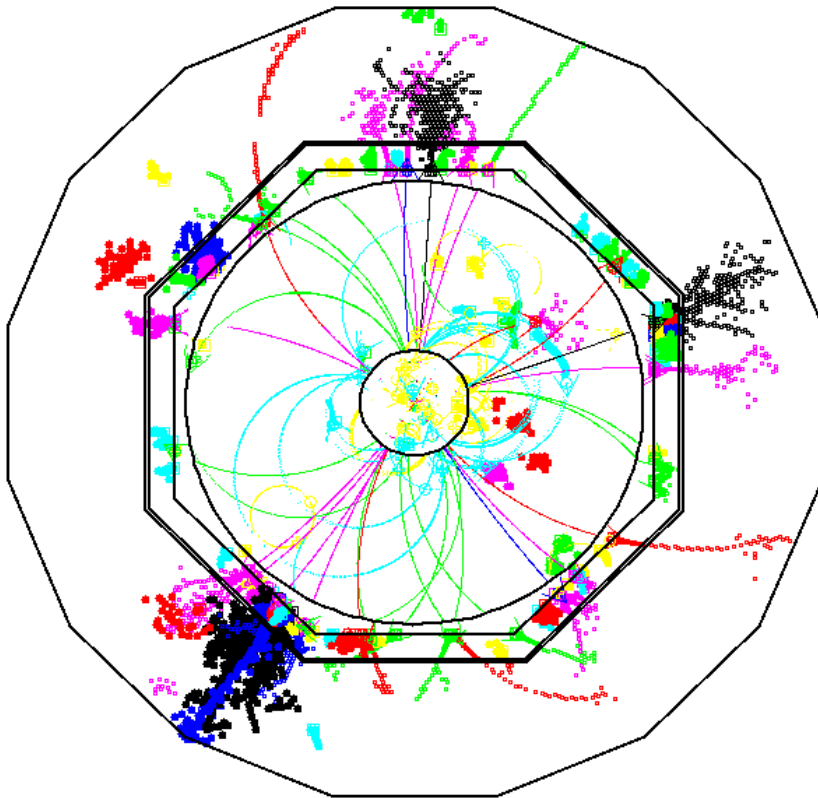


Calorimetry and Particle Flow at the ILC

Mark Thomson
University of Cambridge

This Talk:

- ① ILC : Physics and Calorimetry
- ② The Particle Flow Paradigm
- ③ ILC Detector Concepts
- ④ Particle Flow and ILC Detector Design
- ⑤ Towards a Realistic(?) Particle Flow Algorithm
- ⑥ Current Particle Flow Performance
- ⑦ Hadron Shower Simulation and PFA
- ⑧ Conclusions



1 ILC Physics $\leftrightarrow$ Calorimetry

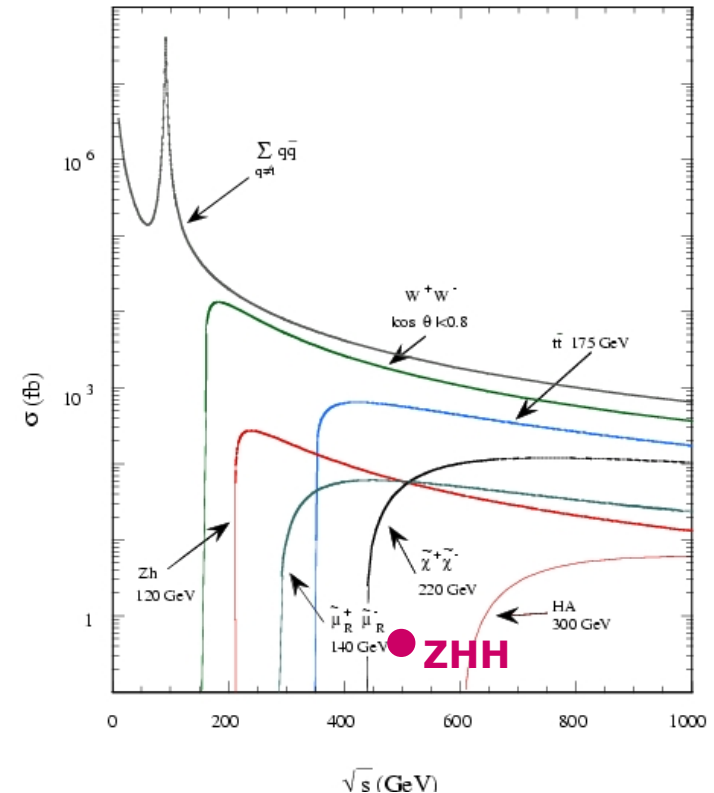
ILC PHYSICS:

Precision Studies/Measurements

- ★ Higgs sector
- ★ SUSY particle spectrum
- ★ SM particles (e.g. W-boson, top)
- ★ and much more...

Physics characterised by:

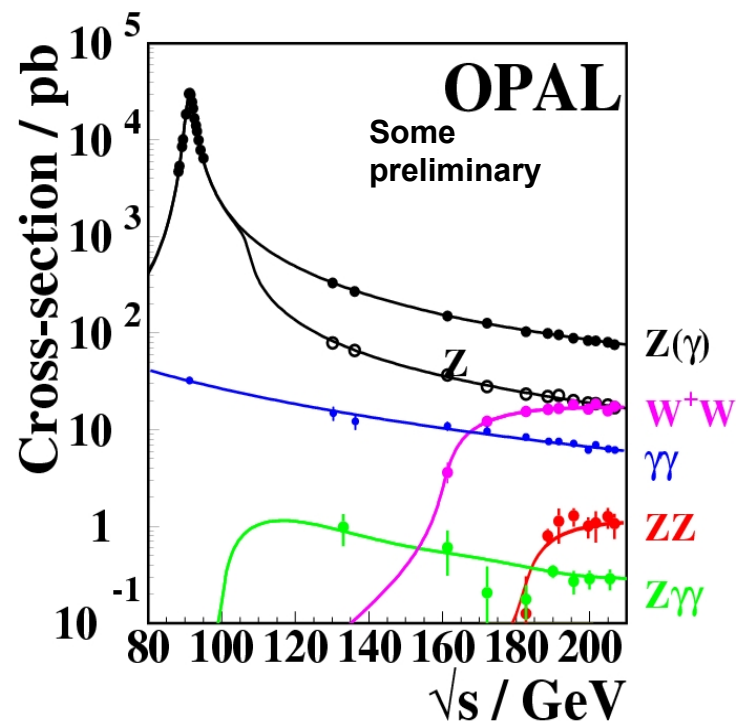
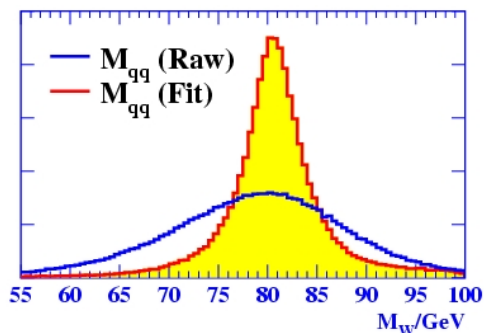
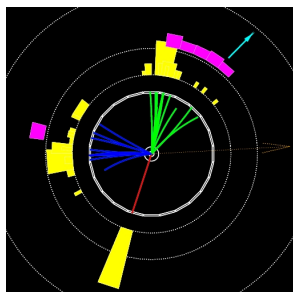
- ★ High Multiplicity final states
often **6/8 jets**
- ★ Small cross-sections
e.g. $\sigma(e^+e^- \rightarrow ZHH) = 0.3 \text{ fb}$



- ★ Require High Luminosity
- ★ Detector optimized for precision measurements
in difficult multi-jet environment

Compare with LEP

- ★ $e^+e^- \rightarrow Z$ and $e^+e^- \rightarrow W^+W^-$ dominate backgrounds not too problematic
- ★ Kinematic fits used for mass reco.
good jet energy resolution not vital



At the ILC:

- ★ Backgrounds dominate 'interesting' physics
- ★ Kinematic fitting much less useful: **Beamsstrahlung** + final states with **> 1 neutrino**

- ★ Physics performance depends **critically** on the detector performance (not true at LEP)
- ★ Stringent requirements on the ILC detector

Calorimetry at the ILC

Jet energy resolution:

THIS ISN'T EASY !

Best at LEP (ALEPH):

$$\sigma_E/E = 0.6(1 + |\cos\theta_{\text{Jet}}|)/\sqrt{E(\text{GeV})}$$

ILC GOAL:

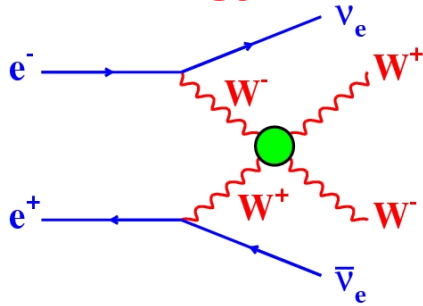
$$\sigma_E/E = 0.3/\sqrt{E(\text{GeV})}$$

★ Jet energy resolution directly impacts physics sensitivity

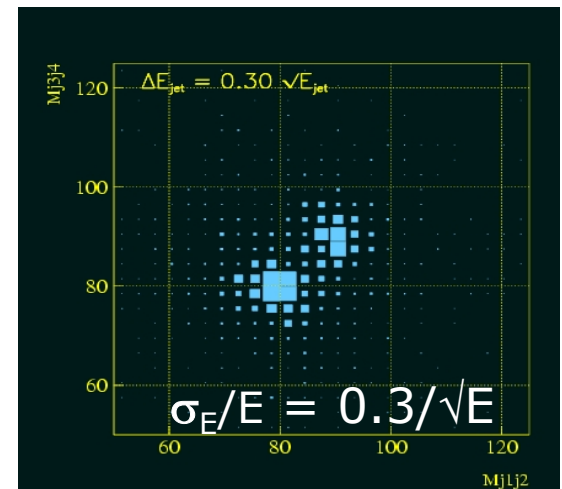
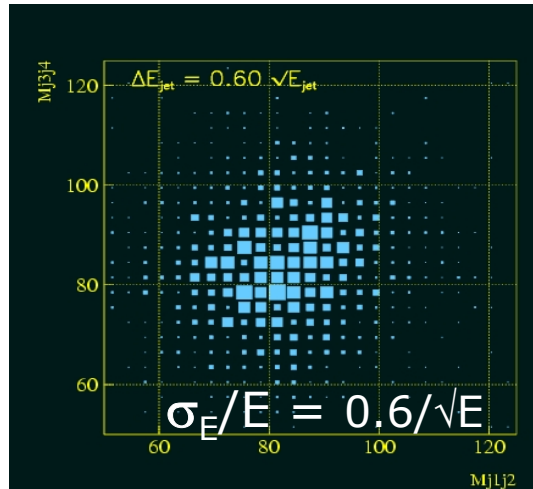
Often-quoted Example:

If the Higgs mechanism is not responsible for
EWSB then QGC processes important

$$e^+e^- \rightarrow \nu\nu WW \rightarrow \nu\nu qqqq, e^+e^- \rightarrow \nu\nu ZZ \rightarrow \nu\nu qqqq$$



Reconstruction of two
di-jet masses allows
discrimination of WW
and ZZ final states



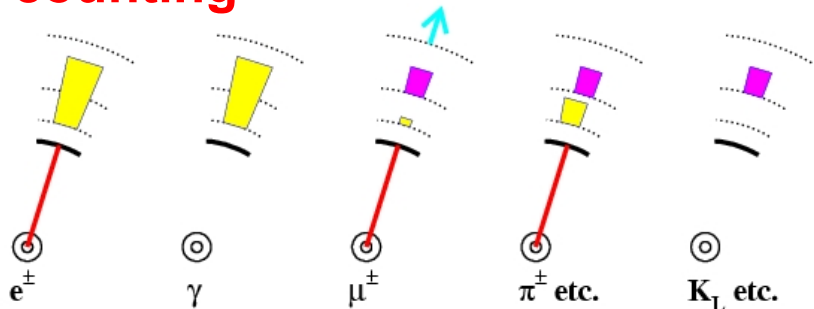
★ **EQUALLY** applicable to any final states where want to separate
W→qq and **Z→qq** !

② The Particle Flow Paradigm

- ★ Much ILC physics depends on reconstructing invariant masses from jets in hadronic final states
- ★ Often kinematic fits won't help – Unobserved particles (e.g. ν) + Beamsstrahlung, ISR
- ★ Aim for **jet energy** resolution $\sim \Gamma_z$ for “typical” jets
- the point of diminishing return $\rightarrow \sigma_E/E \sim 0.3/\sqrt{E(\text{GeV})}$
- ★ **Jet energy resolution is the key to calorimetry at the ILC**
- ★ Widely believed that **PARTICLE FLOW** is the best way to achieve $\sigma_E/E \sim 0.3/\sqrt{E(\text{GeV})}$

The Particle Flow Analysis (PFA):

- Reconstruct momenta of **individual particles** avoiding **double counting**



Charged particles in tracking chambers
Photons in the ECAL
Neutral hadrons in the HCAL
(and possibly ECAL)

- ★ Need to separate energy deposits from different particles
- ★ **Not calorimetry in the traditional sense**

★ TESLA TDR resolution ($Z \rightarrow uds$ at rest) : $\sim 0.30 \sqrt{E_{\text{jet}}}$

Component	Detector	Frac. of jet energy	Particle Resolution	Jet Energy Resolution
Charged Particles($X^\pm$)	Tracker	0.6	$10^{-4} E_X$	neg.
Photons(γ)	ECAL	0.3	$0.11 \sqrt{E_\gamma}$	$0.06 \sqrt{E_{\text{jet}}}$
Neutral Hadrons(h^0)	HCAL	0.1	$0.4 \sqrt{E_h}$	$0.13 \sqrt{E_{\text{jet}}}$

★ Energy resolution gives $0.14 \sqrt{E_{\text{jet}}}$ (dominated by HCAL)

Calorimetric performance not the limitation !

★ In addition, have contributions to jet energy resolution due to “confusion”, i.e. assigning energy deposits to wrong reconstructed particles (double-counting etc.)

$$\sigma_{\text{jet}}^2 = \sigma_{X^\pm}^2 + \sigma_\gamma^2 + \sigma_{h^0}^2 + \sigma_{\text{confusion}}^2 + \sigma_{\text{threshold}}^2 + \dots$$

★ Single particle resolutions not the dominant contribution to jet energy resolution !

granularity more important than energy resolution

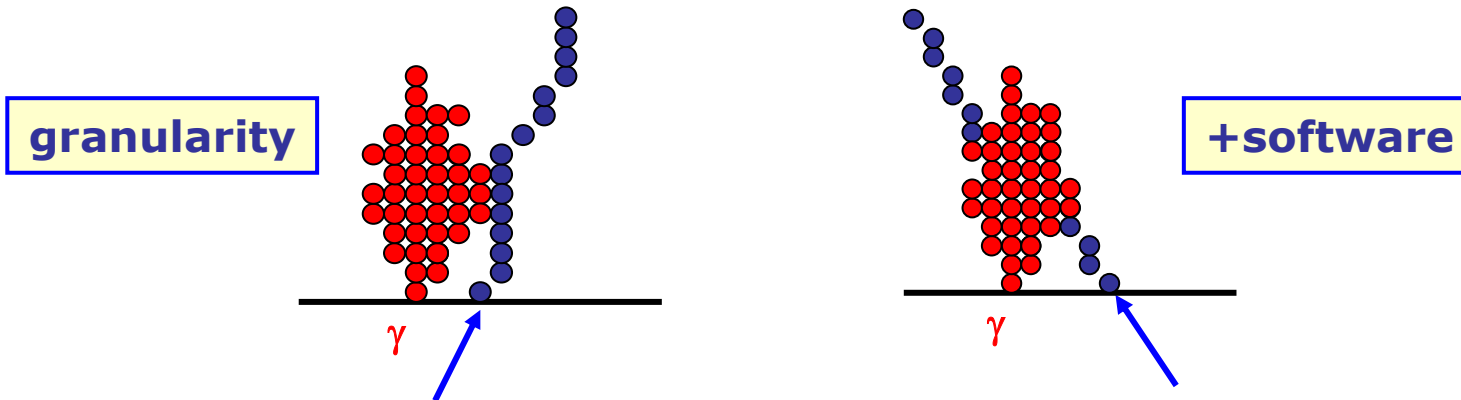
PFA : Basic issues

★ What are the main issues for PFA ?

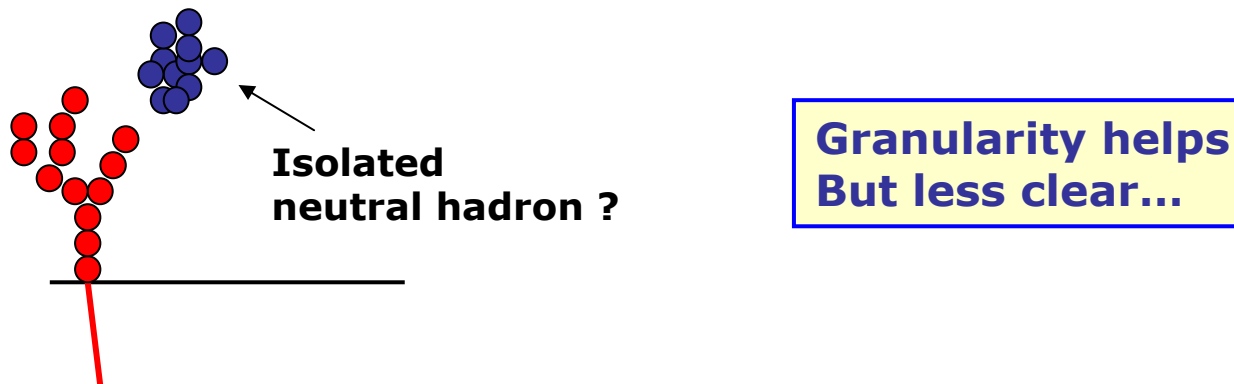
★ **Separate energy deposits + avoid double counting**

e.g.

★ Need to separate “tracks” (charged hadrons) from photons



★ Need to separate neutral hadrons from charged hadrons



PFA : “Figure of Merit”

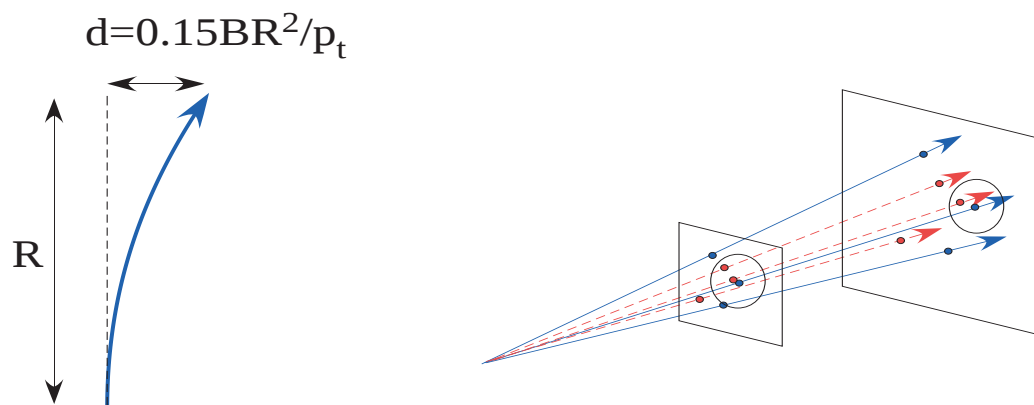


For good jet energy resolution need to separate energy deposits from different particles



- ★ Large detector – spatially separate particles
- ★ High B-field – separate charged/neutrals
- ★ High granularity ECAL/HCAL – resolve particles

**HIGH
COST**



Often quoted* “figure-of-merit”: $\frac{BR^2}{\sigma}$

$\swarrow$ Separation of charge/neutrals
 $\nwarrow$ Calorimeter granularity/ R_{Moliere}

- ★ Physics argues for : **large** + **high granularity** + $\uparrow B$
- ★ Cost considerations: **small** + **lower granularity** + $\downarrow B$



Need realistic algorithms to determine what drives PFA performance....

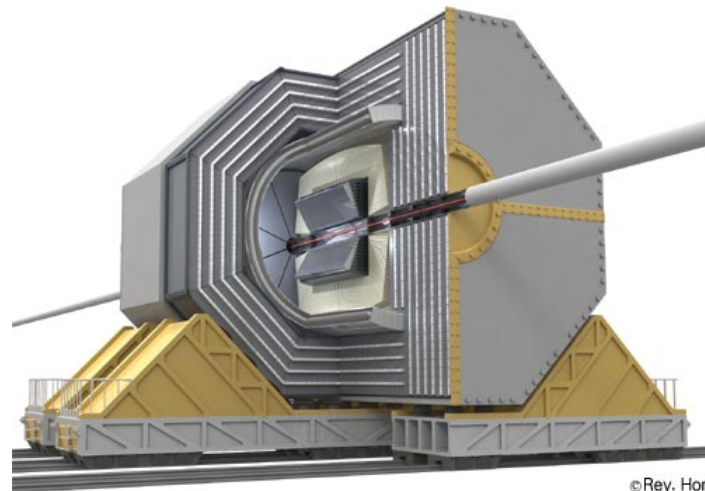
***But wrong**

③ The ILC Calorimeter Concepts

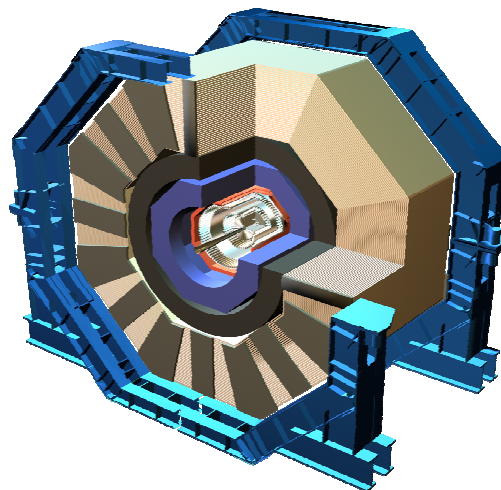
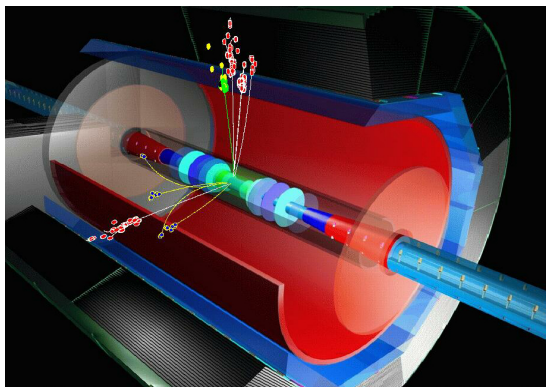
ILC Detector Concepts:

- ★ ILC Detector Design work centred around 4 detector “concepts”
- ★ Each will contribute to an ILC detector conceptual design report by end of 2006
- ★ Ultimately may form basis for TDRs
- ★ 3 of these concepts “optimised” for PFA Calorimetry **SiD**, **LDC**, **GLD**

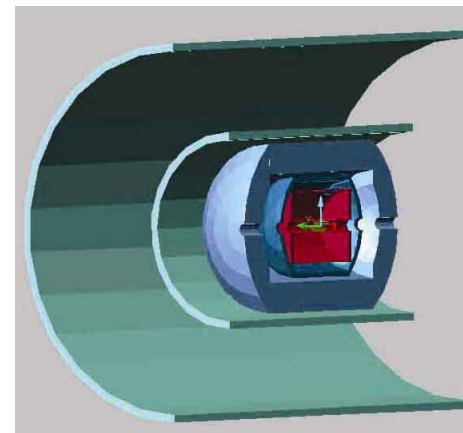
GLD : Global Large Detector



LDC : Large Detector Concept (spawn of TESLA TDR) **SiD** : Silicon Detector

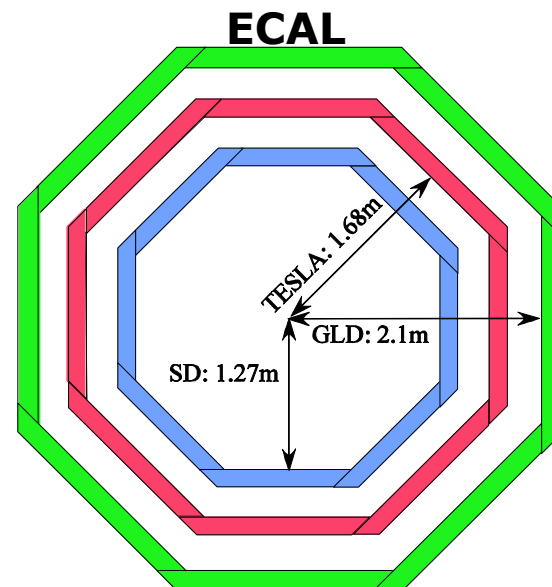
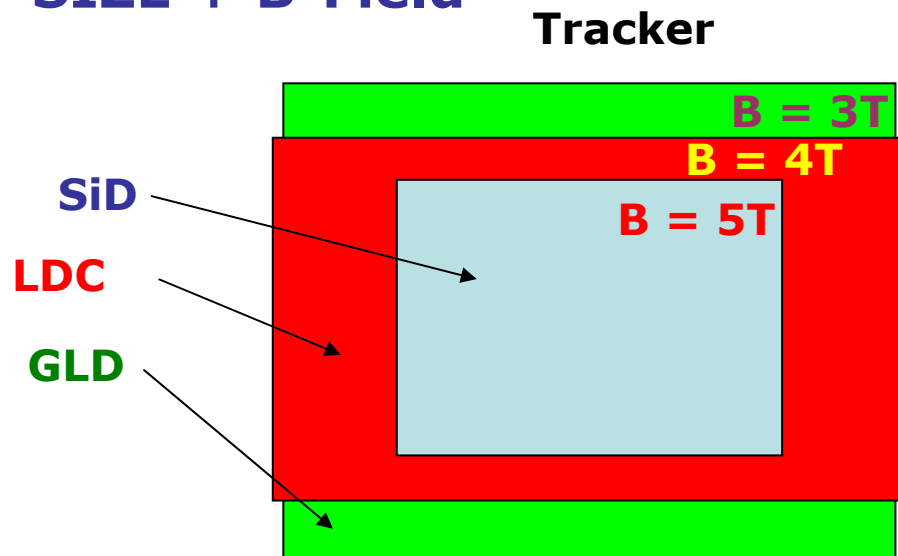


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★ Main Differences:

♦ **SIZE + B-Field**



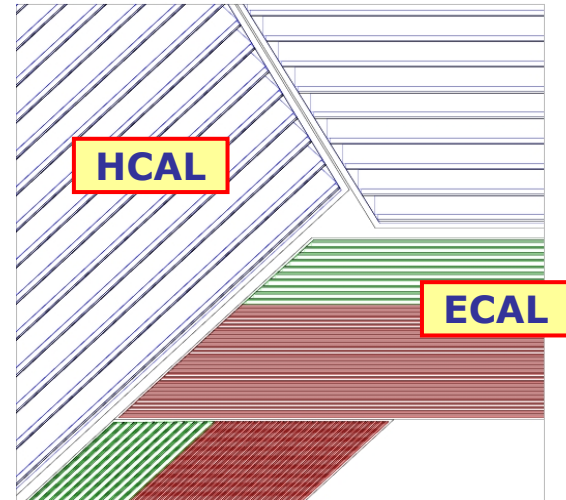
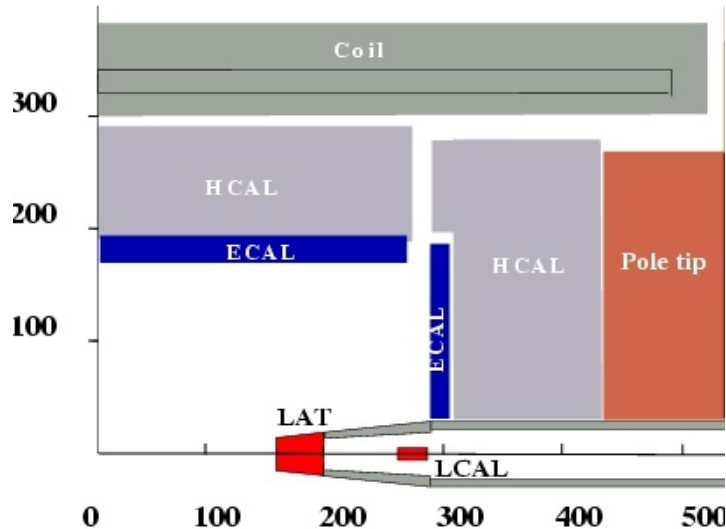
♦ **Central Tracker and ECAL**

	SiD	LDC	GLD
Tracker	Silicon	TPC	TPC
ECAL	SiW	SiW	Pb/Scint

- ★ **SiD + LDC + GLD all designed for PFA Calorimetry !**
- ★ **also “4th” concept designed for more “traditional” approach to calorimetry !**

LDC/SiD Calorimetry

ECAL and HCAL inside coil



ECAL: silicon-tungsten (SiW) calorimeter:

- Tungsten : $X_0 / \lambda_{\text{had}} = 1/25$, $R_{\text{Moliere}} \sim 9\text{mm}$
(gaps between Tungsten increase effective R_{Moliere})
- Lateral segmentation: $\sim 1\text{cm}^2$ matched to R_{Moliere}
- Longitudinal segmentation: 40 layers ($24 X_0$, $0.9\lambda_{\text{had}}$)
- Resolution: $\sigma_E/E = 0.15/\sqrt{E(\text{GeV})} \oplus 0.01$
 $\sigma_\theta = 0.063/\sqrt{E(\text{GeV})} \oplus 0.024 \text{ mrad}$

Hadron Calorimeter

Highly Segmented – for Particle Flow

- Longitudinal: **40** samples
- **4 – 5 λ** (limited by cost - coil radius)
- Would like fine (**1 cm² ?**) lateral segmentation
- For 10000 m² of 1 cm² **HCAL** = 10⁸ channels – **cost !**

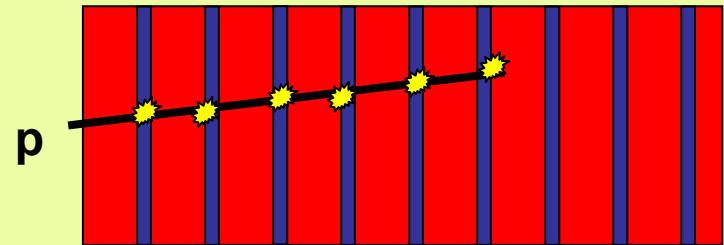
Two Main Options:

- ★ **Tile HCAL (Analogue readout)**
Steel/Scintillator sandwich
Lower lateral segmentation
5x5 cm² (motivated by cost)
- ★ **Digital HCAL**
High lateral segmentation
1x1 cm²
digital readout (**granularity**)
RPCs, wire chambers, GEMS...

The Digital HCAL Paradigm

- **Sampling Calorimeter:**

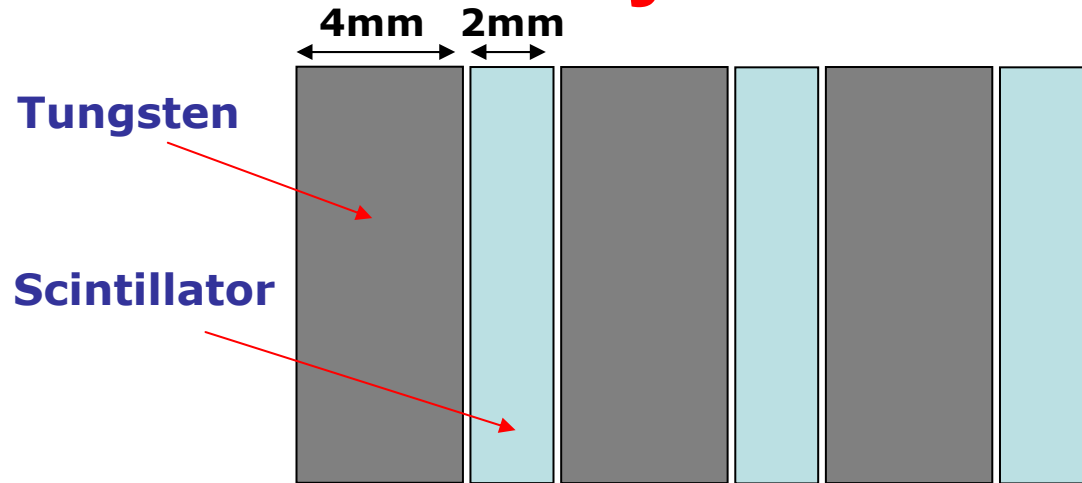
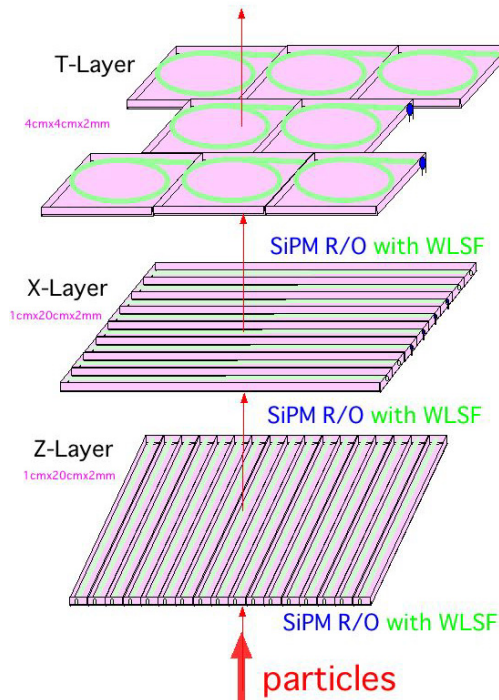
Only sample small fraction of the total energy deposition



- Energy depositions in active region follow highly asymmetric Landau distribution

GLD Calorimetry

- ★ **ECAL and HCAL inside coil**
- ★ **W-Scintillator ECAL sampling calo.**
- ★ **W-Pb HCAL sampling calo.**



Initial GLD ECAL concept:

- ★ **Achieve effective $\sim 1\text{cm} \times 1\text{cm}$ segmentation using strip/tile arrangement**
- ★ **Strips : $1\text{cm} \times 20\text{cm} \times 2\text{mm}$**
- ★ **Tiles : $4\text{cm} \times 4\text{cm} \times 2\text{mm}$**

question of pattern recognition in dense environment

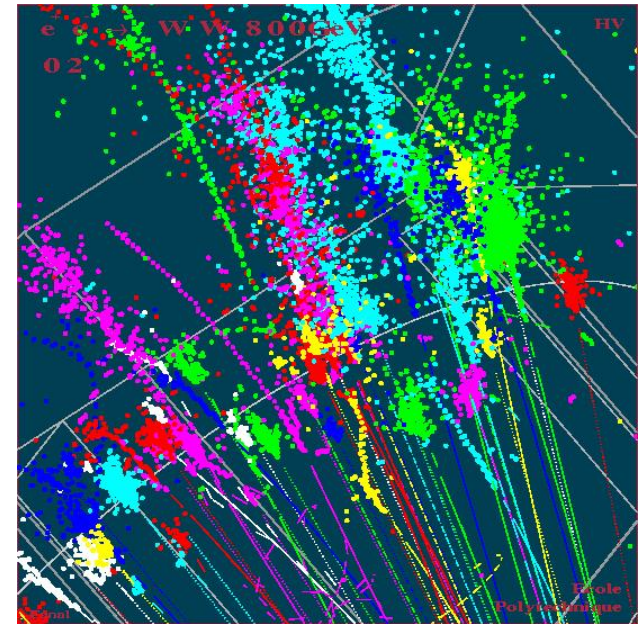
SiD/LDC/GLD : Basic design = sampling calorimeter

Calorimeter Reconstruction

- ★ High granularity calorimeters – very different from previous detectors
- ★ “Tracking calorimeter” – requires a new approach to ECAL/HCAL reconstruction



+PARTICLE FLOW



- ★ ILC calo. performance = **HARDWARE + SOFTWARE**
- ★ Performance will depend on the software algorithm
- ➡ Nightmare from point of view of detector optimisation
- ★ *a priori* not clear what aspects of **hadronic showers** are important (i.e. need to be well simulated)

4 PFA and ILC detector design ?



PFA plays a special role in design of an ILC Detector

- ★ **VTX** : design driven by heavy flavour tagging, machine backgrounds, technology
- ★ **Tracker** : design driven by σ_p , track separation
- ★ **ECAL/HCAL** : single particle σ_E not the main factor
➔ jet energy resolution ! Impact on particle flow drives calorimeter design + detector size, B field, ...

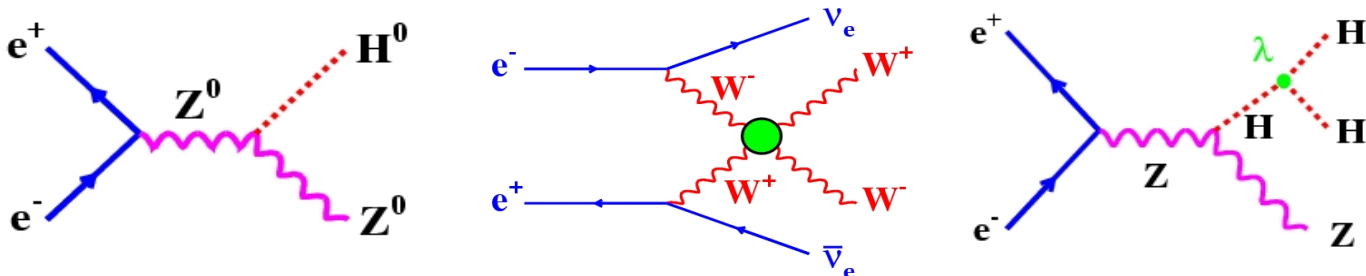


PFA is a (the?) major \$\$\$ driver for the ILC Detectors

BUT: Don't really know what makes a good detector for PFA
(plenty of personal biases – but little hard evidence)

How to optimise/compare ILC detector design(s) ?

- ★ Need to choose the key “benchmark” processes (**EASY**)

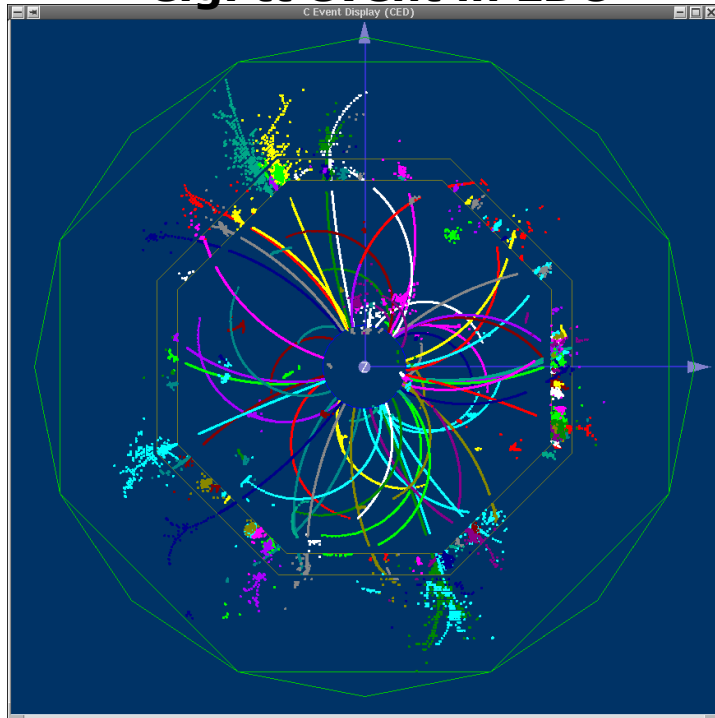


★ The rest is **VERY DIFFICULT !**

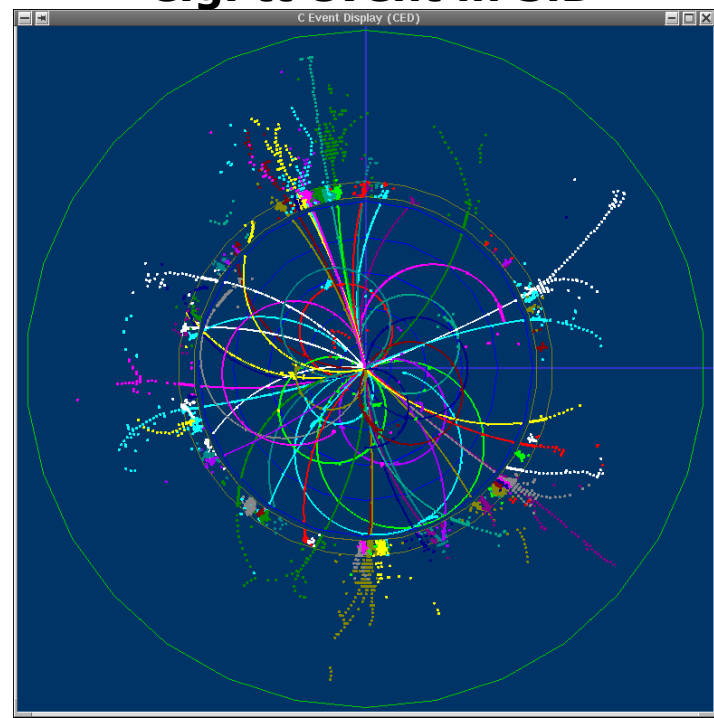
For example:

★ Wish to compare performance of say LDC and SiD detector concepts

e.g. tt event in LDC



e.g. tt event in SiD



★ However performance = **DETECTOR + SOFTWARE**

★ Non-trivial to separate the two effects

★ **NEED REALISTIC SIMULATION + REALISTIC RECONSTRUCTION !**
- can't use fast simulation etc.

5 A Realistic(?) Particle Flow Algorithm

- ★ Need sophisticated reconstruction before it is possible to start full detector design studies
- ★ So where are we now ?
- ★ Significant effort (~6 groups developing PFA reconstruction worldwide)

For this talk concentrate on one algorithm: **PandoraPFA**

- ★ **Work-in-Progress** – but does a pretty good job
+ beginning to get a better feel for what really matters....
- ★ Concentrate on general features to indicate how an ultimate particle flow reconstruction might work
- ★ Try to highlight the features of hadronic showers that impact PFA calorimetry

An Algorithm: PandoraPFA



Designed to take advantage of high granularity tracking calorimeter

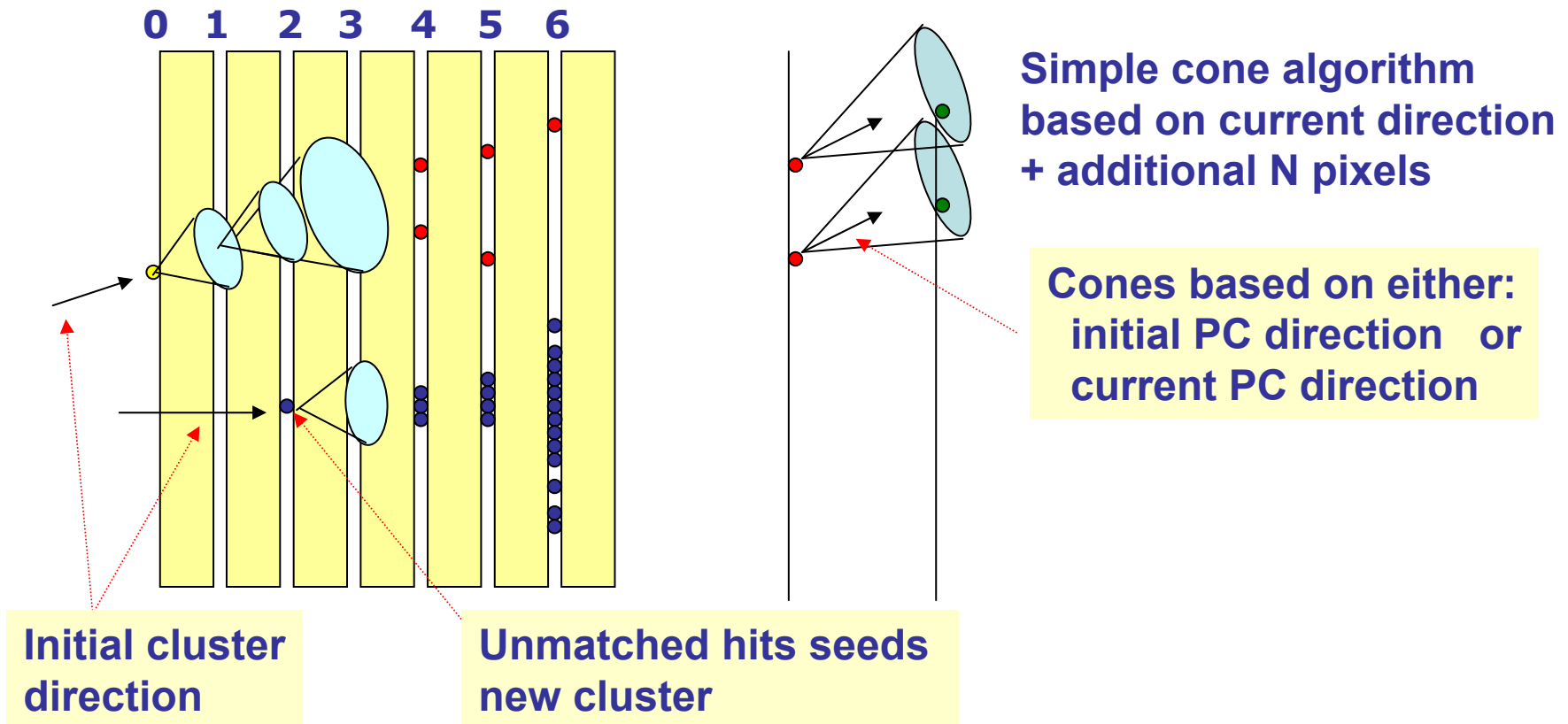
- ★ ECAL/HCAL reconstruction and PFA performed in a single algorithm
- ★ Keep things fairly generic algorithm
 - ★ applicable to multiple detector concepts
- ★ Use tracking information to help ECAL/HCAL clustering

5 Main Stages:

- i. Loose clustering in ECAL and HCAL
- ii. Topological linking of clearly associated clusters
- iii. Coarser grouping of clusters
- iv. Iterative reclustering
- v. Formation of final Particle Flow Objects (reconstructed particles)

i) ECAL/HCAL Clustering

- ★ Start at inner layers and work outward
- ★ Associate Hits with existing **Clusters**
- ★ Step back **N** layers until associated
- ★ Then try to associate with hits in current layer
- ★ If no association made form new **Cluster**
- ★ + tracks used to seed clusters



★ **NOTE: TRACKING and CLUSTERING in the calorimeters**

ii) Cluster Association Part I

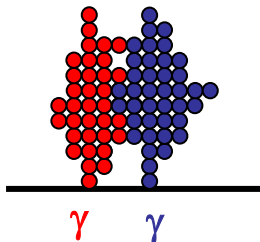
- ✦ By design, clustering errs on side of caution
i.e. clusters tend to be split
- ✦ **Philosophy:** easier to put things together than split them up
- ✦ Clusters are then associated together in two stages:
 - 1) Tight cluster association - clear topologies
 - 2) Loose cluster association - catches what's been missed but rather crude



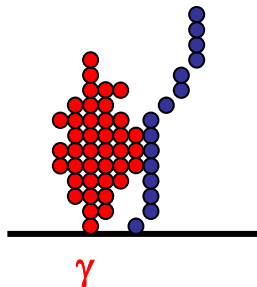
Photon ID

- ★ Photon ID plays important role
- ★ Simple “cut-based” photon ID applied to all clusters
- ★ Clusters tagged as photons are immune from association procedure – just left alone

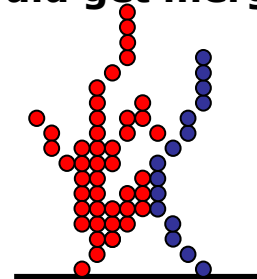
Won't merge



Won't merge

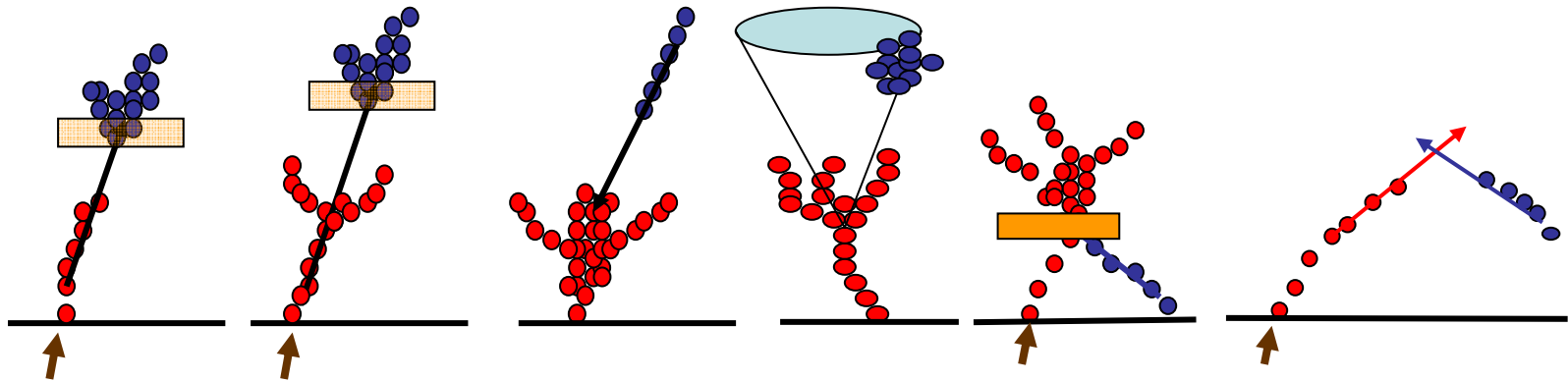


Could get merged



Topological Cluster Association

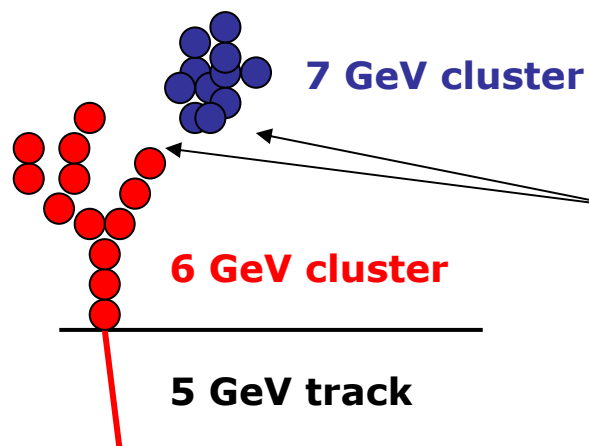
Join clusters which are clearly associated
make use of high granularity + tracking capability



Only clear associations – almost no mistakes

iii) Cluster Association Part II

- Have made **very clear** cluster associations
- Now try “cruder” association strategies
- **BUT first associate tracks to clusters (temporary association)**
- Use track/cluster energies to “veto” associations, e.g.



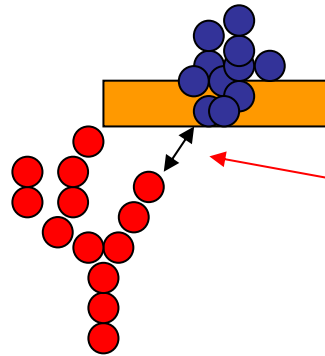
This cluster association would be forbidden if $|E_1 + E_2 - p| > 3 \sigma_E$

Provides some protection against “dumb” mistakes

★ Cluster reconstruction **and** PFA **not independent**

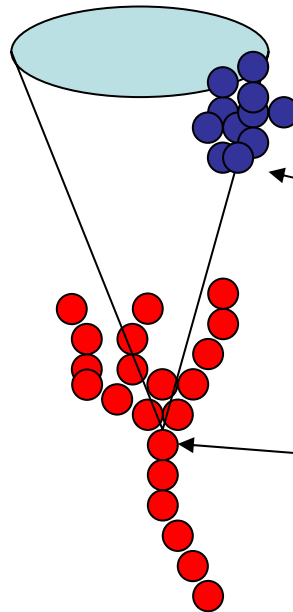
Course Cluster Association

Proximity



Distance between hits -limited to first layers

Shower Cone



Associated if fraction of hits in cone $>$ some value

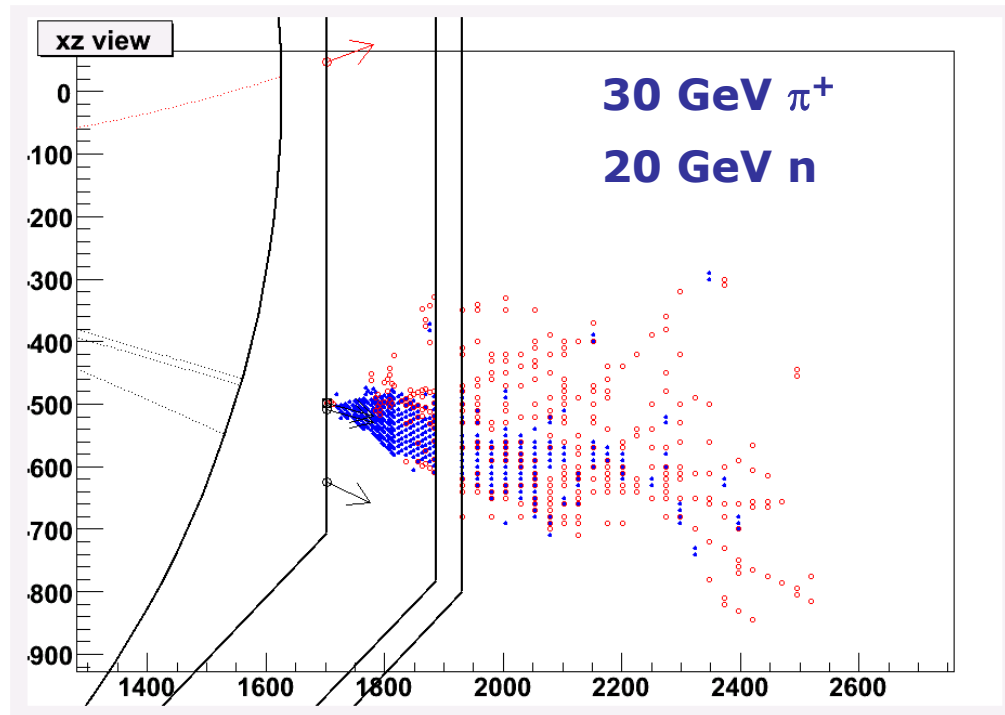
Shower start identified

+Track-Driven Shower Cone

Apply looser cuts if have low E cluster associated to high E track

iv) Iterative Reclustering

- ★ Generally performance is good – but some difficult cases...



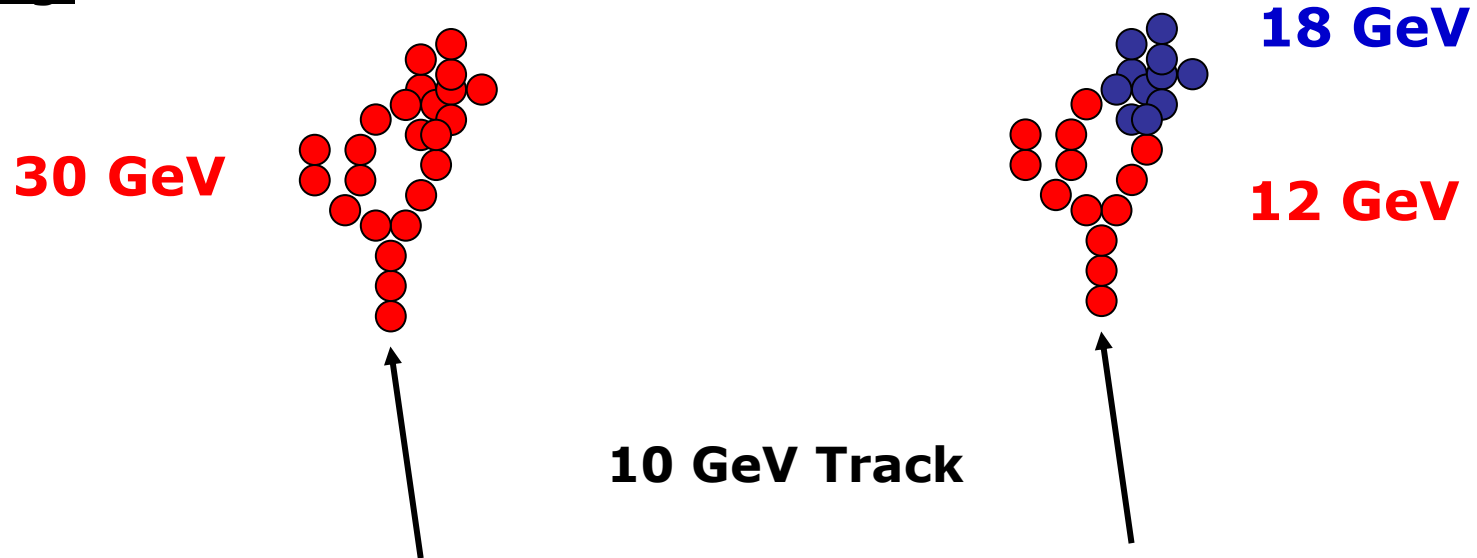
- ★ At some point hit the limit of “pure” particle flow
 - ♦ just can’t resolve neutral hadron in hadronic shower

The ONLY(?) way to address this is “statistically”



e.g. if have 30 GeV track pointing to 50 GeV cluster
SOMETHING IS WRONG

★ If track momentum and cluster energy inconsistent : RECLUSTER
e.g.



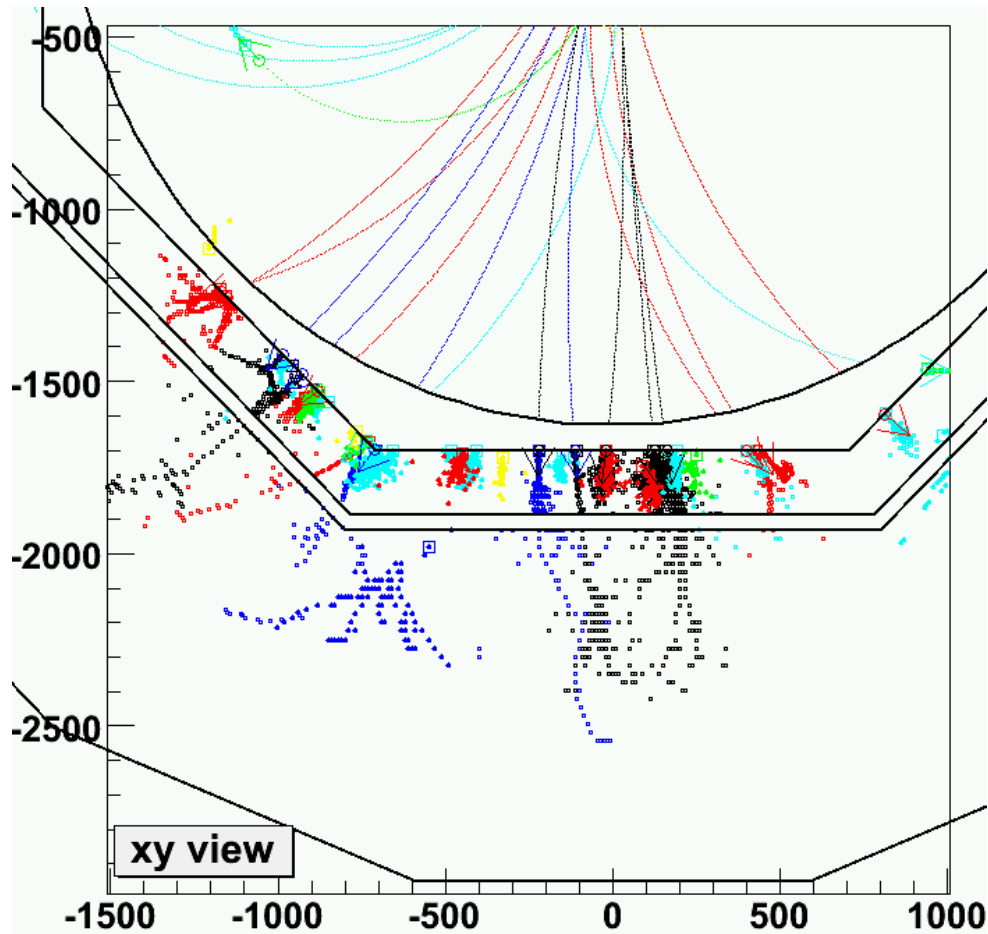
Change clustering parameters until split cluster +
get sensible track-cluster match

NOTE: NOT FULL PFA as clustering driven by track momentum

★ Energy Resolution is important here

⑥ Performance

Example Reconstruction : 100 GeV Jet



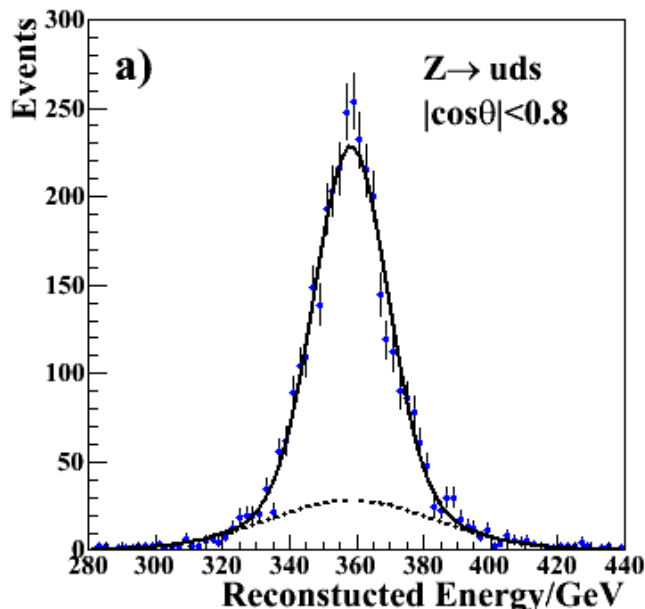
$Z \rightarrow \text{uds jets}$

σ_{75}

Figures of Merit:

rms_{90}

- ★ Fit sum of two Gaussians with same mean. The narrower one is constrained to contain 75% of events
- ★ Quote σ of narrow Gaussian



- ★ Find smallest region containing 90 % of events
- ★ Determine rms in this region

E_{JET}	$\sigma_E/E = \alpha \sqrt{(E/\text{GeV})}$ $ \cos\theta < 0.8$
45 GeV	0.30
100 GeV	0.37
180 GeV	0.57
250 GeV	0.75

ILC GOAL OF 30 % ACHIEVED !

- ★ BUT only for Z at 91.2 GeV
- ★ Need to look at performance at higher energies

It is found that $\text{rms}_{90} \approx \sigma_{75}$

Detector Optimisation

- ★ From point of view of detector design – what do we want to know ?

Optimise performance vs. cost

- ★ Main questions (the major cost drivers):

- Size : performance vs. radius
- Granularity (longitudinal/transverse): ECAL and HCAL
- B-field : performance vs. B

- ★ To answer them use MC simulation + PFA algorithm



- Need a good simulation of hadronic showers
- Need realistic PFA algorithm
(want results from multiple algorithms)



This is important – significant impact on overall design of
xxx M\$ detector !

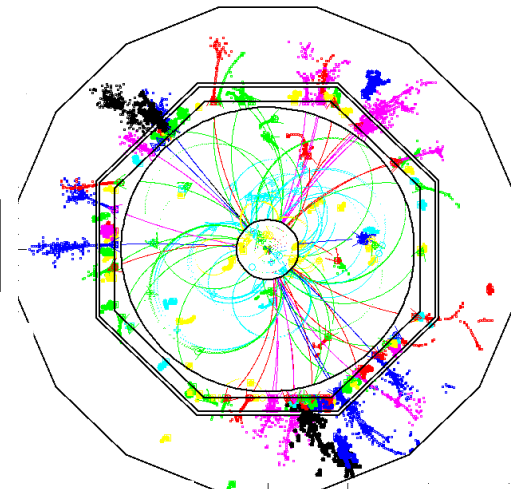
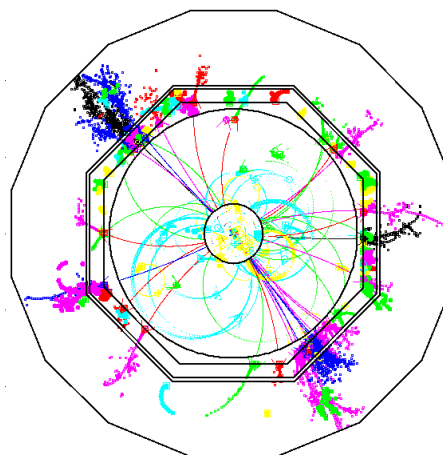
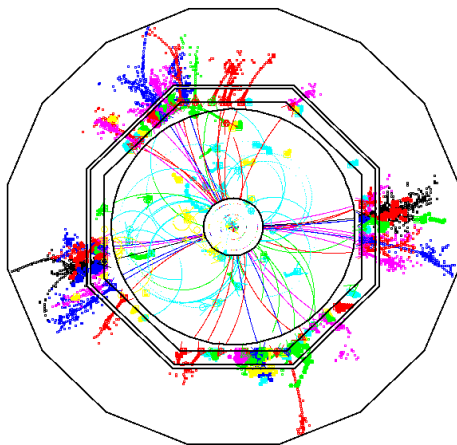
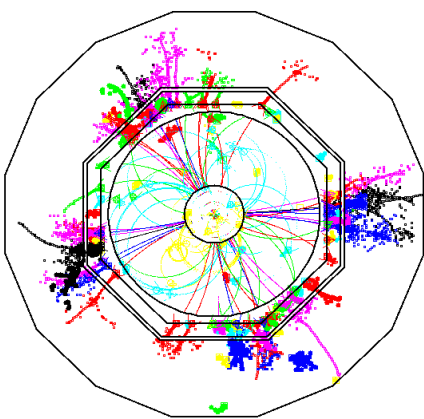
e.g. Radius/Field

$r_{\text{TPC}} = 1380 \text{ mm}$

$r_{\text{TPC}} = 1580 \text{ mm}$

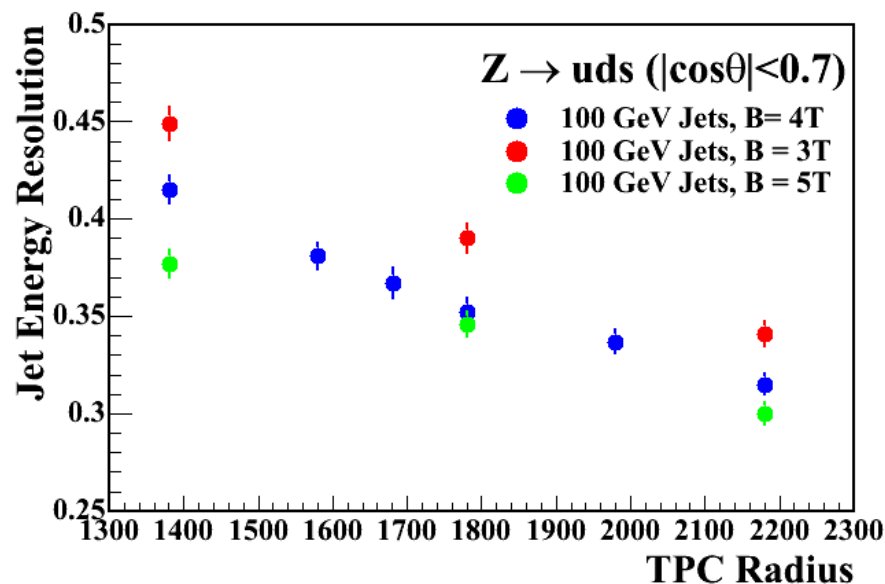
$r_{\text{TPC}} = 1690 \text{ mm}$

$r_{\text{TPC}} = 1890 \text{ mm}$



e.g. 100 GeV **Jets** in Barrel

- ★ Performance vs. radius/B (Tesla TDR detector)
- ★ Argues for large high field
- ★ With a reasonable cost model for ECAL+HCAL and Solenoid could identify “optimal” parameters



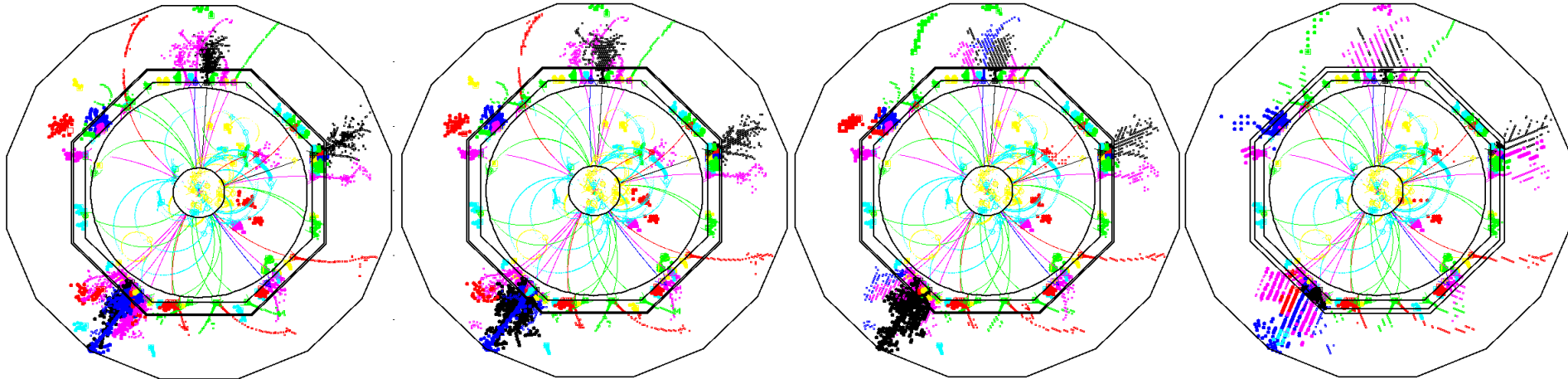
e.g. HCAL Transverse Granularity

1x1

3x3

5x5

10x10



Detector Model	$\sigma_{\text{Evis}}/E = \alpha\sqrt{(E/\text{GeV})}$	
	Z @91 GeV	tt@500 GeV
LDC00Sc 1cm x 1cm	$31.4 \pm 0.3 \%$	$42 \pm 1 \%$
LDC00Sc 3cm x 3cm	$30.6 \pm 0.3 \%$	$45 \pm 1 \%$
LDC00Sc 5cm x 5cm	$31.3 \pm 0.3 \%$	$48 \pm 1 \%$
LDC00Sc 10cm x 10cm	$33.7 \pm 0.3 \%$	$56 \pm 1 \%$

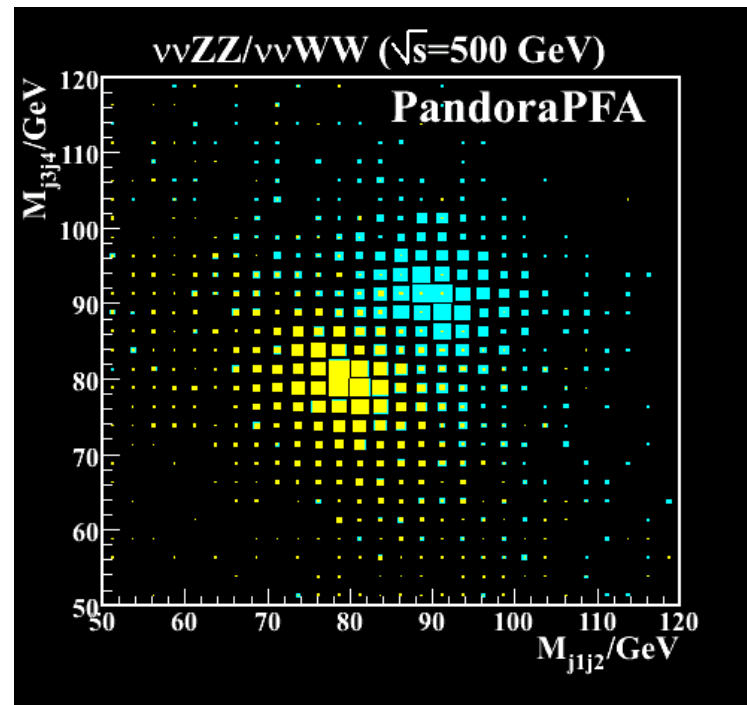
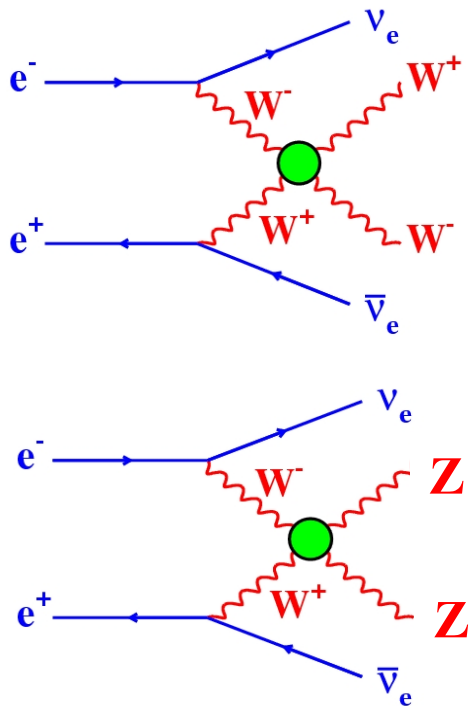
★ 10x10 too coarse (can be seen clearly from display)

★ Finer granularity helps somewhat at higher energies

- ★ Ultimately want to optimise for “physics performance” i.e. di-jet mass resolution in a multi-jet event
- ★ Performance will be degraded by Jet-finding + jet-jet combinatorics
- ★ Need to compare detector performance for analysis chain
- ★ this work is just starting

e.g.

$e^+e^- \rightarrow \nu\nu WW \rightarrow \nu\nu qqqq$, $e^+e^- \rightarrow \nu\nu ZZ \rightarrow \nu\nu qqqq$



7 Hadron Shower Simulation

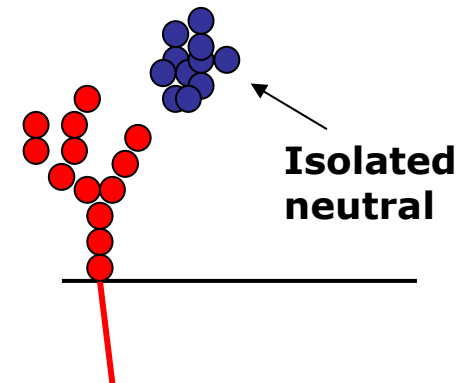
- ★ What aspects of hadronic showers are most important ?
 - PFA relies on separating calorimeter deposits from different particles in a dense jet environment

- ★ Energy Scale

- Mis-assigning a **few GeV cluster** is a significant effect for jet energy resolutions discussed here

- ★ NOT CLEAR what is most important !

- NOT energy resolution
 - Transverse development is important – how much do showers overlap
 - Longitudinal development matters – how well can showers be separated
 - Subtle details like rate and p_T distribution of “neutral fragments” may be important



- ★ BUT, what is important for PFA may well be very different from that for traditional HEP calorimetry

8 Conclusions

- ★ Great deal of effort (worldwide) in the design of the ILC detectors
- ★ Centred around 4 “**detector concept**” groups: GLD, LDC, SiD + 4th
- ★ Widely believed that **calorimetry** and, in particular, **jet energy resolution** drives detector design
- ★ Also believed that it is **likely** that **PFA is the key** to achieving ILC goal

THIS IS HARD – BUT VERY IMPORTANT !

- ★ **Calorimetry at the ILC = HARDWARE + SOFTWARE (new paradigm)**
- ★ It is difficult to disentangle detector/algorithm....
- ★ Can only address question with “realistic algorithms”
 - ★ i.e. serious reconstruction 10+ years before ILC turn-on
- ★ With PandoraPFA algorithm already getting to close to ILC goal (for $Z \rightarrow uds$ events)
- ★ **More importantly, getting close to being able to address real issues:**
 - ⊙ What is optimal detector size/B-field, etc.

FINAL COMMENT:

- ★ GLD, LDC, SiD calorimetry “designed” for PFA
 - ★ Need to demonstrate this actually makes sense !
 - ★ not yet proven...!
 - ★ **Need Reliable simulation of hadron showers !**