Particle Flow Calorimetry

Mark Thomson University of Cambridge



<u>This Talk:</u>

- e⁺e⁻ Collider Physics ↔ Calorimetry
- The Particle Flow Paradigm
- Calorimetry in the ILD Detector Concepts
- The PandoraPFA Particle Flow
 Algorithm
- Understanding Particle Flow
- **Optimisation of a P. Flow detector**
- Potential at CLiC
- **8** Conclusions

● e⁺e⁻ Physics ↔ Calorimetry

★Electron-positron colliders provide clean environment for precision physics

The LHC $pp \rightarrow H + X$





★ A detector at a future lepton collider (ILC/CLiC) will be designed to take full advantage of this clean environment

★ Very different detector design requirements c.f. LHC

e.g. ILC Physics

ILC PHYSICS:

Precision Studies/Measurements

- ★ Higgs sector
- **★** SUSY particle spectrum (if there)
- **★** SM particles (e.g. W-boson, top)
- ★ and much more...

Physics characterised by:

- High Multiplicity final states often 6/8 jets
- *****Small cross-sections, e.g.

$$\sigma(e^+e^- \rightarrow ZHH) = 0.3 \, \text{fb}$$



 Require High Luminosity – i.e. the ILC/CLiC
 Detector optimized for precision measurements in difficult multi-jet environment

Compare with LEP



- * Physics performance depends critically on the detector performance (not true at LEP)
- ***** Places stringent requirements on the ILC detector

ILC Calorimetry Goals



★ Typical di-jet energies at ILC (100-300 GeV) suggests jet energy resolution goal of $\sigma_E/E < 0.30/\sqrt{E_{jj}(\text{GeV})}$

Why is this important ?

★ Direct impact on physics sensitivity, e.g. "WW-scattering"



If the Higgs mechanism is not responsible for EWSB then WW fusion processes important e⁺e⁻→_{VV}WW→_{VV}qqqq, e⁺e⁻→_{VV}ZZ→_{VV}qqqq





Best at LEP (ALEPH): $\sigma_{E}/E = 0.6 (1+|\cos\theta_{Jet}|) / \sqrt{E(GeV)}$



The Particle Flow Paradigm

- ★ In a typical jet :
 - 60 % of jet energy in charged hadrons
 - + 30 % in photons (mainly from $\pi^0 o \gamma\gamma$)
 - + 10 % in neutral hadrons (mainly $\,n\,$ and $\,K_L\,$)
- **★** Traditional calorimetric approach:
 - Measure all components of jet energy in ECAL/HCAL !
 - + ~70 % of energy measured in HCAL: $\sigma_E/E \approx 60 \,\%/\sqrt{E(GeV)}$
 - Intrinsically "poor" HCAL resolution limits jet energy resolution





- **★** Particle Flow Calorimetry paradigm:
 - charged particles measured in tracker (essentially perfectly)
 - Photons in ECAL: $\sigma_{\rm E}/{\rm E} < 20\,\%/\sqrt{{\rm E}({\rm GeV})}$
 - Neutral hadrons (ONLY) in HCAL
 - Only 10 % of jet energy from HCAL ⇒ much improved resolution

Particle Flow Calorimetry

Hardware:

★Need to be able to resolve energy deposits from different particles
 → Highly granular detectors (as studied in CALICE)





Software:

*****Need to be able to identify energy deposits from each individual particle !

Sophisticated reconstruction software



***** Particle Flow Calorimetry = HARDWARE + SOFTWARE

Particle Flow Algorithms (PFA)

Reconstruction of a Particle Flow Calorimeter:

- ***** Avoid double counting of energy from same particle
- ***** Separate energy deposits from different particles



If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

Level of mistakes, "confusion", determines jet energy resolution <u>not</u> the intrinsic calorimetric performance of ECAL/HCAL

sounds easy....

- **★ PFA performance** depends on detailed reconstruction
- ***** Relatively new, still developing ideas
- ***** Studies need to be based on a sophisticated detector simulations
- ★ Need a "strawman" detector design to study potential...

B The ILD Detector Concept*

NOTE:

 * Particle flow reconstruction involves "whole detector"
 * To study potential performance need a detector model, tracking, calorimeters, ...

ILC Detector Concepts:

- Here performance of particle flow calorimetry shown in the context of the ILD detector concept for the ILC
- **★** Detailed GEANT 4 detector model exists
- ***** A potential design for an ILC detector
- **★** Designed for Particle Flow calorimetry !

ILD Main Features:

- Large TPC central tracker (R=1.8 m)
- CMS like solenoid (B = 3.5 T)
- ECAL and HCAL inside solenoid
- ECAL/HCAL highly segmented for PFA

ILD calorimetry concept*

Very high longitudinal and transverse segmentation

ECAL:

- SiW sampling calorimeter
- Tungsten: $X_0 / \lambda_{had} = 1/25$, $R_{Mol.} \sim 9mm$
 - → Narrow EM showers
 - → longitudinal sep. of EM/had. showers
- Iongitudinal segmentation: 30 layers
- transverse segmentation: 5x5 mm² pixels

HCAL:

- Steel-Scintillator sampling calorimeter
- Iongitudinal segmentation: 48 layers (6 interaction lengths)
- transverse segmentation: 3x3 cm² scintillator tiles

Comments:

- * Technologically feasible (although not cheap)
- * Ongoing test beam studies (CALICE collaboration)

*Other ILD calorimetry options being actively studied, e.g. RPC DHCAL, Scintillator strip ECAL



Calorimeter Reconstruction

- High granularity calorimeters <u>very different</u> to previous detectors (except LEP lumi. calorimeters)
- * "Tracking calorimeter" requires a new approach to ECAL/HCAL reconstruction

Particle Flow Reconstruction





Performance will depend on the software algorithm

difficult to evaluate full potential σ_E/E = f (software) **★** There are no short cuts, fast simulation doesn't help...

To evaluate Particle Flow Calorimetry at ILC: need <u>realistic</u> reconstruction chain >10 years before start of ILC !!!

★ But, as a result of a great deal of work within ILC detector community, we already have a first version...

ILD Reconstruction Framework (C++)

★ Everything exists – level of sophistication ~LEP experiment



CERN, 26/9/2008

Particle Flow Reconstruction: PandoraPFA



- X New paradigm nobody really knows how to approach this
- **So where are we now ?**



For this talk concentrate on: PandoraPFA

This is still work-in-Progress – currently it gives the best performance

Will give an overview of the algorithm to highlight how particle flow reconstruction works

PFA : Basic issues

- **★** Separate energy deposits from different particles
- ***** Avoid double counting of energy from same particle
- ***** Mistakes drive particle flow jet energy resolution
- <u>e.g.</u>

★ Need to separate "tracks" (charged hadrons) from photons



★ Need to separate neutral hadrons from charged hadrons



PandoraPFA Overview

- ★ ECAL/HCAL reconstruction and PFA performed in a single algorithm
- ★ Fairly generic algorithm
 - applicable to multiple detector concepts
- ***** Use tracking information to help ECAL/HCAL clustering
- **★** This is a sophisticated algorithm : **10**⁴ lines of code

Eight Main Stages:

- i. Track classification/extrapolation
- **ii**. Loose clustering in ECAL and HCAL
- iii. Topological linking of clearly associated clusters
- iv. Courser grouping of clusters
- v. Iterative reclustering
- vi. Photon Identification/Recovery
- vii. Fragment removal
- viii. Formation of final Particle Flow Objects (reconstructed particles)

i) Tracking

- The use of optimal use of tracking information in PFA is essential
 Non trivial for looping tracks (even in a TPC)
- * Non trivial for looping tracks (even in a TPC)
- ★ Matching of tracks to endcap clusters is non-trivial
- ★ Use of track information is a major part of PandoraPFA
- ★ Big effort to use as many tracks in the event as possible
 - helps particularly for lower energy jets
 - motivation I : better energy resolution
 - motivation II : correct measurement of direction
- **★**TPC-oriented: take advantage of pattern recognition capability

★From fully reconstructed tracks identify:



ii) ECAL/HCAL Clustering

- **★** Tracks used to "seed" clusters
- ★ Start at inner layers and work outward
- **★** Associate hits with existing Clusters
- ★ If no association made form new Cluster
- *** Very simple** cone based algorithm



iii) Topological Cluster Association

+By design, clustering errs on side of caution i.e. clusters tend to be split

Philosophy: easier to put things together than split them up
 Clusters are then associated together in two stages:

- 1) Tight cluster association clear topologies
- 2) Loose cluster association fix what's been missed

🛧 <u>Photon ID</u>

*****Photon ID plays important role

***Simple** "cut-based" photon ID applied to all clusters

Clusters tagged as photons are immune from association procedure – just left alone



★ Clusters associated using a number of topological rules

Clear Associations:

 Join clusters which are clearly associated making use of high granularity + tracking capability: very few mistakes



22

v) Iterative Reclustering

★ To this point, performance is good – but some difficult cases...



★ At some point reach the limit of "pure" particle flow

i.e. can't cleanly resolve neutral hadron in hadronic shower







Change clustering parameters until cluster splits and get sensible track-cluster match

NOTE: clustering driven by track momentum (but not subtraction)

This is <u>very</u> important for higher energy jets

Iterative Reclustering Strategies

Cluster splitting

Reapply entire clustering algorithm to hits in "dubious" cluster. Iteratively reduce cone angle until cluster splits to give acceptable energy match to track



***** + plug in alternative clustering algorithms

2 Cluster merging with splitting

Look for clusters to add to a track to get sensible energy association. If necessary iteratively split up clusters to get good match.

③ Track association ambiguities

In dense environment may have multiple tracks matched to same cluster. Apply above techniques to get ok energy match.





viii) Fragment removal : basic idea

★ Look for "evidence" that a cluster is associated with another



- ★ Convert to a numerical evidence score E
- ★ Compare to another score "required evidence" for matching, R, based on change in E/p chi-squared, location in ECAL/HCAL etc.
- If E > R then clusters are merged
- ★ Rather ad hoc but works well but works well

Putting it all together...



G Current performance

★ Benchmark performance using Z → uū and Z → dd events (clean, no neutrinos)
★ Test at for different energies with Z decays at rest
★ OPAL tune of Pythia fragmentation
★ Full reconstruction (track + calo) using no Monte Carlo "cheat" information



NOTE:

- Quoting rms of reconstructed energy distribution is misleading
- Particle Flow occasionally goes very wrong → tails dominate rms
- Conventional to measure performance using rms90 which is relatively insensitive to tails



(need care when comparing to Gaussian resolution)

Performance (ILD) $Z \rightarrow d\overline{d}, Z \rightarrow u\overline{u}$

rms90	PandoraPFA v03-β		
E _{JET}	σ _E /E = α/√E _{jj} cosθ <0.7	σ _Ε /Ε _j	
45 GeV	23.8 %	3.5 %	
100 GeV	29.1 %	2.9 %	
180 GeV	37.7 %	2.8 %	
250 GeV	45.6 %	2.9 %	

•	Full	G4 simulation
•	Full	reconstruction

- **★** Particle flow achieves ILC goal of $\sigma_{\rm E}/E_{\rm i}$ < 3.8 %
- ★ For lower energy jets Particle Flow gives unprecedented levels of performance, e.g. @ 45 GeV : 3.5% c.f. ~10% (ALEPH)
- **\star** "Calorimetric" performance (α) degrades for higher energy jets
- **★** Current PFA code is not perfect lower limit on performance

Proof of principle:

PARTICLE FLOW CALORIMETRY WORKS

At least in simulation

Understanding PFA Performance

What drives Particle Flow performance ?

- Try to use various "Perfect PFA" algorithms to pin down main performance drivers (resolution, confusion, ...)
- **★** Use MC to "cheat" various aspects of Particle Flow



Contribution	σ _E /E			
Contribution	45 GeV	100 GeV	180 GeV	250 GeV
Calo. Resolution	3.1 %	2.1 %	1.5 %	1.3 %
Leakage	0.1 %	0.5 %	0.8 %	1.0 %
Tracking	0.7 %	0.7 %	1.0 %	0.7 %
Photons "missed"	0.4 %	1.2 %	1.4 %	1.8 %
Neutrals "missed"	1.0 %	1.6 %	1.7 %	1.8 %
Charged Frags.	1.2 %	0.7 %	0.4 %	0.0 %
"Other"	0.8 %	0.8 %	1.2 %	1.2 %

Comments:

- **★** For 45 GeV jets, jet energy resolution dominated by ECAL/HCAL resolution
- ★ Track reco. not a large contribution (Reco ≈ CheatedTracking)
- ***** "Satellite" neutral fragments not a large contribution
 - efficiently identified
- **★** Leakage only becomes significant for high energies
- *** Missed neutral hadrons** dominant confusion effect
- ***** Missed photons, important at higher energies

Optimisation of a Particle Flow detector



- Large detector spatially separate particles
- High B-field separate charged/neutrals
- High granularity ECAL/HCAL resolve particles

Might expect "figure-of-merit": $\frac{BR^2}{\sigma} \leftarrow Calorimeter granularity/R_{Moliere}$

★ Argues for: large + high granularity + 1 B

R

★ Cost considerations: small + lower granularity + ↓ B

Optimise detector parameters using PandoraPFA



e.g. Radius vs. B-field

Cost drivers:

- For Particle flow, ECAL and HCAL inside Solenoid
- Calorimeters and solenoid are the main cost drivers of an ILC detector optimised for particle flow
- Cost of calorimeters scales with active area
- Cost of solenoid scales with stored energy, (very approx.)

 $\$\$ \propto (B^2 R^2 L)^{0.66}$

★TPC radius and **B-field** play major role in total detector cost

Study jet energy resolution as a function of B and R



e.g. vary ECAL Inner Radius



(SiD concept team investigating small, high B-field option)

How important is segmentation ?



and ECAL Segmentation ?

Investigate 10×10mm², 20×20mm² and 30×30mm²

Note: retuned PandoraPFA clustering parameters



Caveat:

Remember results are algorithm dependent
 Could reflect flaw in reconstruction

*****Nevertheless: highly segmented HCAL/ECAL clearly essential

* Particle Flow can deliver ILC jet energy goals * Whole detector concepts studied, and (partially) optimised e.g. ILD * What about Particle Flow for higher energy machines ?

Potential at CLiC (many questions)

***** Traditional calorimetry $\sigma_E/E \approx 60\%/\sqrt{E/\text{GeV}}$

Does not degrade significantly with energy (leakage)

*Particle flow gives much better performance at "low" energies

very promising for ILC



What about a machine like CLiC ?

★ For 1 TeV jets, particle flow will not give σ_E/E < 60%/√E/GeV (probably substantially worse)
★ But not interested in 1 TeV jets: most interesting physics likely to be 6, 8, ... fermion final states
★ For 0.5 TeV jets, particle flow likely to be comparable to a traditional calorimetric approach
★ a PFlow calorimeter still has good calorimetric resolution

can design algorithm to move away from p.flow at higher energies

Physics Considerations

- ★Whether particle flow is appropriate for a multi-TeV e⁺e⁻ collider needs detailed study
- **★** Will depend on physics program, e.g.
 - Iower energy operation (staged?) / threshold scans
 - what matters at higher energies, W/Z separation or new particle mass resolution ?
 - How important is mass resolution for boosted di-jets



Potential to get the answers:

- Have the tools, simulation/reconstruction
- With increased cooperation between ILC and CLiC could make rapid progress...

8 Summary/Outlook

Summary

- **★** Great deal of effort (worldwide) in the design of the ILC detectors
- Widely believed that calorimetry and, in particular, jet energy resolution drives the ILC detector design
- **★** Also believed that it is likely that PFA is the key to achieving ILC goal
- **★** Calorimetry at the ILC = HARDWARE + SOFTWARE (new paradigm)
- ★ It is difficult to disentangle detector/algorithm....
- ★ Can only address question with "realistic algorithms"
 - i.e. serious reconstruction 10+ years before ILC turn-on
- **★** With PandoraPFA have reached the ILC "goal"

Now have a proof of principle of Particle Flow Calorimetry

Unprecedented Jet Energy Resolution

<u>Outlook</u>

- Beginning to understand Particle Flow Calorimetry
 - vital to understand ultimate potential
- Starting to investigate high energy potential

Good time to tie in with CLiC detector/physics studies

End

Optimisation Studies: ① HCAL Depth

Two interesting questions:

- ★ How important is HCAL leakage ?
 - vary number of HCAL layers
- ***** What can be recovered using MUON chambers as a "Tail catcher"
 - PandoraPFA now includes MUON chamber reco.
 - Switched off in default version
 - Simple standalone clustering (cone based)
 - Fairly simple matching to CALO clusters (apply energy/momentum veto)
 - Simple energy estimator (digital) + some estimate for loss in coil



HCAL Depth Results

Open circles = no use of muon chambers as a "tail-catcher"

Solid circles = including "tail-catcher"



HCAL	$\lambda_{\mathbf{I}}$	
Layers	HCAL	+ECAL
32	4.0	4.8
38	4.7	5.5
43	5.4	6.2
48	6.0	6.8
63	7.9	8.7

ECAL : $\lambda_r = 0.8$ HCAL : λ_r includes scintillator

- **\star** Little motivation for going beyond a 48 layer (6 λ_{I}) HCAL
- ★ Depends on Hadron Shower simulation
- ★ "Tail-catcher": corrects ~50% effect of leakage, limited by thick solenoid

For 1 TeV machine "reasonable range" ~ 40 – 48 layers (5 λ_1 - 6 λ_1)