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Michaelmas 2011

243

The Local Gauge Principle

(see the Appendices A, B and C for more details)

- ★ All the interactions between fermions and spin-1 bosons in the SM are specified by the principle of LOCAL GAUGE INVARIANCE
- ★ To arrive at QED, require physics to be invariant under the local phase transformation of particle wave-functions

$$\psi \to \psi' = \psi e^{iq\chi(x)}$$

- \star Note that the change of phase depends on the space-time coordinate: $\chi(t,\vec{x})$
 - Under this transformation the Dirac Equation transforms as

$$i\gamma^{\mu}\partial_{\mu}\psi - m\psi = 0$$
 \Longrightarrow $i\gamma^{\mu}(\partial_{\mu} + iq\partial_{\mu}\chi)\psi - m\psi = 0$

- •To make "physics", i.e. the Dirac equation, invariant under this local phase transformation FORCED to introduce a massless gauge boson, A_{μ} .
- + The Dirac equation has to be modified to include this new field:

$$i\gamma^{\mu}(\partial_{\mu}-qA_{\mu})\psi-m\psi=0$$

• The modified Dirac equation is invariant under local phase transformations if:

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} - \partial_{\mu} \chi$$

Gauge Invariance

- ★ For physics to remain unchanged must have GAUGE INVARIANCE of the new field, i.e. physical predictions unchanged for $A_{\mu} \rightarrow A'_{\mu} = A_{\mu} \partial_{\mu} \chi$
- ★Hence the principle of invariance under local phase transformations completely specifies the interaction between a fermion and the gauge boson (i.e. photon):

$$i\gamma^{\mu}(\partial_{\mu}\psi - qA_{\mu})\psi - m\psi = 0$$

 \Rightarrow interaction vertex: $i\gamma^{\mu}qA_{\mu}$ (see p.111)
 \Rightarrow QED !

★ The local phase transformation of QED is a unitary U(1) transformation

 $\psi o \psi' = \hat{U} \psi$ i.e. $\psi o \psi' = \psi e^{iq\chi(x)}$ with $U^{\dagger}U = 1$

Now extend this idea...



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245

From QED to QCD

* Suppose there is another fundamental symmetry of the universe, say "invariance under SU(3) local phase transformations"

• i.e. require invariance under $\ \psi o \psi' = \psi e^{i g ec{\lambda}.ec{ heta}(x)}$ where

 $ec{\lambda}$ are the eight 3x3 Gell-Mann matrices introduced in handout 7

 $ec{ heta}(x)$ are 8 functions taking different values at each point in space-time

➡ 8 spin-1 gauge bosons

$$\Psi = \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \end{pmatrix}$$
 wave function is now a vector in COLOUR SPACE $\square QCD!$

★ QCD is fully specified by require invariance under SU(3) local phase transformations

Corresponds to rotating states in colour space about an axis whose direction is different at every space-time point

 \implies interaction vertex: $-\frac{1}{2}ig_s\lambda^a\gamma^\mu$

- \star Predicts 8 massless gauge bosons the gluons (one for each $~\lambda$ ~)
- ★ Also predicts exact form for interactions between gluons, i.e. the 3 and 4 gluon vertices the details are beyond the level of this course

Colour in QCD



- It is believed (although not yet proven) that all observed free particles are "colourless"
 - •i.e. never observe a free quark (which would carry colour charge)
 - consequently quarks are always found in bound states colourless hadrons

Colour Confinement Hypothesis:



Colour Singlets

- ★ It is important to understand what is meant by a singlet state
- ★ Consider spin states obtained from two spin 1/2 particles.
 - Four spin combinations: $\uparrow\uparrow, \uparrow\downarrow, \downarrow\uparrow, \downarrow\downarrow$
 - Gives four eigenstates of $\hat{S}^2, \, \hat{S}_z$

$$(2\otimes 2=3\oplus 1)$$

 Y^c

 I_3^c

$$\begin{array}{c} |1,+1\rangle = \uparrow \uparrow \\ |1,0\rangle = \frac{1}{\sqrt{2}}(\uparrow \downarrow + \downarrow \uparrow) \end{array} \begin{array}{c} \text{spin-1} \\ \text{triplet} \end{array} \oplus |0,0\rangle = \frac{1}{\sqrt{2}}(\uparrow \downarrow - \downarrow \uparrow) \end{array} \begin{array}{c} \text{spin-0} \\ \text{singlet} \end{array}$$

★ The singlet state is "spinless": it has zero angular momentum, is invariant under SU(2) spin transformations and spin ladder operators yield zero

$$|S_{\pm}|0,0
angle=0$$

- In the same way COLOUR SINGLETS are "colourless" combinations:
 - they have zero colour quantum numbers $I_3^c = 0, Y^c = 0$
 - invariant under SU(3) colour transformations
 - + ladder operators $T_{\pm}, \, U_{\pm}, \, V_{\pm}$ all yield zero
- **★** NOT sufficient to have $I_3^c = 0, Y^c = 0$: does not mean that state is a singlet

Meson Colour Wave-function



Baryon Colour Wave-function



 Following the discussion of construction of baryon wave-functions in SU(3) flavour symmetry obtain



The singlet colour wave-function is: $\psi_c^{qqq} = \frac{1}{\sqrt{6}}(rgb - rbg + gbr - grb + brg - bgr)$ Check this is a colour singlet... • It has $I_3^c = 0, Y^c = 0$: a necessary but not sufficient condition • Apply ladder operators, e.g. T_+ (recall $T_+g=r$) $T_+\psi_c^{qqq} = \frac{1}{\sqrt{6}}(rrb - rbr + rbr - rrb + brr - brr) = 0$ •Similarly $T_-\psi_c^{qqq} = 0; \quad V_\pm\psi_c^{qqq} = 0; \quad U_\pm\psi_c^{qqq} = 0;$ Colourless singlet - therefore qqq bound states exist ! Anti-symmetric colour wave-function Allowed Hadrons i.e. the possible colour singlet states $q\overline{q}, qqq$ **Mesons and Baryons** $q\overline{q}q\overline{q}, qqqq\overline{q}$ Exotic states, e.g. pentaquarks To date all confirmed hadrons are either mesons or baryons. However, some recent (but not entirely convincing) "evidence" for pentaquark states Michaelmas 2011 253 Prof. M.A. Thomson

Gluons





 $\begin{array}{lll} \text{OCTET:} & r\overline{g}, \ r\overline{b}, \ g\overline{r}, \ g\overline{b}, \ b\overline{r}, \ b\overline{g}, \ \frac{1}{\sqrt{2}}(r\overline{r} - g\overline{g}), \ \frac{1}{\sqrt{6}}(r\overline{r} + g\overline{g} - 2b\overline{b}) \\ \text{SINGLET:} & \frac{1}{\sqrt{3}}(r\overline{r} + g\overline{g} + b\overline{b}) \end{array}$

★ <u>BUT</u>, colour confinement hypothesis:

only colour singlet states can exist as free particles Colour singlet gluon would be unconfined. It would behave like a strongly interacting photon → infinite range Strong force.

255

256

★ Empirically, the strong force is short range and therefore know that the physical gluons are confined. The colour singlet state does not exist in nature !

NOTE: this is not entirely ad hoc. In the context of gauge field theory (see minor option) the strong interaction arises from a fundamental SU(3) symmetry. The gluons arise from the generators of the symmetry group (the Gell-Mann λ matrices). There are 8 such matrices → 8 gluons. Had nature "chosen" a U(3) symmetry, would have 9 gluons, the additional gluon would be the colour singlet state and QCD would be an unconfined long-range force.

<u>NOTE:</u> the "gauge symmetry" determines the exact nature of the interaction → FEYNMAN RULES

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Gluon-Gluon Interactions ★ In QED the photon does not carry the charge of the EM interaction (photons are electrically neutral) ***** In contrast, in QCD the gluons do carry colour charge **Gluon Self-Interactions** Two new vertices (no QED analogues) triple-gluon Geore quartic-gluon vertex vertex In addition to quark-quark scattering, therefore can have gluon-gluon scattering 0000 0000 0000 000090000 e.g. possible way of arranging the colour flow Prof. M.A. Thomson Michaelmas 2011

Gluon self-Interactions and Confinement



Hadronisation and Jets





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QCD and Colour in e⁺e⁻ Collisions



★ Colour is conserved and quarks are produced as $r\overline{r}$, $g\overline{g}$, bb**★** For a single quark flavour and single colour

$$\sigma(e^+e^- \to q_i \overline{q}_i) = \frac{4\pi\alpha^2}{3s} Q_q^2$$

• Experimentally observe jets of hadrons:

$$\sigma(e^+e^- \rightarrow \text{hadrons}) = 3 \sum_{u,d,s,..} \frac{4\pi\alpha^2}{3s} Q_q^2$$
Factor 3 comes from colours

• Usual to express as ratio compared to $\sigma(e^+e^- o \mu^+\mu^-)$

$$R_{\mu} = \frac{\sigma(e^+e^- \to \text{nadrons})}{\sigma(e^+e^- \to \mu^+\mu^-)} = 3\sum_{u,d,s,\dots}Q_q^2$$



<u>u,d,s:</u> $R_{\mu} = 3 \times (\frac{1}{9} + \frac{4}{9} + \frac{1}{9}) = 2$ <u>u,d,s,c:</u> $R_{\mu} = \frac{10}{3}$ <u>u,d,s,c,b:</u> $R_{\mu} = \frac{11}{3}$ * Data consistent with expectation with factor 3 from colour

Jet production in e+e- Collisions ★e⁺e⁻ colliders are also a good place to study gluons $e^+e^- \rightarrow q\overline{q} \rightarrow 2$ jets $e^+e^- \rightarrow q\overline{q}g \rightarrow 3$ jets $e^+e^- \rightarrow q\overline{q}gg \rightarrow 4$ jets **OPAL at LEP (1989-2000)** ā a e e γ/Z γ/Z γ/Z 0000 α_{s} α_{s} e e **Experimentally:** • Three jet rate \implies measurement of α_s Angular distributions gluons are spin-1 • Four-jet rate and distributions \implies QCD has an underlying SU(3) symmetry Prof. M.A. Thomson Michaelmas 2011 261

The Quark – Gluon Interaction

• Representing the colour part of the fermion wave-functions by:

$$r = c_1 = \begin{pmatrix} 1\\0\\0 \end{pmatrix} \qquad g = c_2 = \begin{pmatrix} 0\\1\\0 \end{pmatrix} \qquad b = c_3 = \begin{pmatrix} 0\\0\\1 \end{pmatrix}$$

- Particle wave-functions $u(p) \longrightarrow c_i u(p)$
- The QCD qqg vertex is written:

$$\overline{u}(p_3)c_j^{\dagger}\{-\tfrac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1)$$

- •Only difference w.r.t. QED is the insertion of the 3x3 SU(3) Gell-Mann matrices
- Isolating the colour part:

$$c_{j}^{\dagger}\lambda^{a}c_{i} = c_{j}^{\dagger} \begin{pmatrix} \lambda_{1i}^{a} \\ \lambda_{2i}^{a} \\ \lambda_{3i}^{a} \end{pmatrix} = \lambda_{ji}^{a}$$

•Hence the fundamental quark - gluon QCD interaction can be written

$$\overline{u}(p_3)c_j^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1)\equiv\overline{u}(p_3)\{-\frac{1}{2}ig_s\lambda_{ji}^a\gamma^{\mu}\}u(p_1)$$



Feynman Rules for QCD



Matrix Element for quark-quark scattering



U p_1 p_3 p_4 p_4 p_2 p_4 p_4 p_4 p_4 p_4 p_4 p_5 p_4 p_4 p_5 p_4 p_5 p_4 p_4 p_5 p_4 p_5 p_4 p_5 p_4 p_5 p_6 p_6 p_7 p_8 p_8 p_1 p_1 p_2 p_1 p_2 p_2 p_4 p_4 p_4 p_5 p_4 p_5 p_1 p_1 p_2 p_2 p_4 p_4 p_4 p_5 p_4 p_4 p_5 p_1 p_2 p_4 p_4 p_4 p_5 p_1 p_1 p_2 p_2 p_4 p_4 p_4 p_5 p_1 p_1 p_2 p_2 p_4 p_4 p_1 p_2 p_1 p_2 p_2 p_3 p_4 p_4 p_1 p_2 p_1 p_2 p_1 p_2 p_1 p_2 p_2 p_3 p_1 p_2 p_1 p_2 p_1 p_2 p_2 p_3 p_1 p_2 p_1 p_2 p_2 p_3 p_1 p_2 p_2 p_2 p_1 p_2 p_2 p_1 p_2 p_2 p_1 p_2 p_2 p_1 p_2 p_2 p_2 p_1 p_2 p_2 p_2 p_1 p_2 p_2 p_2 p_1 p_2 p_2 p_1 p_2 p_2 p_2 p_1 p_2 p_2 p_1 p_2 p_2 p_2 p_2 p_2 p_1 p_2 p_2 p_2 p_1 p_2 p_1 p_2 $p_$

- NOTE: the δ-function in the propagator ensures a = b, i.e. the gluon "emitted" at a is the same as that "absorbed" at b

Applying the Feynman rules:

$$-iM = \left[\overline{u}_u(p_3)\left\{-\frac{1}{2}ig_s\lambda^a_{ji}\gamma^\mu\right\}u_u(p_1)\right]\frac{-ig_{\mu\nu}}{q^2}\delta^{ab}\left[\overline{u}_d(p_4)\left\{-\frac{1}{2}ig_s\lambda^b_{lk}\gamma^\nu\right\}u_d(p_2)\right]$$

where summation over a and b (and μ and ν) is implied.

★ Summing over a and b using the δ -function gives:

$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\overline{u}_u(p_3) \gamma^{\mu} u_u(p_1)] [\overline{u}_d(p_4) \gamma^{\nu} u_d(p_2)]$$

Sum over all 8 gluons (repeated indices)

QCD vs QED



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265

Evaluation of QCD Colour Factors

 QCD colour factors reflect the gluon states that are involved 				
	$\lambda^1 = egin{pmatrix} 0 & 1 & 0 \ 1 & 0 & 0 \ 0 & 0 & 0 \end{pmatrix}$	$\lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$	$\lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$\lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	$\lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\lambda^{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$	$\lambda^7 = egin{pmatrix} 0 & 0 & 0 \ 0 & 0 & -i \ 0 & i & 0 \end{pmatrix}$	$\lambda^8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$
Gluons: $r\overline{g}, g\overline{r}$		$r\overline{b},b\overline{r}$	$g\overline{b},b\overline{g}$	$\frac{1}{\sqrt{2}}(r\overline{r}-g\overline{g}) \frac{1}{\sqrt{6}}(r\overline{r}+g\overline{g}-2b\overline{b})$
Configurations involving a single colour				
r r •Only matrices with non-zero entries in 11 position are involved				

•Only matrices with non-zero entries in 11 position are involved

$$C(rr \rightarrow rr) = \frac{1}{4} \sum_{a=1}^{8} \lambda_{11}^{a} \lambda_{11}^{a} = \frac{1}{4} (\lambda_{11}^{3} \lambda_{11}^{3} + \lambda_{11}^{8} \lambda_{11}^{8})$$

$$= \frac{1}{4} \left(1 + \frac{1}{3} \right) = \frac{1}{3}$$
Similarly find

$$C(rr \rightarrow rr) = C(gg \rightarrow gg) = C(bb \rightarrow bb) = \frac{1}{3}$$



Colour Factors : Quarks vs Anti-Quarks



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★ Finally we can consider the quark – anti-quark annihilation



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269

• Consequently the colour factors for the different diagrams are:



 $C(rr \rightarrow rr) = \frac{1}{3}$ $C(rg \rightarrow rg) = -\frac{1}{6}$ $C(rg \rightarrow gr) = \frac{1}{2}$

$$C(r\overline{r} \to r\overline{r}) = \frac{1}{3}$$
$$C(r\overline{g} \to r\overline{g}) = -\frac{1}{6}$$
$$C(r\overline{r} \to g\overline{g}) = \frac{1}{2}$$

$$C(r\overline{r} \to r\overline{r}) = \frac{1}{3}$$
$$C(r\overline{g} \to r\overline{g}) = \frac{1}{2}$$
$$C(r\overline{r} \to g\overline{g}) = -\frac{1}{6}$$

Quark-Quark Scattering

- •Consider the process $u + d \rightarrow u + d$ which can occur in the high energy proton-proton scattering
- There are nine possible colour configurations of the colliding quarks which are all equally likely.
- Need to determine the average matrix element which is the sum over all possible colours divided by the number of possible initial colour states

$$\langle |M_{fi}|^2 \rangle = \frac{1}{3} \cdot \frac{1}{3} \sum_{i,j,k,l=1}^3 |M_{fi}(ij \to kl)|^2$$

• The colour average matrix element contains the average colour factor

$$\langle |C|^2 \rangle = \frac{1}{9} \sum_{i,j,k,l=1}^3 |C(ij \to kl)|^2$$

rr→rr,..

• For $qq \rightarrow qq$

$$\langle |C|^2 \rangle = \frac{1}{9} \left[3 \times \left(\frac{1}{3}\right)^2 + 6 \times \left(-\frac{1}{6}\right)^2 + 6 \times \left(\frac{1}{2}\right)^2 \right] = \frac{2}{9}$$

 $rb \rightarrow rb...$ $rb \rightarrow br...$

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• Previously derived the Lorentz Invariant cross section for
$$e^-\mu^- \rightarrow e^-\mu$$
 elastic scattering in the ultra-relativistic limit (handout 6).

$$rac{\mathrm{d}\sigma}{\mathrm{d}q^2} = rac{2\pilpha^2}{q^4} \left[1 + \left(1 + rac{q^2}{s}\right)^2
ight]$$

•For ud ightarrow ud in QCD replace $\,lpha
ightarrowlpha_{s}\,$ and multiply by $\,\,\langle|C|^{2}
angle$

QCD
$$\frac{d\sigma}{dq^2} = \frac{2}{9} \frac{2\pi\alpha_S^2}{q^4} \left[1 + \left(1 + \frac{q^2}{\hat{s}}\right)^2 \right] \blacktriangleleft$$
 Never see colour, but enters through colour factors. Can tell QCD is SU(3)

•Here \hat{s} is the centre-of-mass energy of the quark-quark collision

• The calculation of hadron-hadron scattering is very involved, need to include parton structure functions and include all possible interactions

e.g. two jet production in proton-antiproton collisions



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271

jet

★ Tevatron collider at Fermi National Laboratory (FNAL)

- located ~40 miles from Chigaco, US
- started operation in 1987 (will run until 2009/2010)

 \star pp collisions at \sqrt{s} = 1.8 TeV c.f. 14 TeV at the LHC



Two main accelerators:

*****Main Injector

- Accelerates 8 GeV p
 to 120 GeV
- also \overline{p} to 120 GeV
- Protons sent to Tevatron & MINOS
- \overline{p} all go to Tevatron

*****Tevatron

- 4 mile circumference
- accelerates p/\overline{p} from 120 GeV to 900 GeV

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273

★ Test QCD predictions by looking at production of pairs of high energy jets









Running Coupling Constants



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278



Appendix A1 : Electromagnetism

★ In Heaviside-Lorentz units $\varepsilon_0 = \mu_0 = c = 1$ Maxwell's equations in the vacuum become

$$ec{
abla}.ec{E}=
ho; \quad ec{
abla}\wedgeec{E}=-rac{\partialec{B}}{\partial t}; \quad ec{
abla}\cdotec{B}=0; \quad ec{
abla}\wedgeec{B}=ec{J}+rac{\partialec{E}}{\partial t}$$

★ The electric and magnetic fields can be expressed in terms of scalar and vector potentials

$$\vec{E} = -\frac{\partial A}{\partial t} - \vec{\nabla}\phi; \quad \vec{B} = \vec{\nabla} \wedge \vec{A}$$
 (A1)

★ In terms of the 4-vector potential $A^{\mu} = (\phi, \vec{A})$ and the 4-vector current $j^{\mu} = (\rho, \vec{J})$ Maxwell's equations can be expressed in the covariant form:

$$\partial_{\mu}F^{\mu\nu} = j^{\nu} \tag{A2}$$

where $F^{\mu\nu}$ is the anti-symmetric field strength tensor

$$F^{\mu\nu} = \partial^{\mu}A^{\nu} - \partial^{\nu}A^{\mu} = \begin{pmatrix} 0 & -E_{x} & -E_{y} & -E_{z} \\ E_{x} & 0 & -B_{z} & B_{y} \\ E_{y} & B_{z} & 0 & -B_{x} \\ E_{z} & -B_{y} & B_{x} & 0 \end{pmatrix}$$
(A3)

• Combining (A2) and (A3)

$$\partial_{\mu}(\partial^{\mu}A^{\nu} - \partial^{\nu}A^{\mu}) = j^{\nu} \tag{A4}$$

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which can be written
$$\Box^2 A^{\mu} - \partial^{\mu} (\partial_{\nu} A^{\nu}) = j^{\mu}$$
 (A5)
where the D'Alembertian operator

$$\Box^2 = \partial_{\mathbf{v}}\partial^{\mathbf{v}} = \frac{\partial^2}{\partial t^2} - \vec{\nabla}^2$$

•Acting on equation (A5) with ∂_{v} gives

$$\partial_{\nu} j^{\nu} = \partial_{\nu} \partial_{\mu} \partial^{\mu} A^{\nu} - \partial_{\mu} \partial_{\nu} \partial^{\nu} A^{\mu} = 0$$

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{J} = 0$$
 Conservation of Electric Charge

• Conservation laws are associated with symmetries. Here the symmetry is the GAUGE INVARIANCE of electro-magnetism

Appendix A2 : Gauge Invariance (Non-examinable)

★The electric and magnetic fields are unchanged for the gauge transformation:

$$ec{A}
ightarrow ec{A}' = ec{A} + ec{
abla} \chi; \qquad \phi
ightarrow \phi' = \phi - rac{\partial \chi}{\partial t}$$

where $\chi = \chi(t, \vec{x})$ is any finite differentiable function of position and time

★ In 4-vector notation the gauge transformation can be expressed as:

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} + \partial_{\mu} \chi$$

281

(Non-examinable)

★ Using the fact that the physical fields are gauge invariant, choose χ to be a solution of $\Box^2 \gamma = -\partial_{\mu} A^{\mu}$

$$\Box^2 \chi = -\partial_\mu A^\mu$$

★ In this case we have

$$\partial^{\mu}A'_{\mu} = \partial^{\mu}(A_{\mu} + \partial_{\mu}\chi) = \partial^{\mu}A_{\mu} + \Box^{2}\chi = 0$$

★ Dropping the prime we have a chosen a gauge in which

$$\partial_{\mu}A^{\mu}=0$$
 The Lorentz Condition (A6)

★ With the Lorentz condition, equation (A5) becomes:

$$\Box^2 A^{\mu} = j^{\mu} \tag{A7}$$

 Having imposed the Lorentz condition we still have freedom to make a further gauge transformation, i.e.

$$A_{\mu}
ightarrow A'_{\mu} = A_{\mu} + \partial_{\mu} \Lambda$$

where $\Lambda(t,\vec{x})$ is any function that satisfies

$$\Box^2 \Lambda = 0 \tag{A8}$$

★ Clearly (A7) remains unchanged, in addition the Lorentz condition still holds:

$$\partial^{\mu}A'_{\mu} = \partial^{\mu}(A_{\mu} + \partial_{\mu}\Lambda) = \partial^{\mu}A_{\mu} + \Box^{2}\Lambda = \partial^{\mu}A_{\mu} = 0$$

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283

(Non-examinable)

Appendix B : Local Gauge Invariance

★The Dirac equation for a charged particle in an electro-magnetic field can be obtained from the free particle wave-equation by making the minimal substitution

In QM:

$$ec{p}
ightarrowec{p}
ightarrowec{q}
ightarrow q=$$
 charge) (see p.113)
 $i\partial_{\mu}
ightarrow i\partial_{\mu}-qA_{\mu}$ and the Dirac equation becomes

$$\gamma^{\mu}(i\partial_{\mu}-qA_{\mu})\psi-m\psi=0 \tag{B1}$$

★ In Appendix A2 : saw that the physical EM fields where invariant under the gauge transformation

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} - \partial_{\mu} \chi$$
 $\Box^2 \chi = 0$

* Under this transformation the Dirac equation becomes

$$\gamma^{\mu}(i\partial_{\mu}-qA_{\mu}+q\partial_{\mu}\chi)\psi-m\psi=0$$

which is not the same as the original equation. If we require that the Dirac equation is invariant under the Gauge transformation then under the gauge transformation we need to modify the wave-functions

$$\psi
ightarrow \psi' = \psi e^{iq\chi}$$

A Local Phase Transformation

★To prove this, applying the gauge transformation :

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} - \partial_{\mu} \chi \qquad \psi \rightarrow \psi' = \psi e^{iq\chi}$$

to the original Dirac equation gives

$$\gamma^{\mu}(i\partial_{\mu} - qA_{\mu} + q\partial_{\mu}\chi)\psi e^{iq\chi} - m\psi e^{iq\chi} = 0$$

$$i\partial_{\mu}(\psi e^{iq\chi}) = ie^{iq\chi}\partial_{\mu}\psi - q(\partial_{\mu}\chi)e^{iq\chi}\psi$$
(B2)

★ But

★ Equation (B2) becomes

$$\begin{split} \gamma^{\mu} e^{iq\chi} (i\partial_{\mu} - qA_{\mu} + q\partial_{\mu}\chi - q\partial_{\mu}\chi)\psi - m\psi e^{iq\chi} &= 0 \\ & \Longrightarrow \qquad \gamma^{\mu} e^{iq\chi} (i\partial_{\mu} - qA_{\mu})\psi - m\psi e^{iq\chi} &= 0 \\ & \Longrightarrow \qquad \gamma^{\mu} (i\partial_{\mu} - qA_{\mu})\psi - m\psi = 0 \end{split}$$

which is the original form of the Dirac equation

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285

Appendix C : Local Gauge Invariance 2

(Non-examinable) **★** Reverse the argument of Appendix B. Suppose there is a fundamental symmetry of the universe under local phase transformations $\mathcal{W}(x) \rightarrow \mathcal{W}'(x) = \mathcal{W}(x)e^{iq\chi(x)}$

- depends on the space-time coordinate $x = (t, \vec{x})$
- ★ Under this transformation the free particle Dirac equation

becomes

$$i\gamma^{\mu}\partial_{\mu}\psi - m\psi = 0$$

comes
$$i\gamma^{\mu}\partial_{\mu}(\psi e^{iq\chi}) - m\psi e^{iq\chi} = 0$$

$$ie^{iq\chi}\gamma^{\mu}(\partial_{\mu}\psi+iq\psi\partial_{\mu}\chi)-m\psi e^{iq\chi}=0$$

$$i\gamma^{\mu}(\partial_{\mu}+iq\partial_{\mu}\chi)\psi-m\psi=0$$

Local phase invariance is not possible for a free theory, i.e. one without interactions

★ To restore invariance under local phase transformations have to introduce a massless "gauge boson" A^{μ} which transforms as

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} - \partial_{\mu} \chi$$

and make the substitution

$$\partial_{\mu} \rightarrow D_{\mu} = \partial_{\mu} + iqA_{\mu}$$

Appendix D: Alternative evaluation of colour factors

"Non-examinable" but can be used to derive colour factors.

287

★The colour factors can be obtained (more intuitively) as follows :



• Write $C(ik \rightarrow jl) = \frac{1}{2}c_1c_2$

 Where the colour coefficients at the two vertices depend on the quark and gluon colours

r
$$c_1 = 1$$

b r $c_1 = \frac{1}{\sqrt{2}}$ **r** $\frac{1}{\sqrt{2}}(r\overline{r} - g\overline{g})$

• Sum over all possible exchanged gluons conserving colour at both vertices

Prof. M.A. Thomson

Michaelmas 2011

$$\begin{array}{c} \textcircled{0} \ \underline{Configurations involving a single colour} \\ e.g. \ rr \to rr: two possible exchanged gluons \\ \hline & & & \\ \hline & & &$$



\star Consider the colour factor for a q \overline{q} system in the colour singlet state:



 Combining the short-range QCD potential with the linear long-range term discussed previously:

$$V_{\rm QCD} = -\frac{4}{3}\frac{\alpha_s}{r} + \lambda r$$

 This potential is found to give a good description of the observed charmonium (cc̄) and bottomonium (bb̄) bound states.





NOTE:

- •c, b are heavy quarks
- approx. non-relativistic
- orbit close together
- probe 1/r part of V_{QCD}

Agreement of data with prediction provides strong evidence that $V_{\rm QCD}$ has the Expected form