron shower simulation

- ★ Modelling of hadronic showers in GEANT4 is far from perfect...
 - Can we believe PFA results based on simulation ?
- ★ PandoraPFA/ILD performance for 5 **very** different Geant4 physics lists...

Physics List	Jet Energy Resolution				
	45 GeV	100 GeV	180 GeV	250 GeV	
LCPhys	3.74 %	2.92 %	3.00 %	3.11 %	← Default
QGSP_BERT	3.52 %	2.95 %	2.98 %	3.25 %	
QGS_BIC	3.51 %	2.89 %	3.12 %	3.20 %	
FTFP_BERT	3.68 %	3.10 %	3.24 %	3.26 %	
LHEP	3.87 %	3.15 %	3.16 %	3.08 %	← ~GHEISHA
χ^2	23.3 / 4	17.8 / 4	16.0 / 4	6.3 / 4	
rms	4.2 %	3.9 %	3.5 %	2.5 %	

- ★ Only a weak dependence < 5 %
 - NOTE: 5 % is on the total, not just the hadronic confusion term

e.g.

Total Resolution	3.11 %	×1.05 →	Total Resolution	3.27 %
Conf: neutral hads	1.80 %	×1.14 →	Conf: neutral hads	2.05 %
Other contributions	2.54 %	×1.00 →	Other contributions	2.54 %

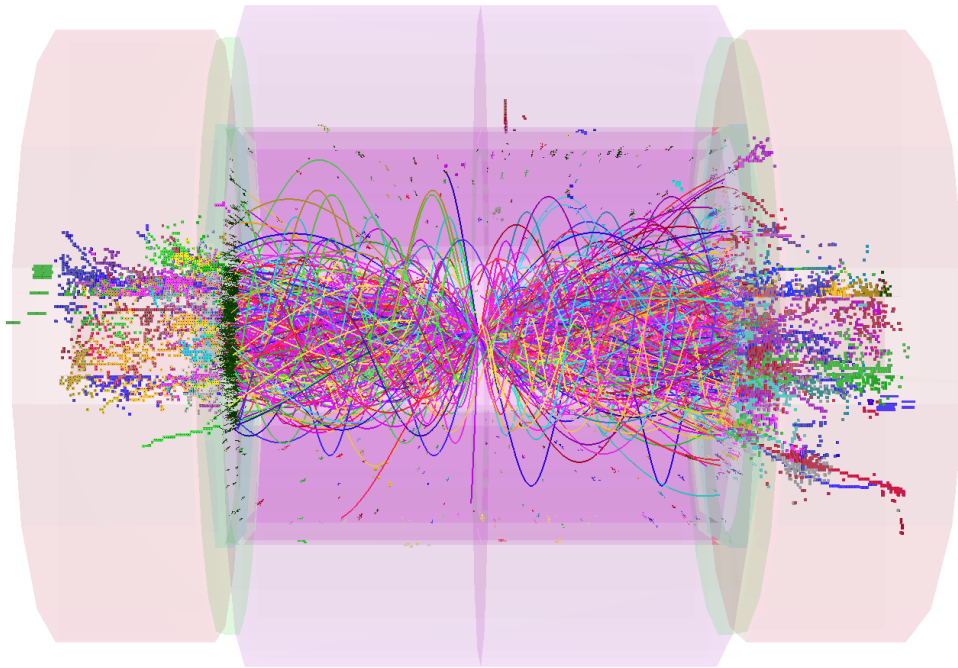
Suggests PFA performance is rather robust

- MC results likely to be reliable, despite shower model uncertainties

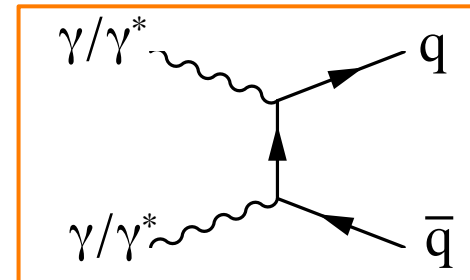
CALICE study supports this statement



CLIC: Impact of Background



Pile-up of “mini-jets”



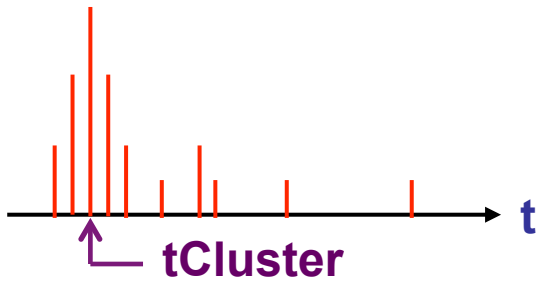
20 BXs = 10 ns of $\gamma\gamma \rightarrow$ hadrons

★ **Background must be accounted for in physics studies**



Reconstruction in Time

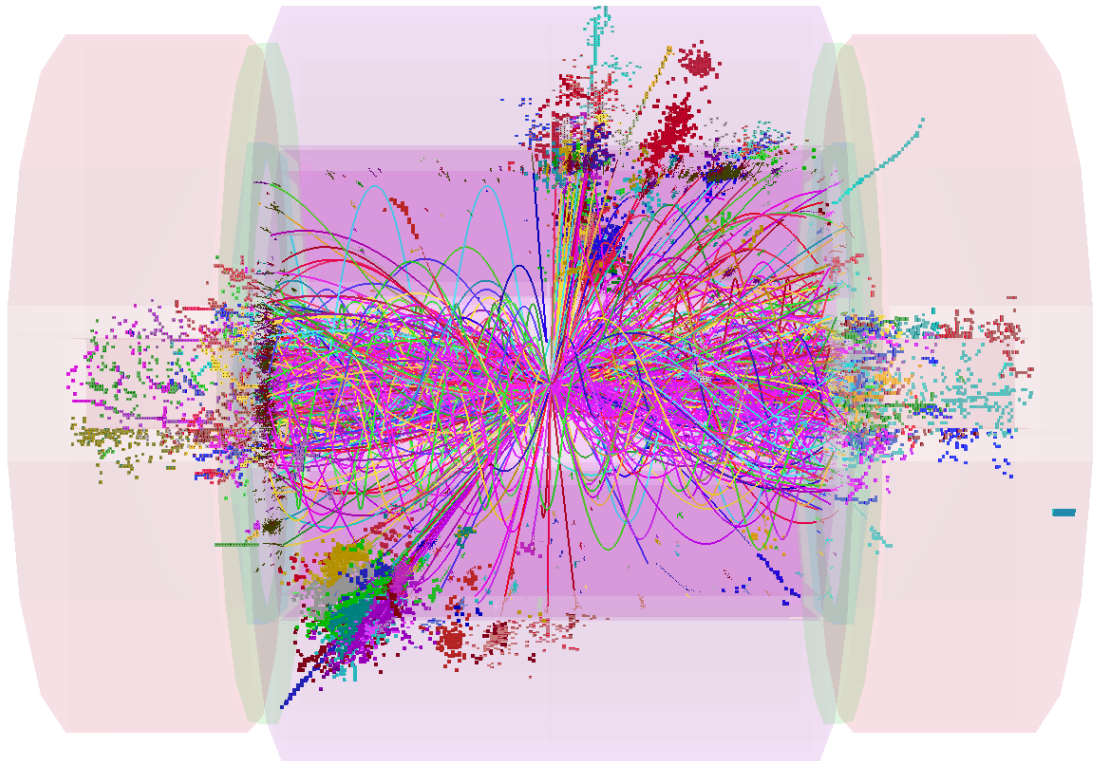
- ★ High granularity calorimetry allows individual particles to be reconstructed
 - with times assigned to each particle based on individual hit times
- ★ Pile-up from $\gamma\gamma \rightarrow$ hadrons can be effectively rejected using spatial and timing information
- ★ Studied at 3 TeV (the worst case)



e.g. $e^+e^- \rightarrow H^+H^- \rightarrow 8$ jets
at $\sqrt{s} = 3$ TeV

Before

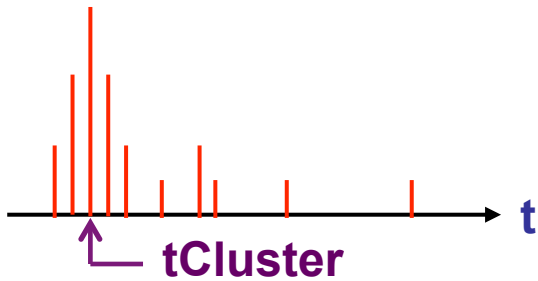
1.2 TeV





Reconstruction in Time

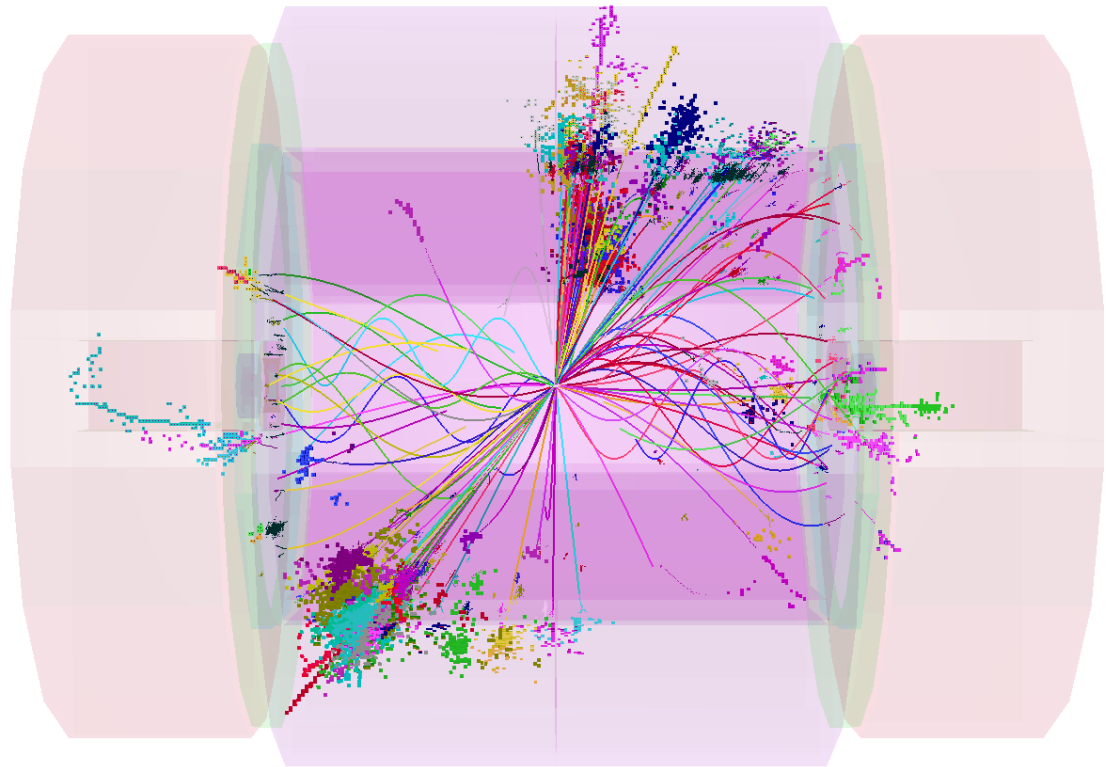
- ★ High granularity calorimetry allows individual particles to be reconstructed
 - with times assigned to each particle based on individual hit times
- ★ Pile-up from $\gamma\gamma \rightarrow$ hadrons can be effectively rejected using spatial and timing information
- ★ Studied at 3 TeV (the worst case)



e.g. $e^+e^- \rightarrow H^+H^- \rightarrow 8 j e$
at $\sqrt{s} = 3$ TeV

After

100 GeV

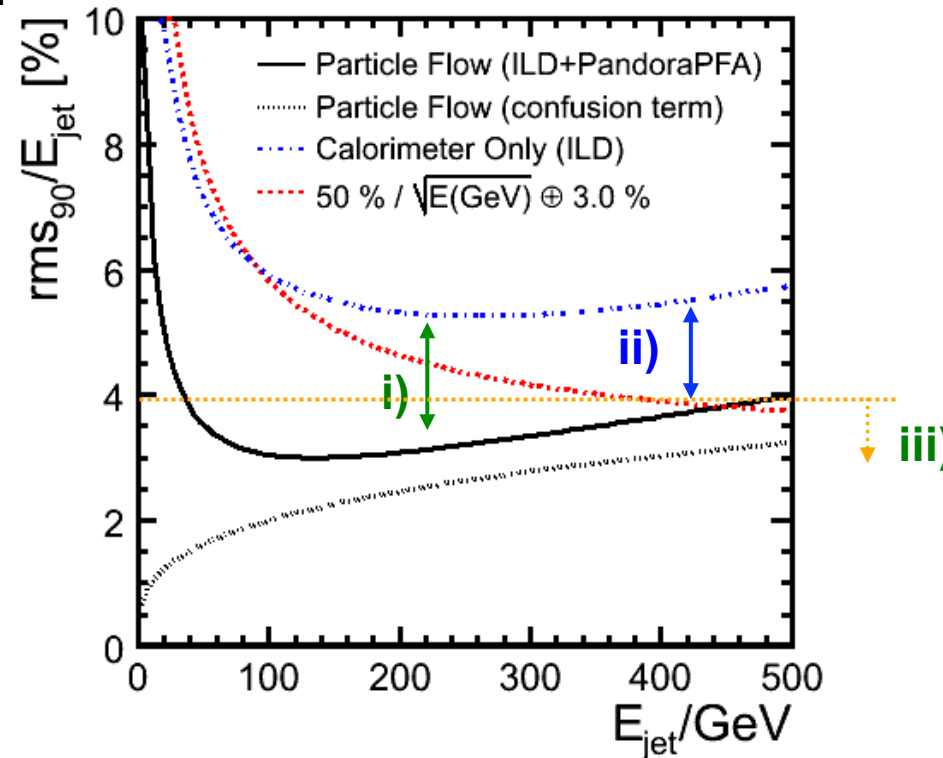




PFA vs. Trad. Cal.

- ILD/SiD intended for PFA, but also good conventional calorimeters:

- ECAL $\sim 15\%/\sqrt{E}$
- HCAL $\sim 55\%/\sqrt{E}$



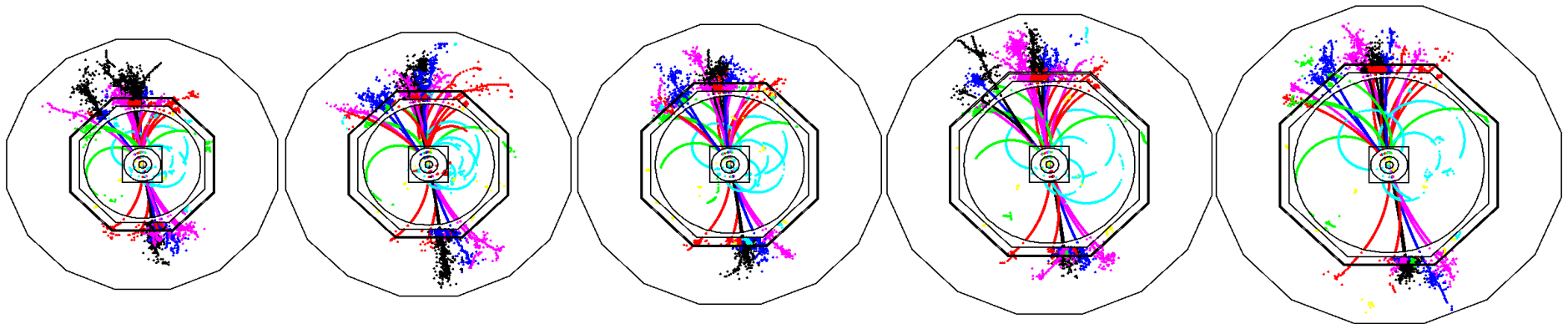
- i) PandoraPFA: **always wins** over purely calorimetric approach
- ii) PandoraPFA: effect of leakage clear at high energies
- iii) PandoraPFA/ILD: Resolution better than 4 % for $E_{JET} < 500$ GeV



Optimising a PFlow Detector

Cost drivers:

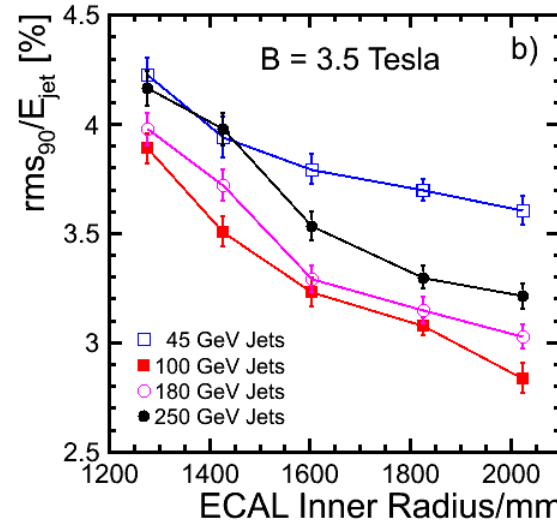
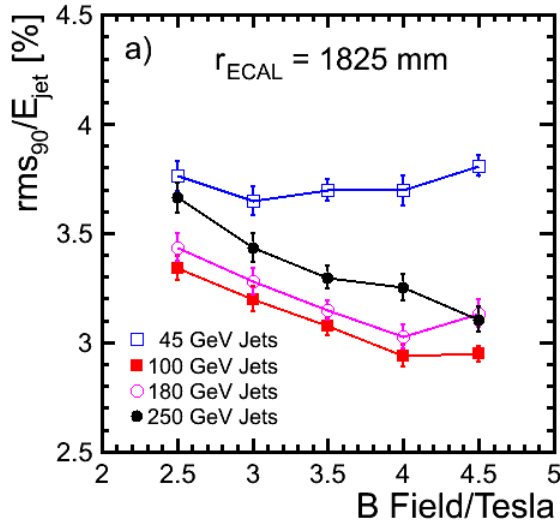
- Calorimeters and solenoid are the main cost drivers of an ILC detector optimised for particle flow
- Most important detector design considerations are:
 - ♦ B-field
 - ♦ R : inner radius of ECAL
 - ♦ L : length, equivalently aspect ratio L/R
 - ♦ HCAL thickness : number of interaction lengths
 - ♦ ECAL and HCAL segmentation
- Study jet energy resolution as a function of these cost critical issues
- ★ e.g. vary ECAL radius and B-field





B vs R

★ Empirically find (PandoraPFA/ILD)



$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E/\text{GeV}}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left(\frac{R}{1825}\right)^{-1.0} \left(\frac{B}{3.5}\right)^{-0.3} \left(\frac{E}{100}\right)^{+0.3} \%$$

↑ Resolution
↑ Tracking
↑ Leakage
↑ Confusion

◆ Confusion $\propto B^{-0.3} R^{-1}$ (1/R dependence “feels right”, geometrical factor !)

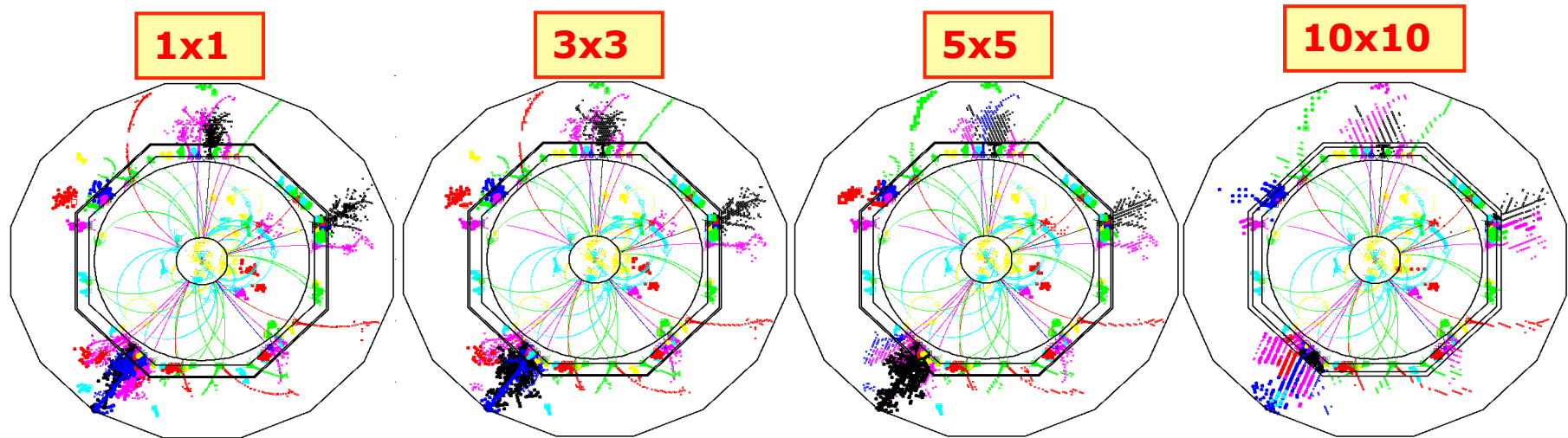
Conclusions:

Detector should be fairly large
Very high B-field is less important



ECAL/HCAL Segmentation

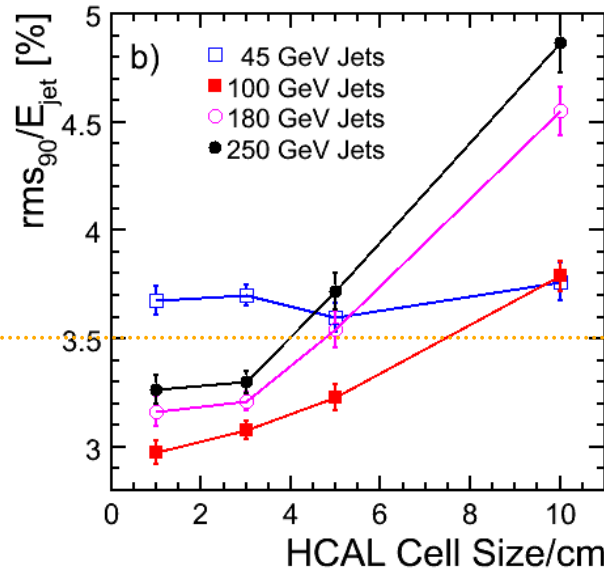
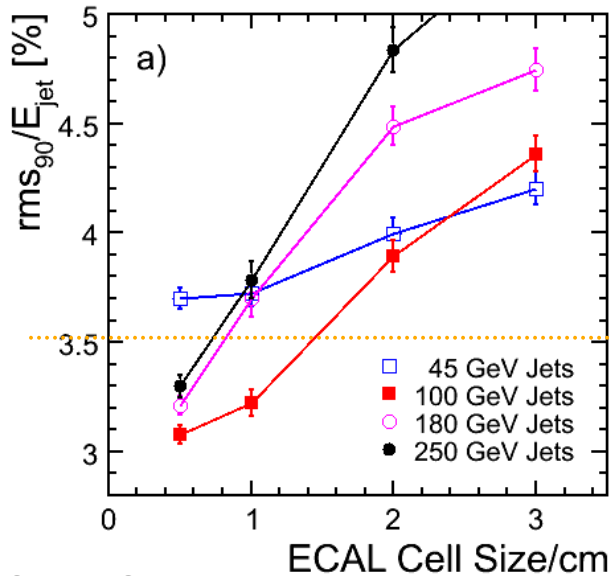
- ★ Assumed particle flow reconstruction requires **very highly segmented ECAL and HCAL**
- ★ What does “highly segmented” mean ?
- ★ In ILD detector model vary **ECAL Si pixel size and HCAL tile size**
 - e.g. HCAL tile size [cm²]



- ★ “By eye” can see that pattern recognition becomes harder for 10x10 cm²
- ★ Dependence of jet energy resolution on segmentation obtained with full particle flow reconstruction



★ In ILD detector model vary **ECAL Si pixel size** and **HCAL tile size**



ILC Goal

★ ECAL Conclusions:

- Ability to resolve photons in **current PandoraPFA algorithm** strongly dependent on transverse cell size
- Require at least as fine as **10x10 mm²** to achieve **4.0 %** jet E resolution
- Significant advantages in going to **5x5 mm²**

★ HCAL Conclusions:

- For **current PandoraPFA algorithm** and for Scintillator HCAL, a tile size of **3x3 cm²** looks optimal
- May be different for a digital/semi-digital RPC based HCAL



Contributions to resolution

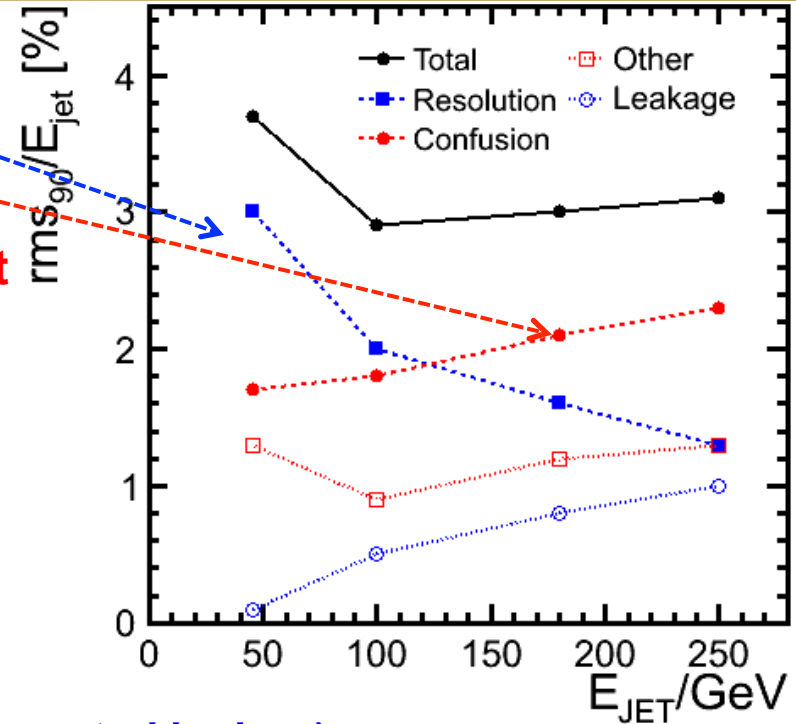
★ Answer depends on jet energy

- Low energy jets: **RESOLUTION**
- High energy jets: **CONFUSION**
- Cross-over at **~100 GeV**
- Very high energy jets: **leakage important**

★ What kind of confusion ?

- **i) photons**
(γ merged into charged had. shower)
- **ii) neutral hadrons**
(K_L/n merged into charged had. shower)
- **iii) charged hadron fragments**
(fragments of charged had. reconstructed as neutral hadron)

★ At high energies **ii)** is the largest contribution, e.g. for 250 GeV jets



Total Resolution	3.1 %
Confusion	2.3 %
i) Photons	1.3 %
ii) Neutral hadrons	1.8 %
iii) Charged hadrons	0.2 %

Not insignificant

Largest single contribution, but remember, enters in quadrature