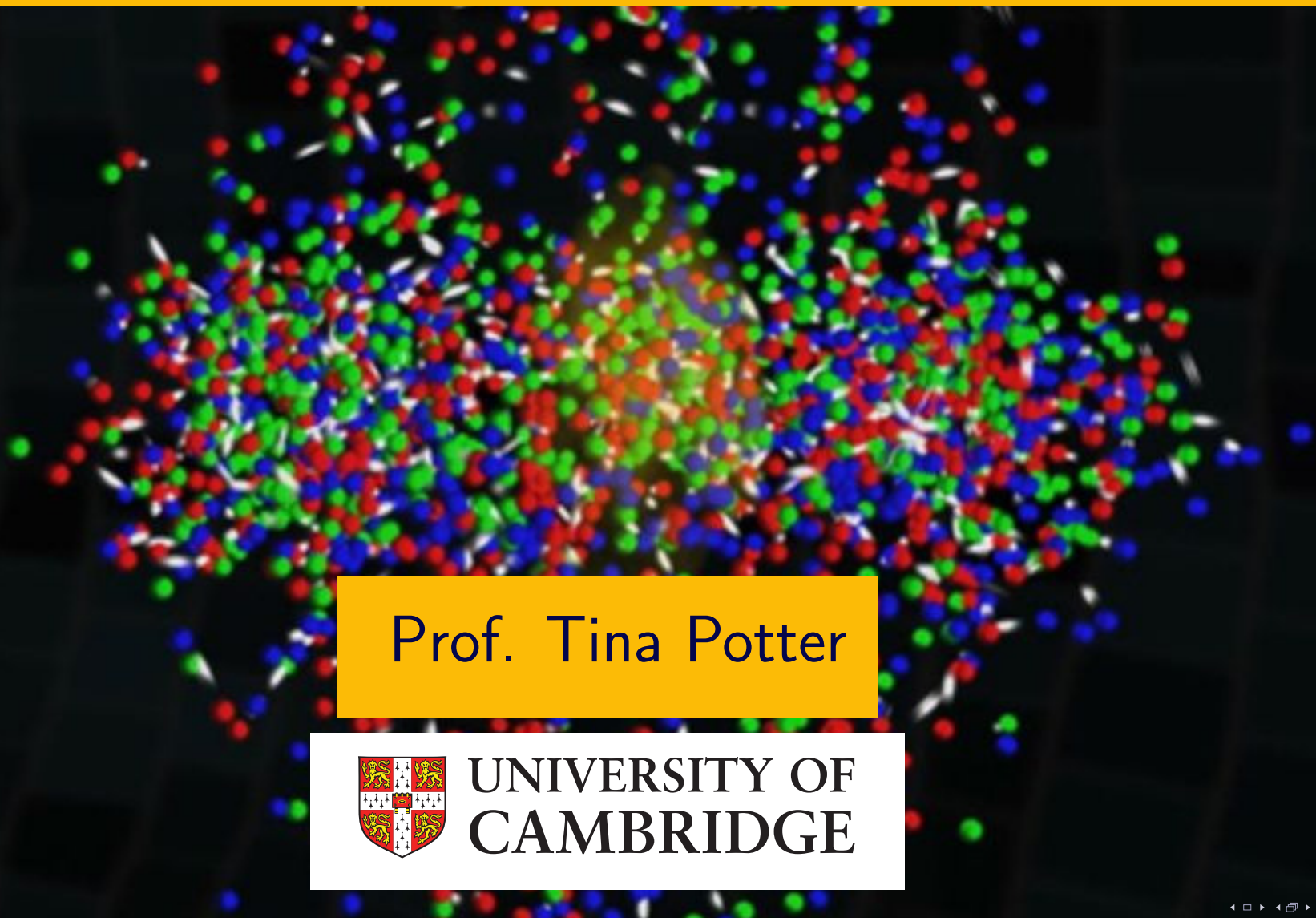


7. QCD

Particle and Nuclear Physics



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In this section...

- The strong vertex
- Colour, gluons and self-interactions
- QCD potential, confinement
- Hadronisation, jets
- Running of α_s
- Experimental tests of QCD

Quantum Electrodynamics is the quantum theory of the electromagnetic interaction.

- mediated by massless photons
- photon couples to electric charge
- strength of interaction: $\langle \psi_f | \hat{H} | \psi_i \rangle \propto \sqrt{\alpha}$

$$\alpha = \frac{e^2}{4\pi} = \frac{1}{137}$$

Quantum Chromodynamics is the quantum theory of the strong interaction.

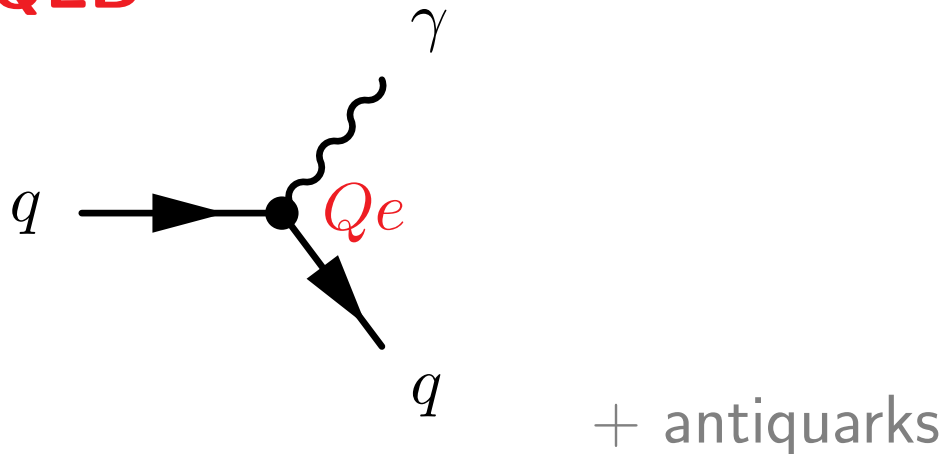
- mediated by massless gluons
- gluon couples to “strong” charge
- only quarks have non-zero “strong” charge, therefore only quarks feel the strong interaction.
- strength of interaction: $\langle \psi_f | \hat{H} | \psi_i \rangle \propto \sqrt{\alpha_s}$

$$\alpha_s = \frac{g_s^2}{4\pi} \sim 1$$

The Strong Vertex

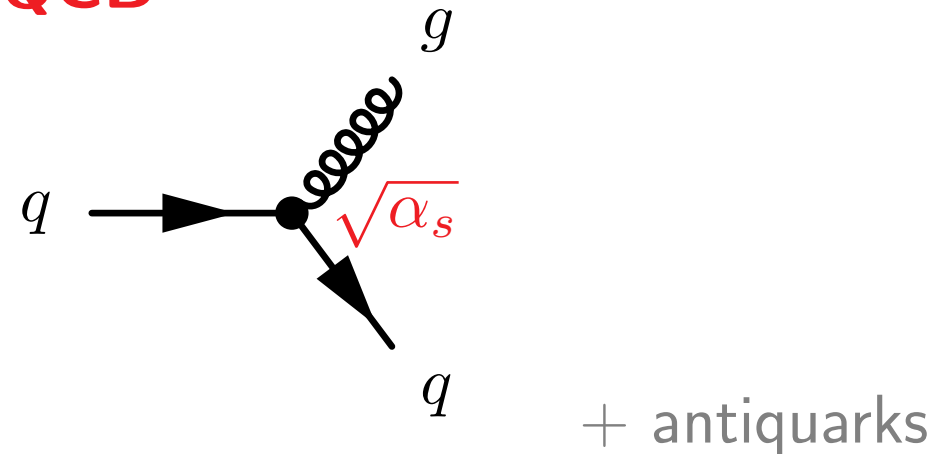
Basic QCD interaction looks like a stronger version of QED:

QED



$$\alpha = \frac{e^2}{4\pi} = \frac{1}{137}$$

QCD



$$\alpha_s = \frac{g_s^2}{4\pi} \sim 1$$

- The coupling of the gluon, g_s , is to the “strong” charge.
- Energy, momentum, angular momentum and charge **always** conserved.
- QCD vertex **never** changes quark flavour
- QCD vertex **always** conserves **parity**

Colour

QED:

- Charge of QED is electric charge, a conserved quantum number

QCD:

- Charge of QCD is called “ colour ”
- colour is a conserved quantum number with 3 values labelled red, green and blue.

Quarks carry colour r b g

Antiquarks carry anti- colour \bar{r} \bar{b} \bar{g}

- Colorless particles either have
 - no colour at all e.g. leptons, γ , W , Z and do not interact via the strong interaction
 - or equal parts r , b , g e.g. meson $q\bar{q}$ with $\frac{1}{\sqrt{3}}(r\bar{r} + b\bar{b} + g\bar{g})$, baryon qqq with rgb
- gluons do not have equal parts r , b , g , so carry colour (e.g. $r\bar{r}$, see later)

QCD as a gauge theory

- Recall QED was invariant under gauge symmetry

$$\psi \rightarrow \psi' = e^{iq\alpha(\vec{r},t)}\psi$$

- The equivalent symmetry for QCD is invariance under *(non-examinable)*

$$\psi \rightarrow \psi' = e^{ig\vec{\lambda}\cdot\vec{\Lambda}(\vec{r},t)}\psi$$

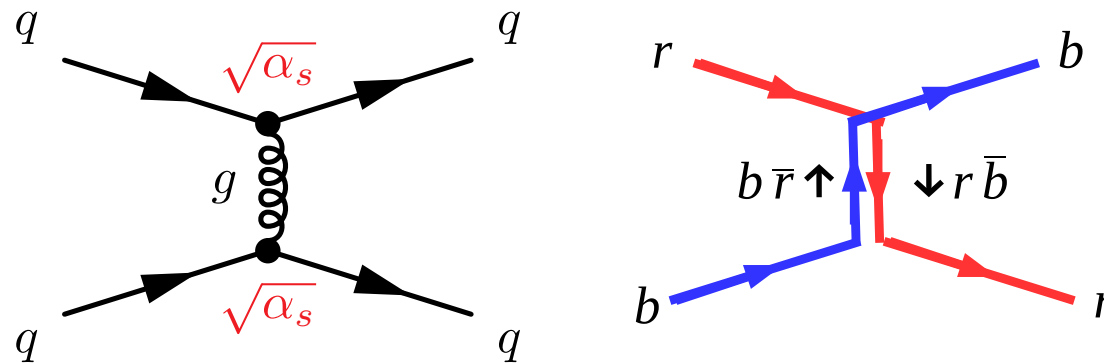
an “SU(3)” transformation (λ are eight 3x3 matrices).

- Operates on the colour state of the quark field – a “rotation” of the colour state which can be different at each point of space and time.
- Invariance under SU(3) transformations \rightarrow eight massless gauge bosons, **gluons** (eight in this case). Gluon couplings are well specified.
- Gluons also have self-couplings, i.e. they carry colour themselves...

Gluons

Gluons are **massless** spin-1 bosons, which carry the colour quantum number (unlike γ in QED which is charge neutral).

Consider a **red** quark scattering off a **blue** quark. Colour is exchanged, but always conserved (overall and at each vertex).



Expect 9 gluons (3x3): $r\bar{b}$ $r\bar{g}$ $g\bar{r}$ $g\bar{b}$ $b\bar{g}$ $b\bar{r}$ $r\bar{r}$ $b\bar{b}$ $g\bar{g}$

However: Real gluons are orthogonal linear combinations of the above states. The combination $\frac{1}{\sqrt{3}}(r\bar{r} + b\bar{b} + g\bar{g})$ is **colourless** and does not participate in the strong interaction. \Rightarrow **8 coloured gluons**

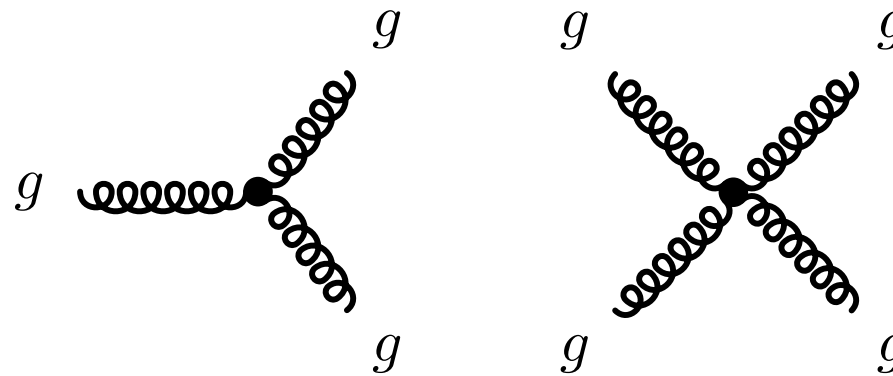
Conventionally chosen to be (all orthogonal):

$$r\bar{b} \quad r\bar{g} \quad g\bar{r} \quad g\bar{b} \quad b\bar{g} \quad b\bar{r} \quad \frac{1}{\sqrt{2}}(r\bar{r} - b\bar{b}) \quad \frac{1}{\sqrt{6}}(r\bar{r} + b\bar{b} - 2g\bar{g})$$

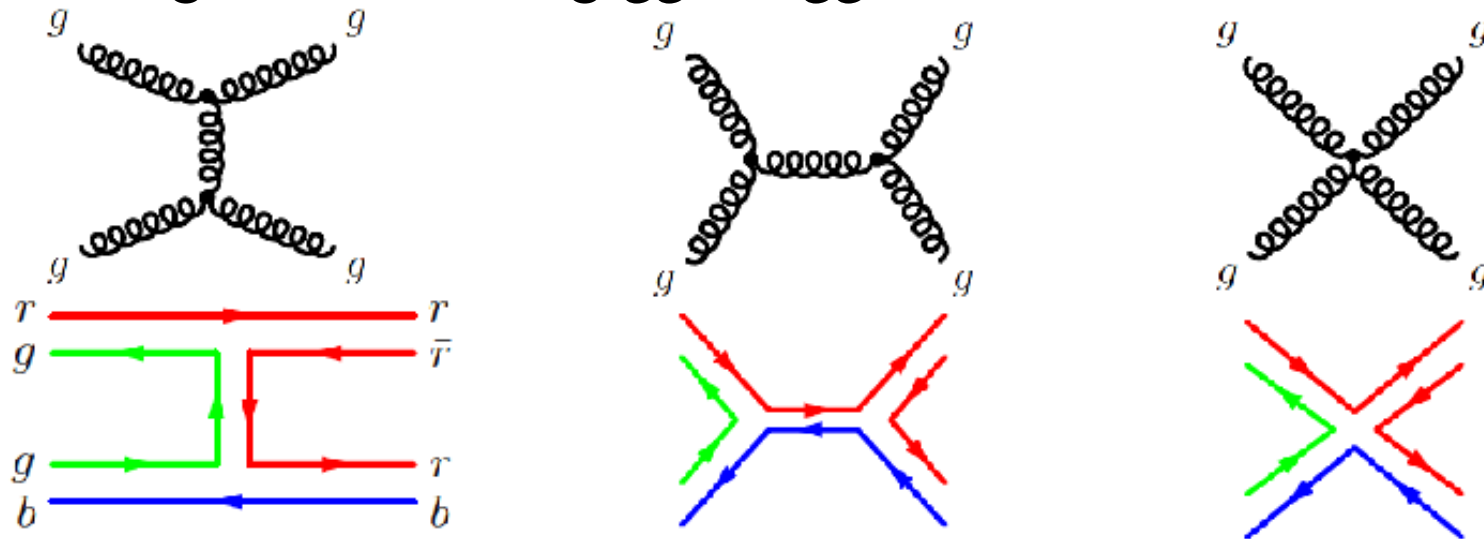
Gluon Self-Interactions

QCD looks like a stronger version of QED. However, there is one **big** difference and that is **gluons** carry **colour charge**.

⇒ Gluons can interact with other gluons



Example: Gluon-gluon scattering $gg \rightarrow gg$



Same colour flow in each case: $r\bar{g} + g\bar{b} \rightarrow r\bar{r} + r\bar{b}$

QCD Potential

QED Potential:

$$V_{\text{QED}} = -\frac{\alpha}{r}$$

QCD Potential:

$$V_{\text{QCD}} = -C\frac{\alpha_s}{r}$$

At short distances, QCD potential looks similar, apart from the “colour factor” C .

For $q\bar{q}$ in a colourless state in a meson, $C = 4/3$

For qq in a colourless state in baryon, $C = 2/3$

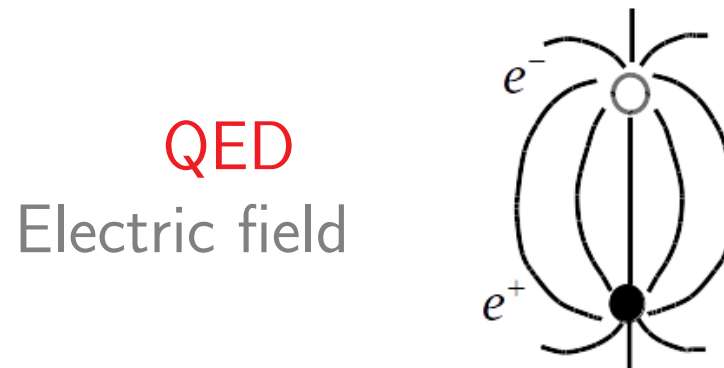
Note: the colour factor C arises because more than one gluon can participate in the process $q \rightarrow qg$. Obtain colour factor from averaging over initial colour states and summing over final/intermediate colour states.

Confinement

Never observe single free quarks or gluons

- Quarks are always confined within hadrons
- This is a consequence of the strong interaction of gluons.

Qualitatively, compare QCD with QED:



Self interactions of the gluons squeezes the lines of force into a narrow tube or **string**. The string has a “tension” and as the quarks separate the string stores potential energy.

Energy stored per unit length in field \sim constant $V(r) \propto r$

Energy required to separate two quarks is infinite. Quarks always come in combinations with zero net colour charge \Rightarrow **confinement**.

How Strong is Strong?

QCD potential between quark and antiquark has two components:

- Short range, Coulomb-like term: $-\frac{4\alpha_s}{3r}$
- Long range, linear term: $+kr$

$$V_{\text{QCD}} = -\frac{4\alpha_s}{3r} + kr$$

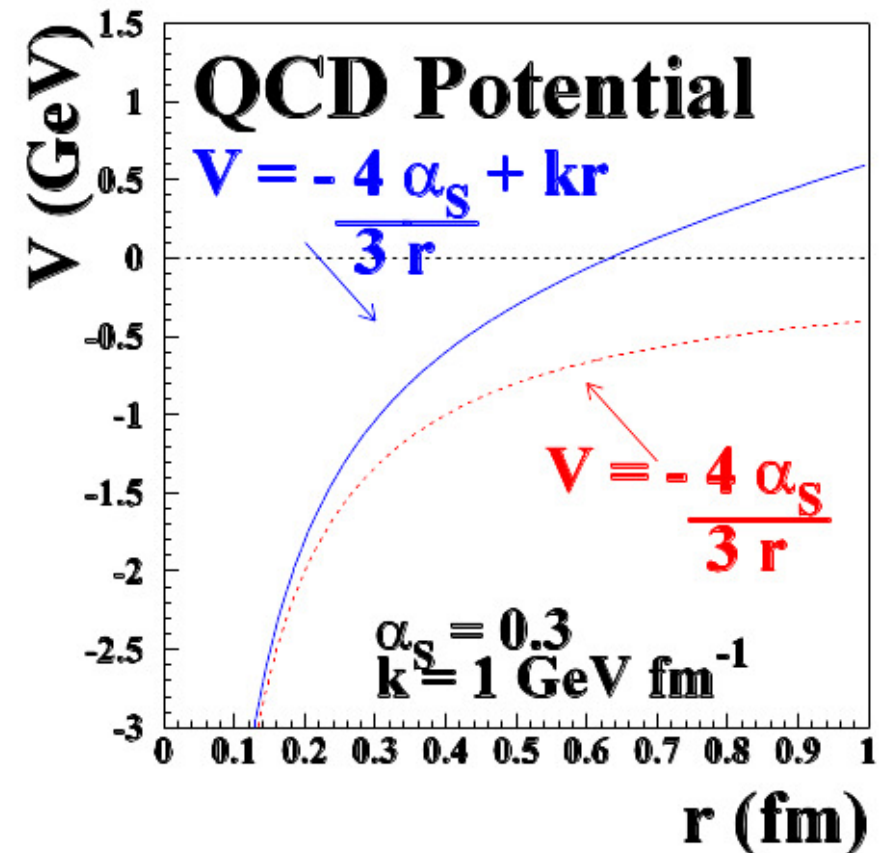
with $k \sim 1 \text{ GeV/fm}$

$$F = -\frac{dV}{dr} = \frac{4\alpha_s}{3r^2} + k$$

at large r

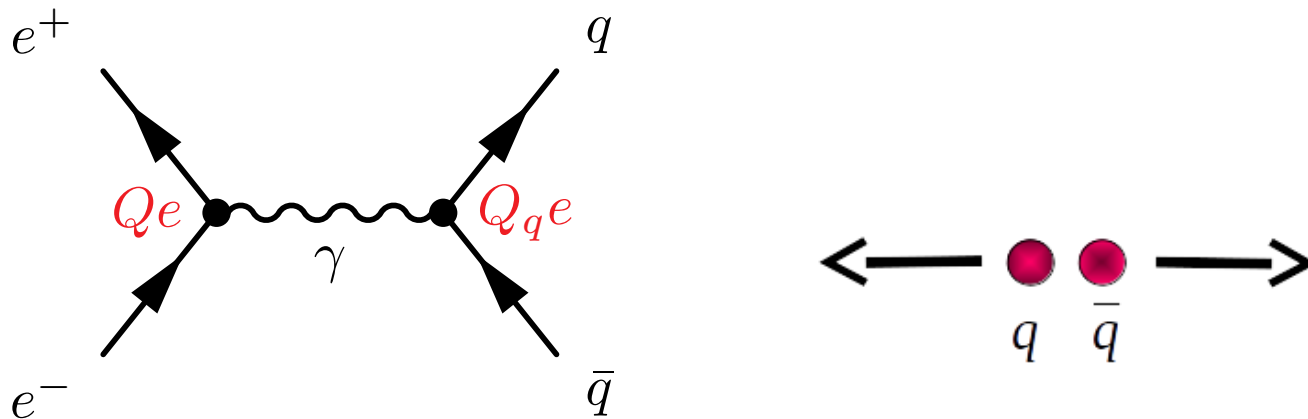
$$F = k \sim \frac{1.6 \times 10^{-10}}{10^{-15}} \text{ N} = 160,000 \text{ N}$$

Equivalent to weight of ~ 150 people

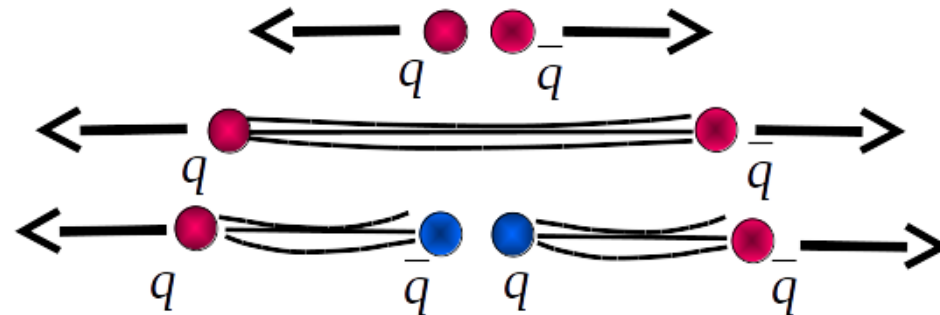


Jets

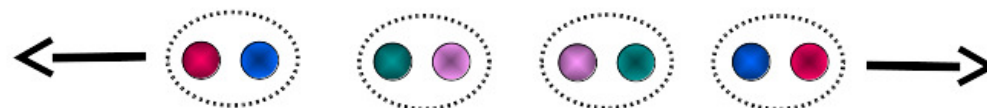
Consider the $q\bar{q}$ pair produced in $e^+e^- \rightarrow q\bar{q}$



As the quarks separate, the potential energy in the colour field (“string”) starts to increase linearly with separation. When the energy stored exceeds $2m_q$, new $q\bar{q}$ pairs can be created.

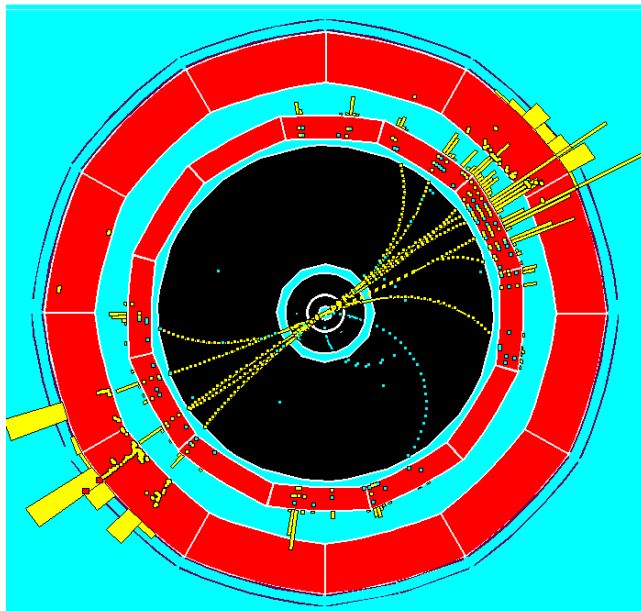
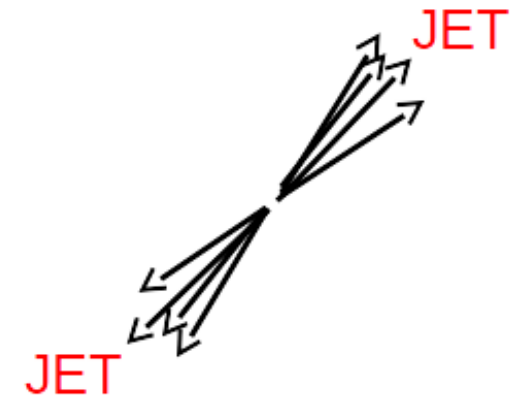
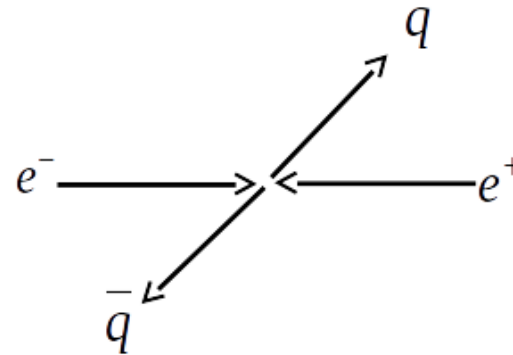
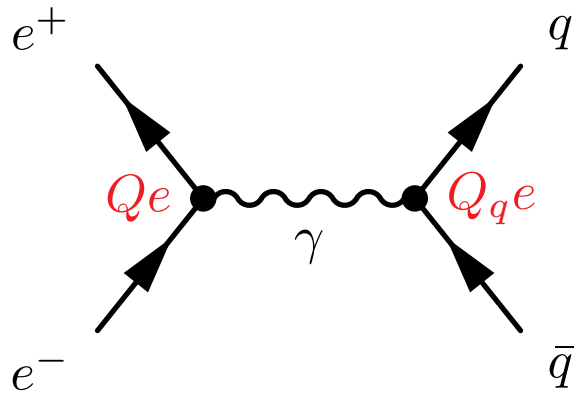


As energy decreases, hadrons (mainly mesons) freeze out



Jets

As quarks separate, more $q\bar{q}$ pairs are produced. This process is called **hadronisation**. Start out with quarks and end up with narrowly collimated **jets** of **hadrons**.



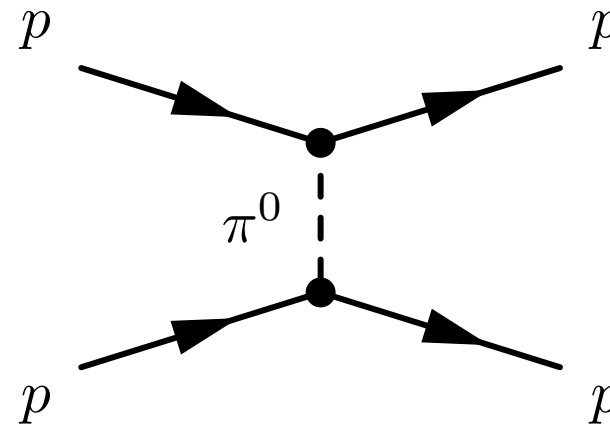
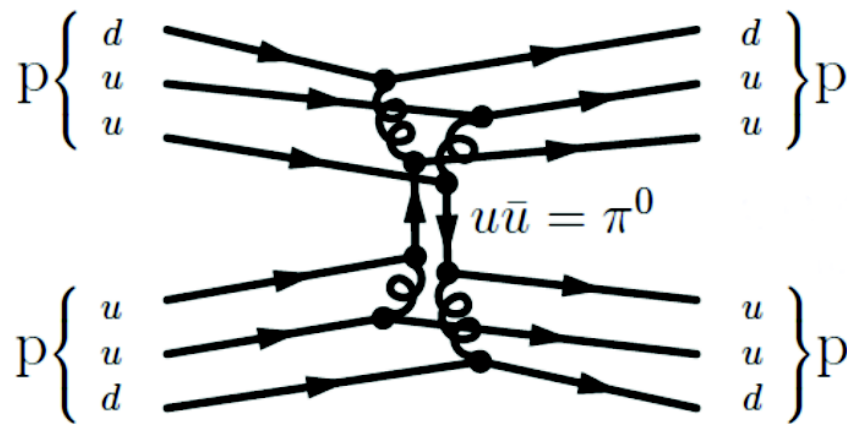
Typical $e^+e^- \rightarrow q\bar{q}$ event

The hadrons in a quark(antiquark) jet follow the direction of the original quark(antiquark). Consequently, $e^+e^- \rightarrow q\bar{q}$ is observed as a pair of back-to-back jets.

Nucleon-Nucleon Interactions

- Bound qqq states (e.g. protons and neutrons) are **colourless** (colour singlets)
- They can only emit and absorb another colour singlet state, i.e. not single gluons (conservation of colour charge).
- Interact by exchange of **pions**.

Example: pp scattering (One possible diagram)



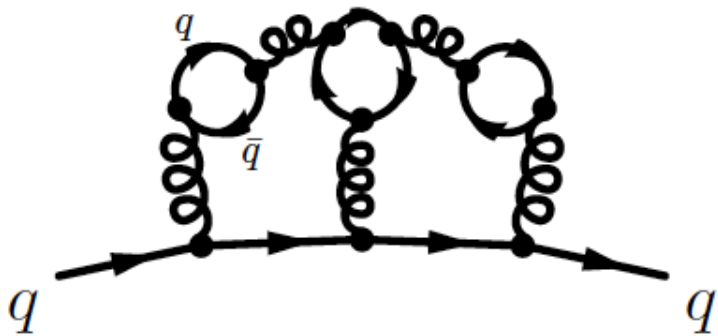
- Nuclear potential is **Yukawa** potential with
- Short range force:

$$V(r) = -\frac{g^2}{4\pi} \frac{e^{-m_\pi r}}{r}$$

$$\text{Range} = \frac{1}{m_\pi} = (0.140 \text{ GeV})^{-1} = 7 \text{ GeV}^{-1} = 7 \times (\hbar c) \text{ fm} = 1.4 \text{ fm}$$

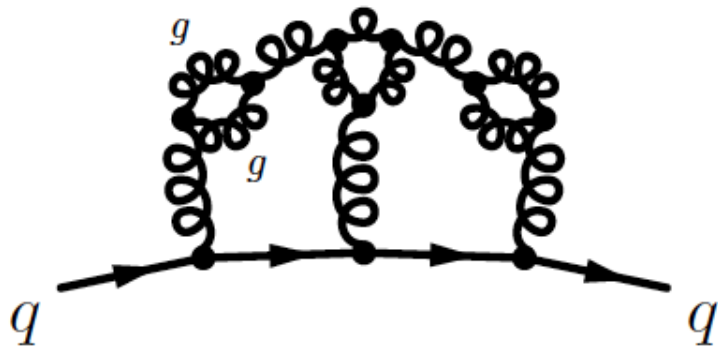
Running of α_s

- α_s specifies the strength of the strong interaction.
- **But**, just as in QED, α_s is not a constant. It “runs” (i.e. depends on energy).
- In QED, the bare electron charge is screened by a cloud of virtual electron-positron pairs.
- In QCD, a similar “colour screening” effect occurs.



In QCD, quantum fluctuations lead to a cloud of virtual $q\bar{q}$ pairs.

One of many (an infinite set) of such diagrams analogous to those for QED.

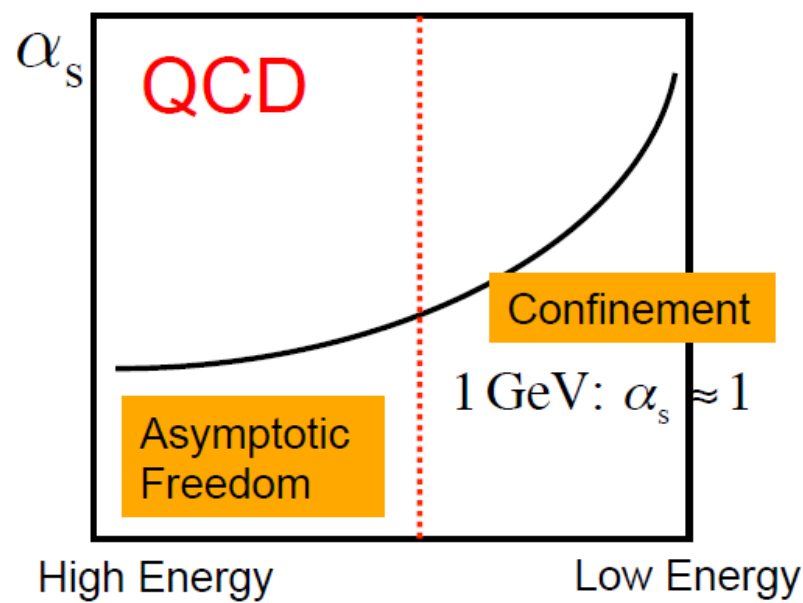
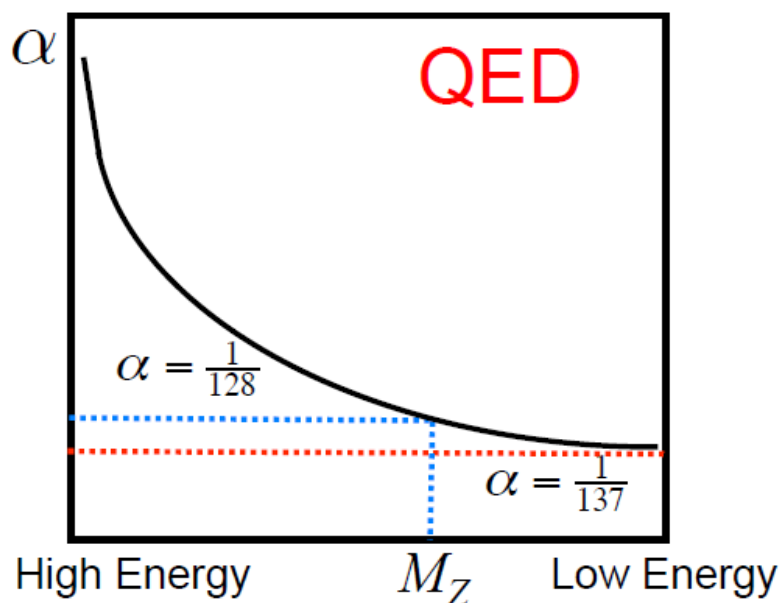


In QCD, the gluon self-interactions **also** lead to a cloud of virtual gluons.

One of many (an infinite set) of such diagrams. No analogy in QED, photons do not carry the charge of the interaction.

Colour Anti-Screening

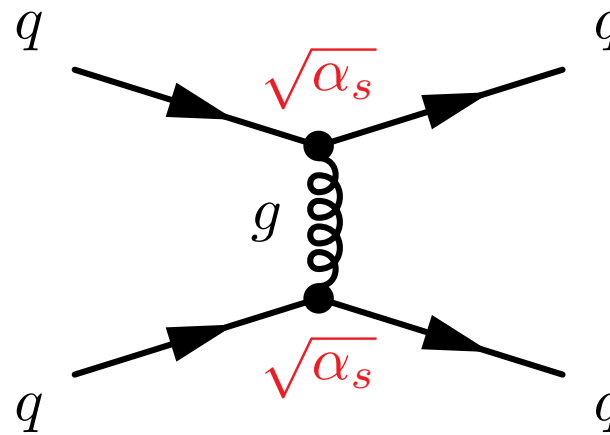
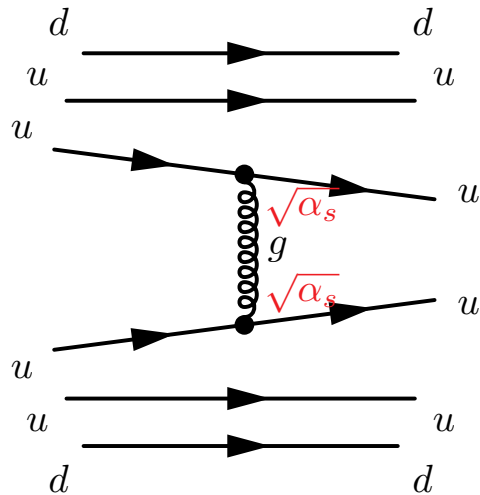
- Due to gluon self-interactions bare colour charge is **screened** by both virtual quarks and gluons.
- The cloud of virtual gluons carries colour charge and the effective colour charge **decreases** at smaller distances (high energy)!
- Hence, at low energies, α_s is large \rightarrow cannot use perturbation theory.
- But at high energies, α_s is small. In this regime, can treat quarks as free particles and use perturbation theory \rightarrow **Asymptotic Freedom**.



$$\sqrt{s} = 100 \text{ GeV}, \quad \alpha_s = 0.12$$

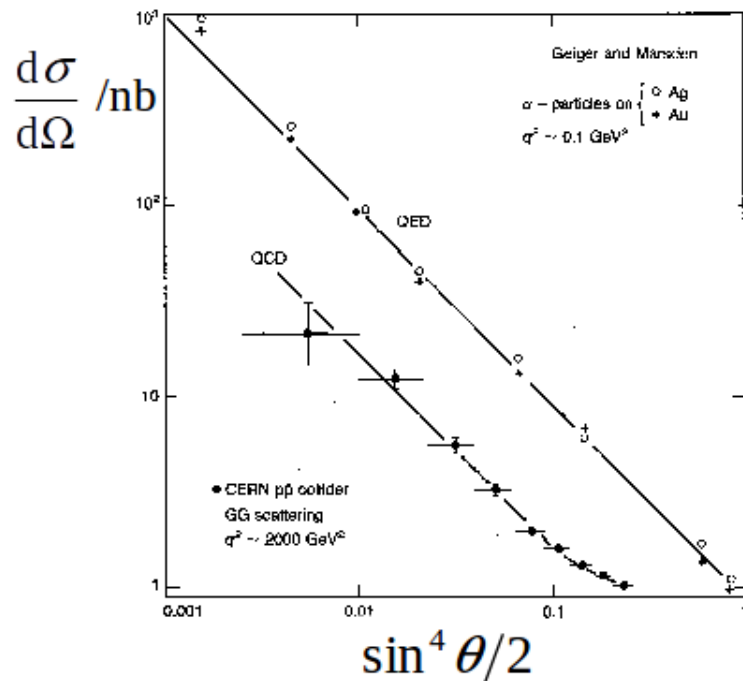
Scattering in QCD

Example: High energy proton-proton scattering.



$$M \sim \frac{1}{q^2} \sqrt{\alpha_s} \sqrt{\alpha_s}$$

$$\Rightarrow \frac{d\sigma}{d\Omega} \sim \frac{(\alpha_s)^2}{\sin^4 \theta/2}$$



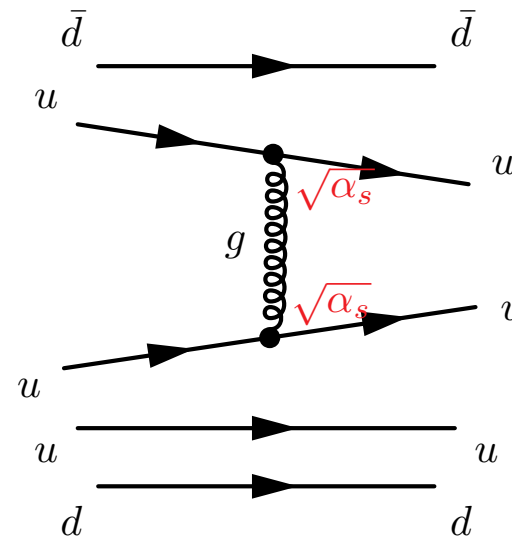
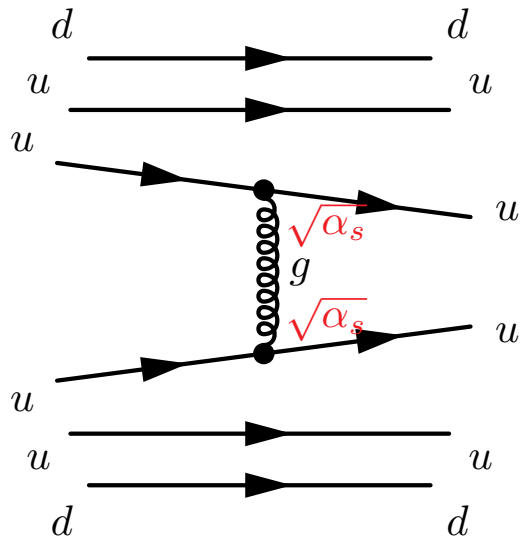
Upper points: Geiger and Marsden data (1911) for the elastic scattering of a particles from gold and silver foils.

Lower points: angular distribution of quark jets observed in pp scattering at $q^2 = 2000 \text{ GeV}^2$.

Both follow the Rutherford formula for elastic scattering.

Scattering in QCD

Example: pp vs $\pi^+ p$ scattering



Calculate ratio of $\sigma(pp)_{\text{total}}$ to $\sigma(\pi^+ p)_{\text{total}}$

QCD does not distinguish between quark flavours, only **colour** charge of quarks matters.

At high energy ($E \gg$ binding energy of quarks within hadrons), ratio of $\sigma(pp)_{\text{total}}$ and $\sigma(\pi^+ p)_{\text{total}}$ depends on number of possible quark-quark combinations.

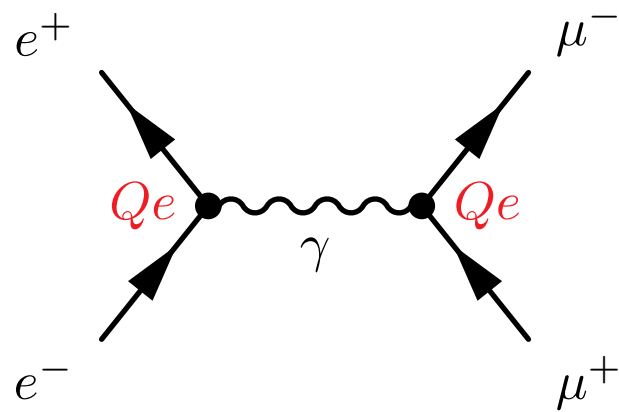
Predict:
$$\frac{\sigma(\pi p)}{\sigma(pp)} = \frac{2 \times 3}{3 \times 3} = \frac{2}{3}$$

Experiment:
$$\frac{\sigma(\pi p)}{\sigma(pp)} = \frac{24 \text{ mb}}{38 \text{ mb}} \sim \frac{2}{3}$$

QCD in e^+e^- Annihilation

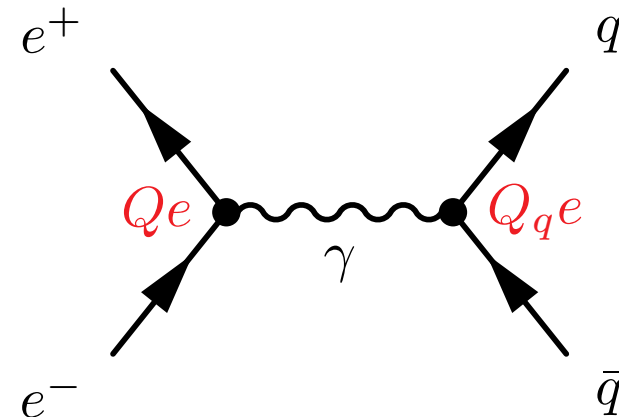
e^+e^- annihilation at high energies provides direct experimental evidence for **colour** and for **gluons**.

Start by comparing the cross-sections for $e^+e^- \rightarrow \mu^+\mu^-$ and $e^+e^- \rightarrow q\bar{q}$



$$M \sim \frac{1}{q^2} \sqrt{\alpha} \sqrt{\alpha}$$

$$\Rightarrow \sigma(e^+e^- \rightarrow \mu^+\mu^-) = \frac{4\pi\alpha^2}{3s}$$



$$M \sim \frac{1}{q^2} Q_q \sqrt{\alpha} \sqrt{\alpha}$$

If we neglect the mass of the final state quarks/muons then the **only** difference is the charge of the final state particles:

$$Q_\mu = -1 \quad Q_q = +\frac{2}{3}, \quad -\frac{1}{3}$$

Evidence for Colour

Consider the ratio
$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

For a single quark of a given colour $R = Q_q^2$

However, we measure $\sigma(e^+e^- \rightarrow \text{hadrons})$ not just $\sigma(e^+e^- \rightarrow u\bar{u})$.

A jet from a u -quark looks just like a jet from a d -quark etc.

Thus, we need to sum over all available flavours (u, d, c, s, t, b) and colours (r, g, b):

$$R = 3 \sum_i Q_i^2 \quad (3 \text{ colours})$$

where the sum is over all quark flavours (i) that are kinematically accessible at centre-of-mass energy, \sqrt{s} , of the collider.

Evidence for Colour

Expect to see **steps in R** as energy is increased.

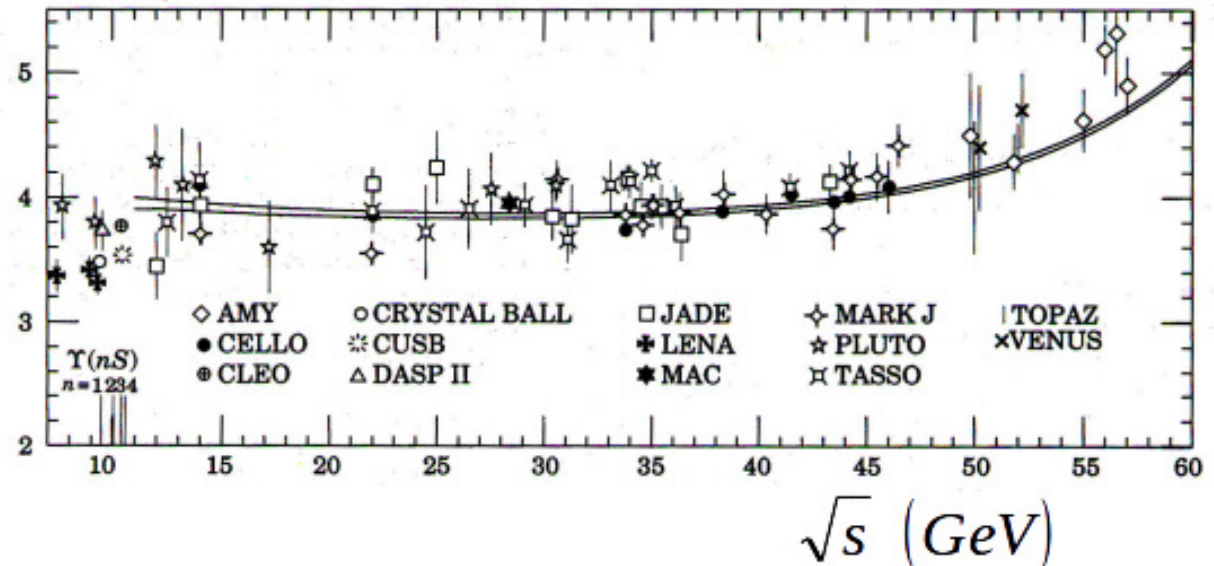
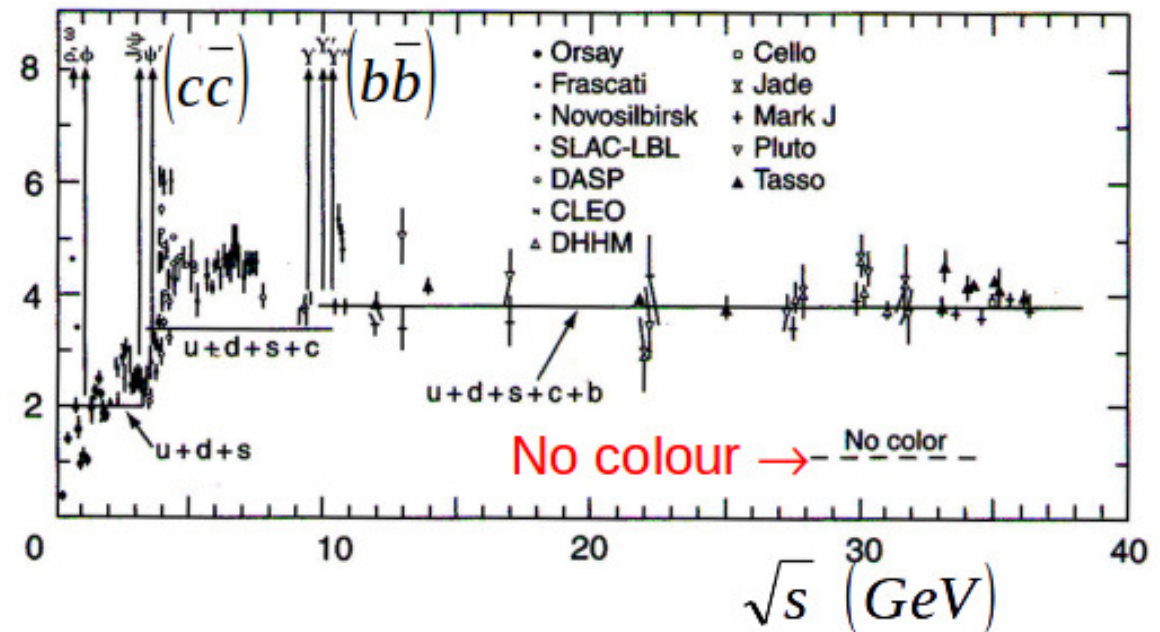
$$R = 3 \sum_i Q_i^2$$

Energy	Expected ratio R
$\sqrt{s} > 2m_s, \quad \sim 1 \text{ GeV}$	$3 \left(\frac{4}{9} + \frac{1}{9} + \frac{1}{9} \right) = 2$ <i>uds</i>
$\sqrt{s} > 2m_c, \quad \sim 4 \text{ GeV}$	$3 \left(\frac{4}{9} + \frac{1}{9} + \frac{1}{9} + \frac{4}{9} \right) = 3\frac{1}{3}$ <i>udsc</i>
$\sqrt{s} > 2m_b, \quad \sim 10 \text{ GeV}$	$3 \left(\frac{4}{9} + \frac{1}{9} + \frac{1}{9} + \frac{4}{9} + \frac{1}{9} \right) = 3\frac{2}{3}$ <i>udscb</i>
$\sqrt{s} > 2m_t, \quad \sim 350 \text{ GeV}$	$3 \left(\frac{4}{9} + \frac{1}{9} + \frac{1}{9} + \frac{4}{9} + \frac{1}{9} + \frac{4}{9} \right) = 5$ <i>udscbt</i>

Evidence for Colour

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

- R increases in steps with \sqrt{s}
Strong evidence for colour
- $\sqrt{s} < 11$ GeV region observe bound state resonances: charmonium ($c\bar{c}$) and bottomonium ($b\bar{b}$)
- $\sqrt{s} > 50$ GeV region observe low edge of Z resonance $\Gamma \sim 2.5$ GeV.



Experimental Evidence for Colour

- $$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

- ## The existence of Ω^- (sss)

The Ω^- (sss) is a ($L = 0$) spin-3/2 baryon consisting of three s-quarks.

The wavefunction: $\psi = s \uparrow s \uparrow s \uparrow$

is **symmetric** under particle interchange. However, quarks are **fermions**, therefore require an **anti-symmetric** wave-function, i.e. need another degree of freedom, namely **colour**, whose wavefunction must be antisymmetric.

$$\psi = (s \uparrow s \uparrow s \uparrow) \psi_{\text{colour}}$$

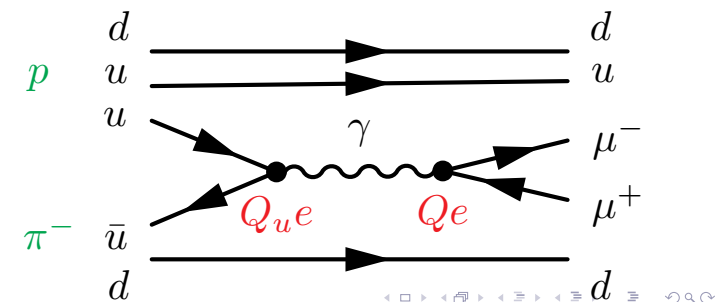
$$\psi_{\text{colour}} = \frac{1}{\sqrt{6}}(rgb + gbr + brg - grb - rbg - bgr)$$

i.e. need to introduce a new quantum number (**colour**) to distinguish the three quarks in Ω^- – avoids violation of Pauli's Exclusion Principle.

- ## Drell-Yan process

Need colour to explain cross-section; colours of the annihilating quarks must match to form a virtual photon.

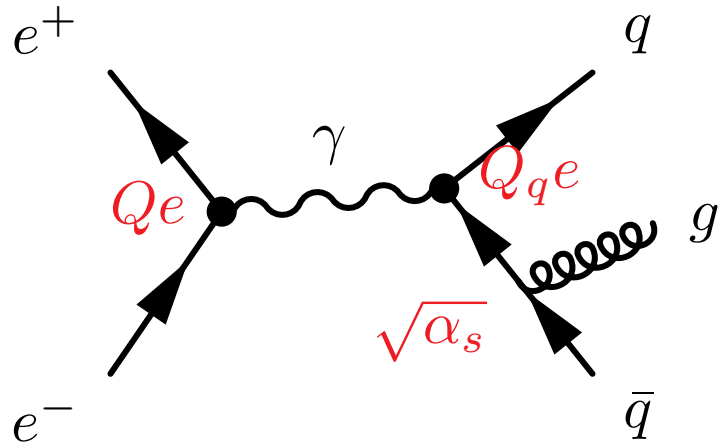
Cross-section suppressed by a factor $1/N_{\text{colour}}$.



Evidence for Gluons

In QED, electrons can radiate photons. In QCD, quarks can radiate gluons.

Example: $e^- e^+ \rightarrow q \bar{q} g$



$$M \sim \frac{Q_q}{q^2} \sqrt{\alpha} \sqrt{\alpha} \sqrt{\alpha_s}$$

Giving an extra factor of $\sqrt{\alpha_s}$ in the matrix element, i.e. an extra factor of α_s in the cross-section.

In QED we can detect the photons. In QCD, we never see free gluons due to **confinement**.

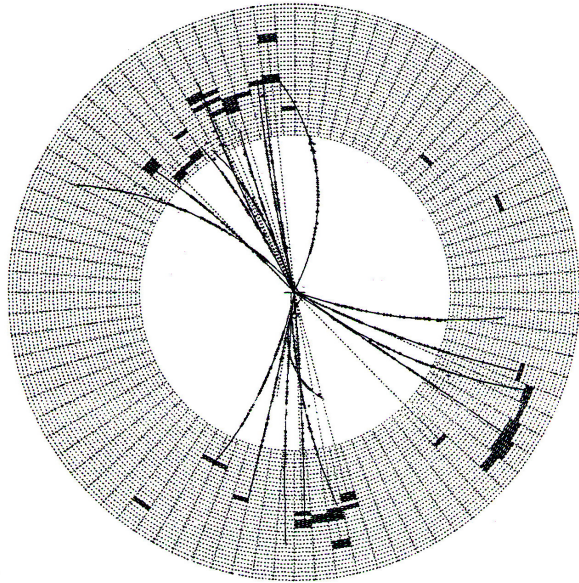
Experimentally, detect gluons as an additional jet: **3-jet events**.

– Angular distribution of gluon jet depends on gluon spin.

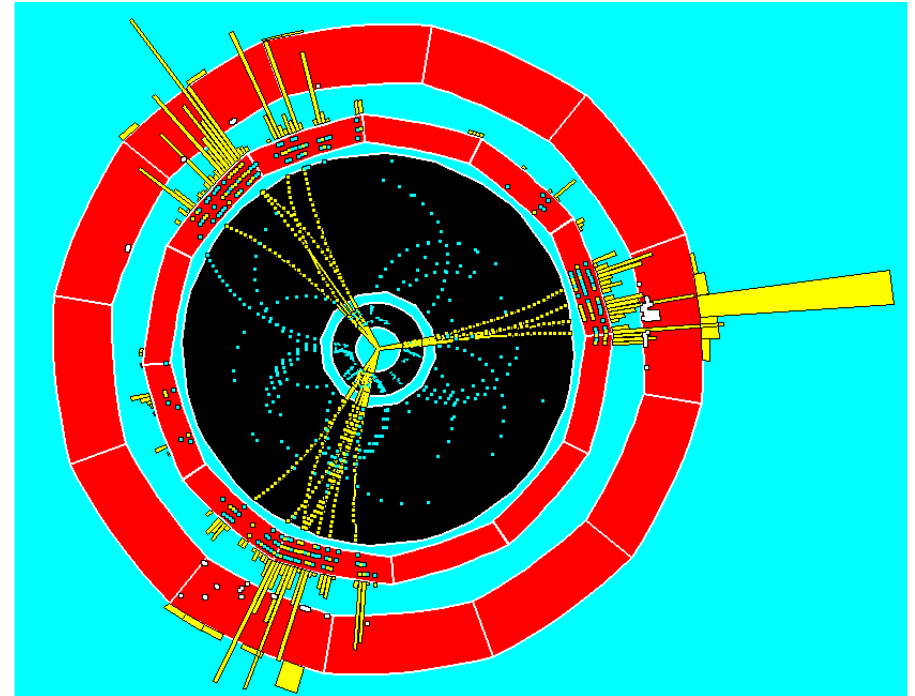
Evidence for Gluons

JADE event $\sqrt{s} = 31 \text{ GeV}$

First direct evidence of gluons (1978)

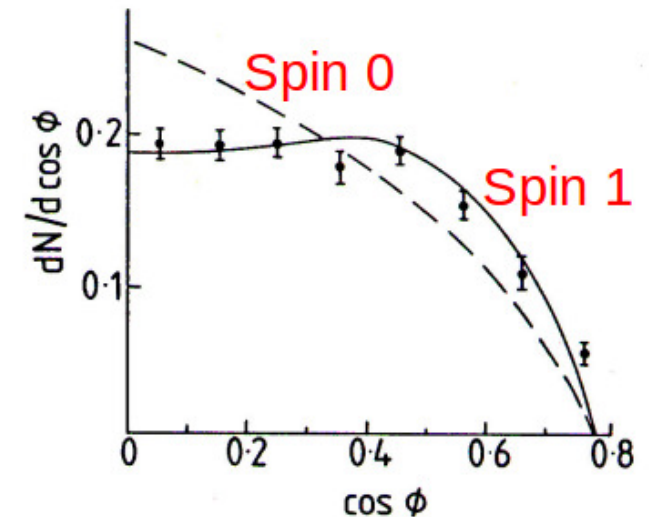


ALEPH event $\sqrt{s} = 91 \text{ GeV}$ (1990)



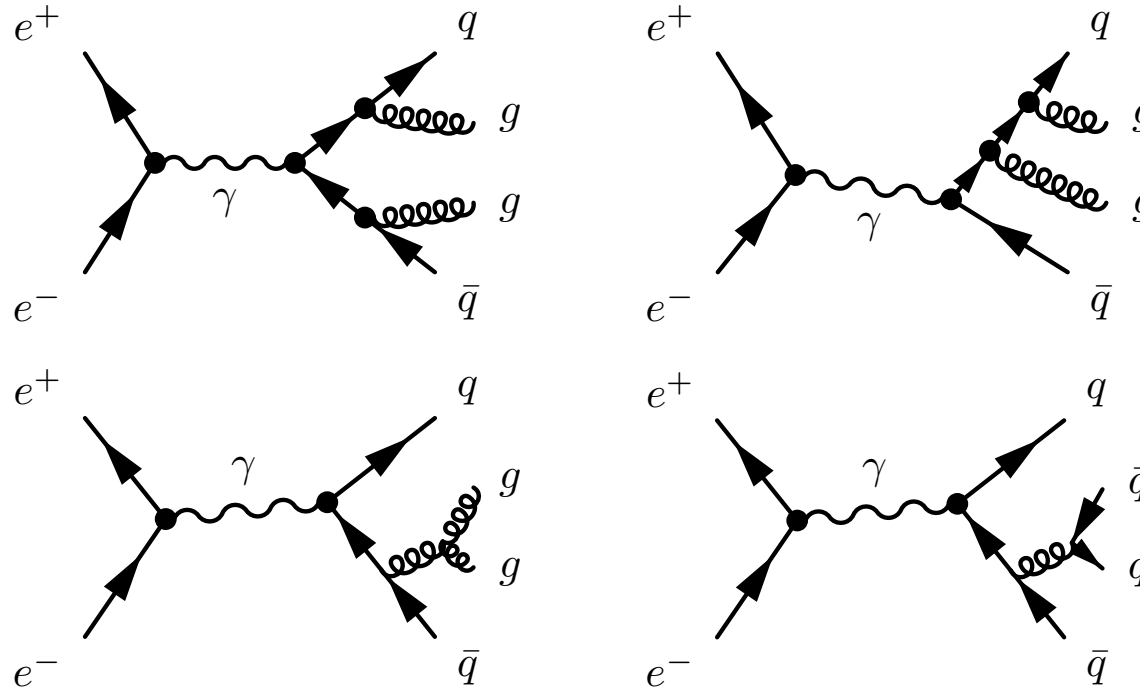
Distribution of the angle, ϕ , between the highest energy jet (assumed to be one of the quarks) relative to the flight direction of the other two (in their cm frame). ϕ distribution depends on the spin of the gluon.

⇒ Gluon is spin 1



Evidence for Gluon Self-Interactions

Direct evidence for the existence of the gluon self-interactions comes from **4-jet events**:



The angular distribution of jets is sensitive to existence of triple gluon vertex (lower left diagram)

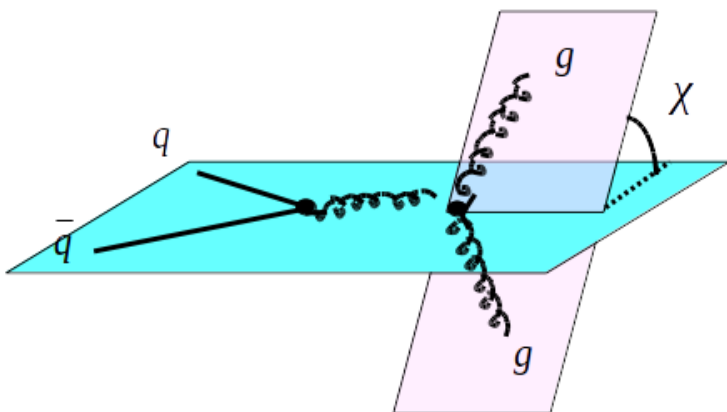
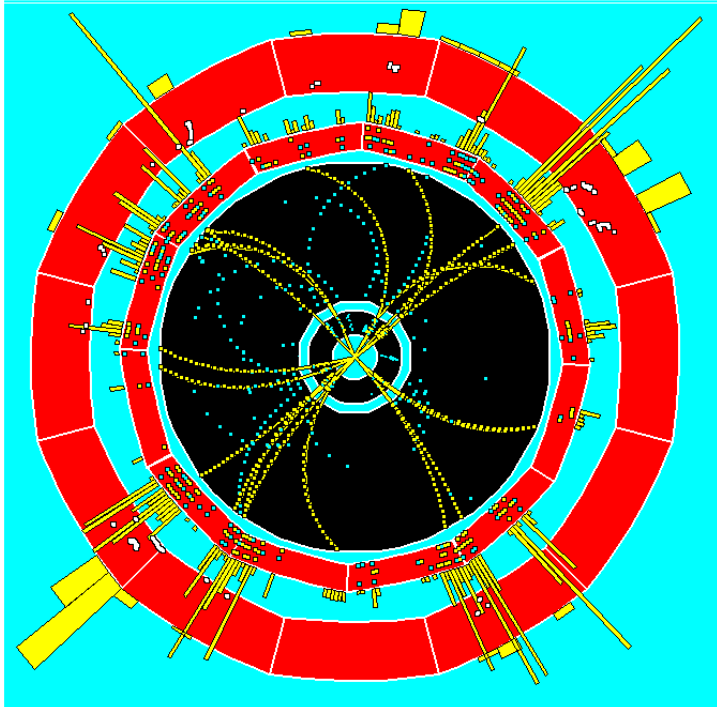
qqg vertex consists of two spin $1/2$ quarks and one spin 1 gluon

ggg vertex consists of three spin-1 gluons

⇒ **Different angular distribution.**

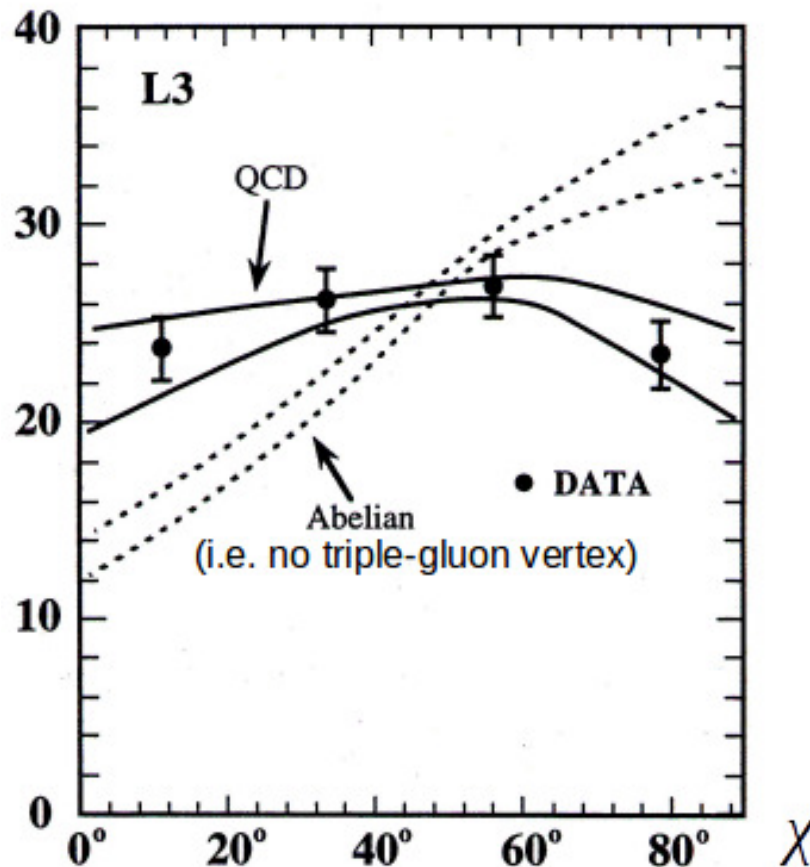
Evidence for Gluon Self-Interactions

ALEPH 4-jet event



Experimental method:

- Define the two lowest energy jets as the gluons. (Gluon jets are more likely to be lower energy than quark jets).
- Measure angle χ between the plane containing the “quark” jets and the plane containing the “gluon” jets.



Gluon self-interactions are required to describe the experimental data.

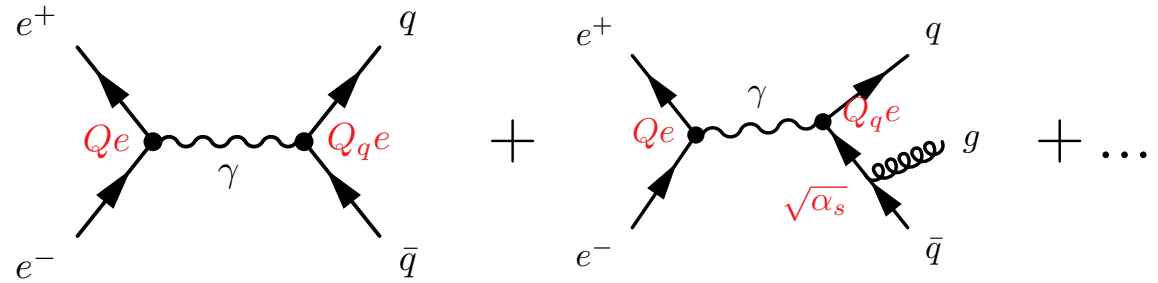
Measurements of α_s

α_s can be measured in many ways.

The cleanest is from the ratio

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

In practise, measure



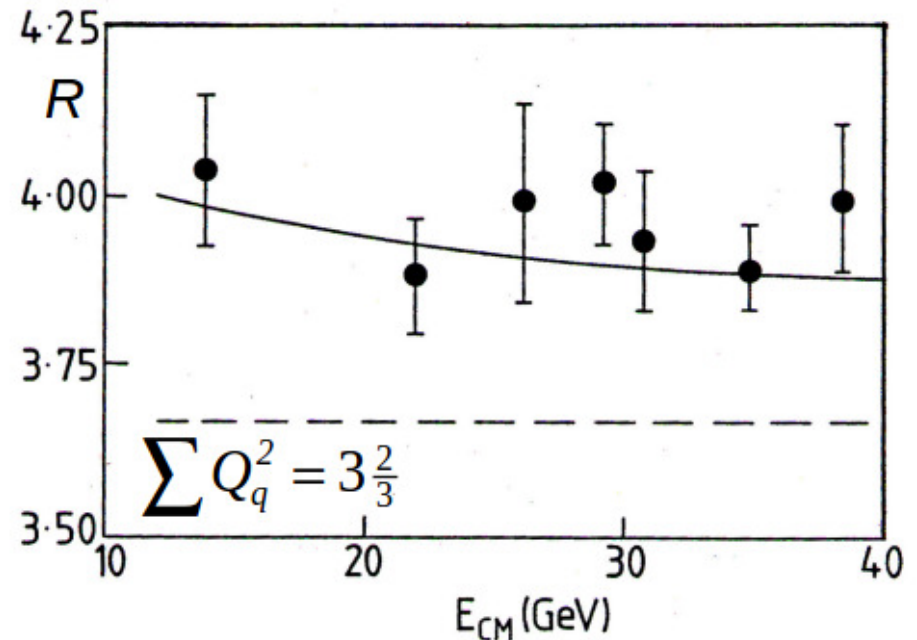
i.e. don't distinguish between 2 and 3 jets

When gluon radiation is included:

$$R = 3 \sum Q_q^2 \left(1 + \frac{\alpha_s}{\pi} \right)$$

Therefore, $\left(1 + \frac{\alpha_s}{\pi} \right) \sim \frac{3.9}{3.66}$

$$\alpha_s(q^2 = 25^2) \sim 0.2$$

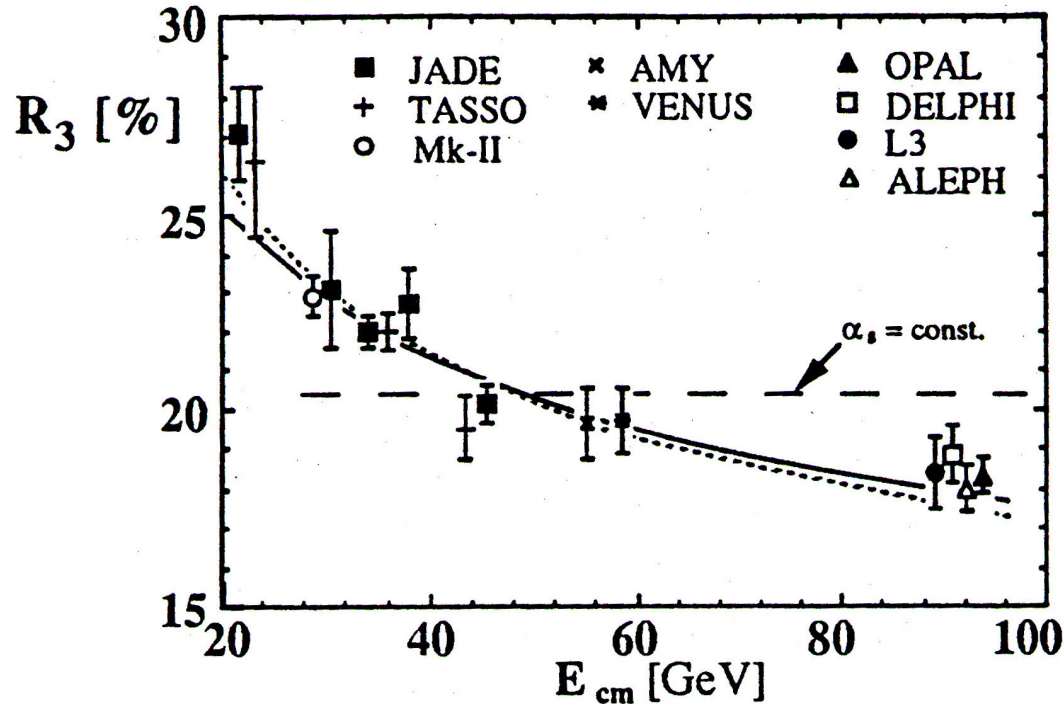
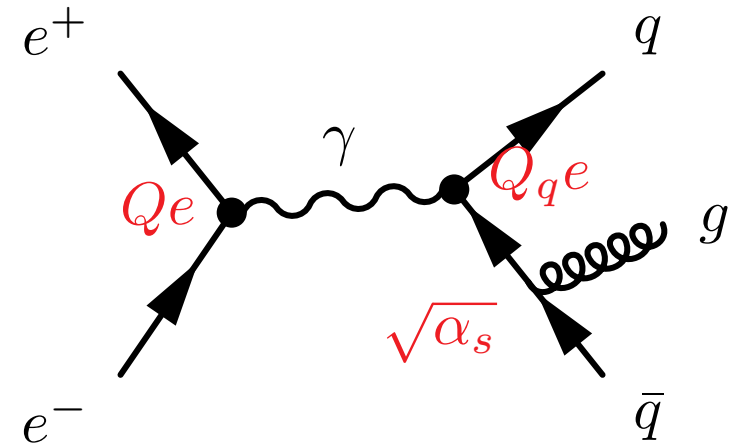


Measurements of α_s

Many other ways to measure α_s

Example: 3-jet rate $e^+e^- \rightarrow q\bar{q}g$

$$R_3 = \frac{\sigma(e^+e^- \rightarrow 3 \text{ jets})}{\sigma(e^+e^- \rightarrow 2 \text{ jets})} \propto \alpha_s$$

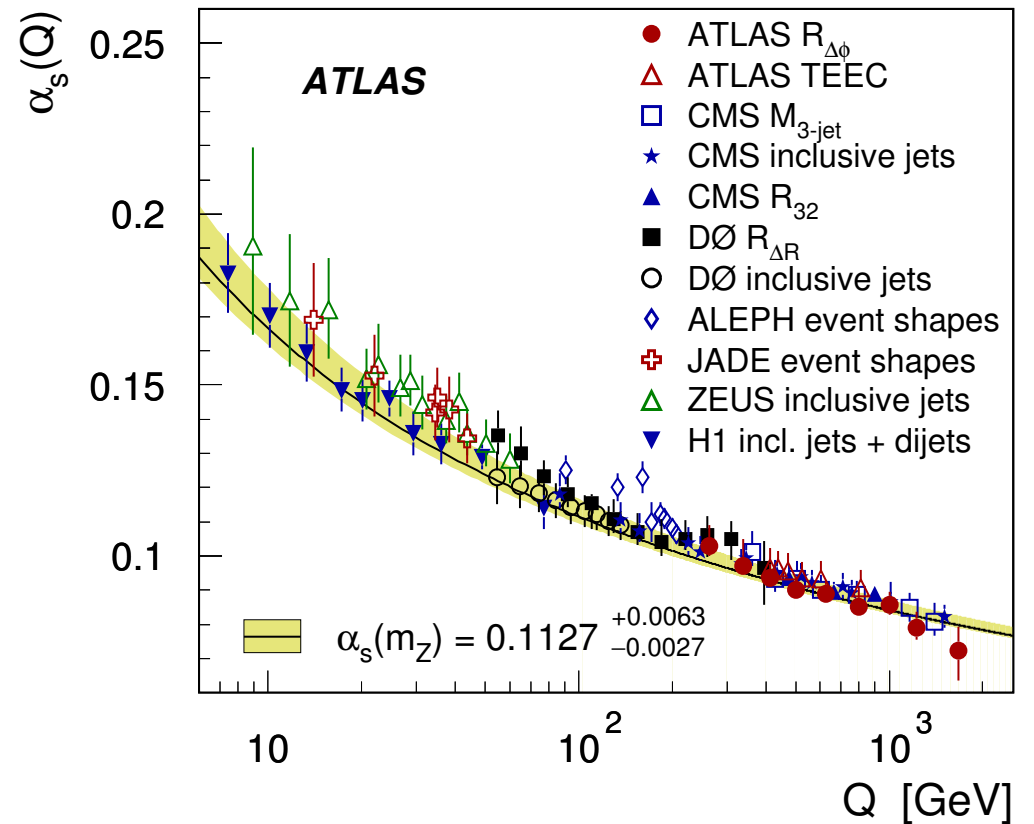
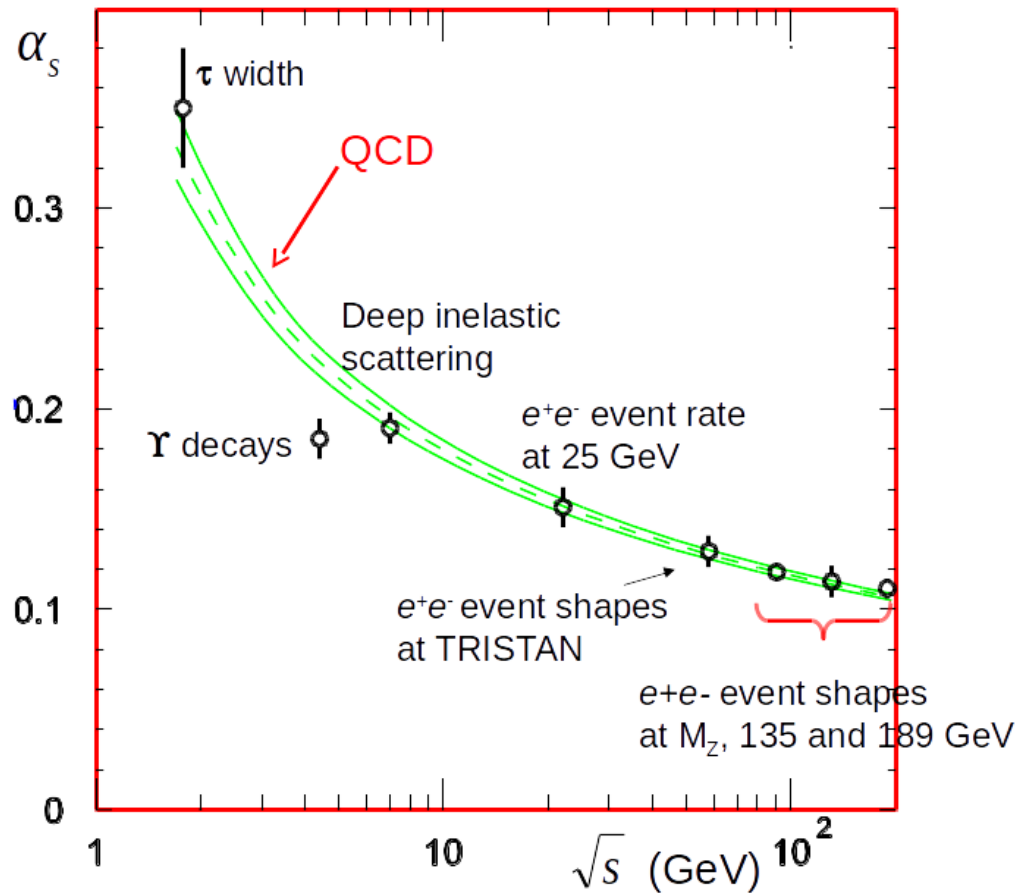


α_s decreases with energy

α_s runs!

in accordance with QCD

Observed running of α_s



Summary

- QCD is a gauge theory, similar to QED, based on SU(3) symmetry
- Gluons are vector gauge bosons, which couple to (three types of) colour charge (r , b , g)
- Gluons themselves carry colour charge – hence they have self-interactions (unlike QED).
- Leads to running of α_s , in the opposite sense to QED. Force is weaker at high energies (“asymptotic freedom”) and very strong at low energies.
- Quarks and gluons are confined. Seen as hadrons and jets of hadrons.
- Tests of QCD
 - Evidence for colour
 - Existence of gluons, test of their spin and self-interactions
 - Measurement of α_s and observation that it runs.

Problem Sheet: q.15-16

Up next... Section 8: Quark Model of Hadrons