

# Fragmentation Functions of light charged hadrons

HEP phenomenology joint Cavendish-DAMTP seminar

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# Outline

## ① Fragmentation Functions: the basics

- ▶ factorisation, evolution
- ▶ higher-order corrections, theoretical constraints
- ▶ FF fits: why should we bother?
- ▶ are current FF sets good?

## ② The NNFF1.0 analysis

- ▶ data set and fit settings
- ▶ the NNPDF methodology: parametrisation and uncertainty representation
- ▶ results: fit quality, perturbative stability, dependence on the data set/kinematic cuts
- ▶ is the NNFF1.0 set better than currently available sets?

## ③ Summary and outlook

- ▶ global fits, simultaneous fits

## DISCLAIMER

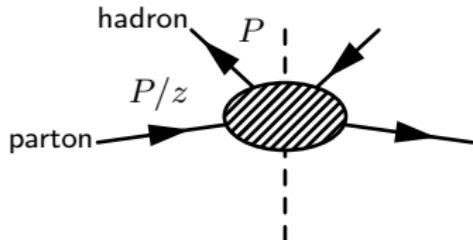
This is not a review talk on Fragmentation Functions  
Focus on topics which I've worked on recently

[JHEP 1503 (2015) 046; EPJ C77 (2017) 516; arXiv:1709.03400]

# 1. Fragmentation Functions: the basics

# Hadrons in the final state: Fragmentation Functions

FFs allow for a proper field-theoretic definition as matrix elements of bilocal operators



collinear transition  
of a massless parton  $i$   
into a massless hadron  $h$   
with fractional momentum  $z$

no local OPE  $\implies$  no lattice formulation

[Rev.Mod.Phys. 67 (1995) 157]

$$D_i^h(z) = \frac{1}{12\pi} \sum_X \int dy^- e^{-i\frac{P^+}{z}y^-} \text{Tr} [\gamma^+ \langle 0 | \psi(y) \mathcal{P} | h(P) X \rangle \langle h(P) X | \mathcal{P}' \bar{\psi}(0) | 0 \rangle]$$

with light-cone coordinates and appropriate gauge links  $\mathcal{P}, \mathcal{P}'$

$$y = (y^+, y^-, \mathbf{y}_\perp), \quad y^+ = (y^0 + y^z)/\sqrt{2}, \quad y^- = (y^0 - y^z)/\sqrt{2}, \quad \mathbf{y}_\perp = (v^x, v^y)$$

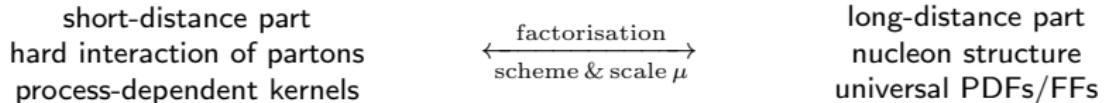
All these definitions have ultraviolet divergences which must be renormalised  
in order to define finite FFs to be used in the factorisation formulas  
(FFs, like PDFs, are scheme dependent)

The definition above can be generalised to include longitudinal/transverse polarisations

# Factorisation of physical observables

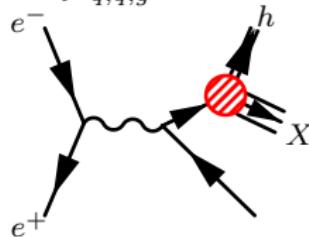
[Adv.Ser.Direct.HEP 5 (1988) 1]

- ① A variety of sufficiently inclusive processes allow for a factorised description

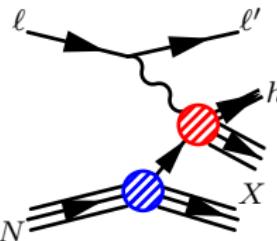


- ② Physical observables are written as a convolution of coefficient functions and FFs

$$\mathcal{O}_I = \sum_{i=q,\bar{q},g} C_{Ii}(y, \alpha_s(\mu^2)) \otimes D_i(y, \mu^2) + \text{p.s. corrections}$$

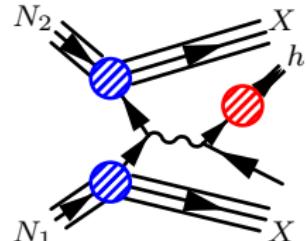


$e^+ + e^- \rightarrow h + X$   
single-inclusive  
annihilation (SIA)



$\ell + N \rightarrow \ell' + h + X$   
semi-inclusive deep-  
inelastic scattering (SIDIS)

$$f \otimes g = \int_x^1 \frac{dy}{y} f\left(\frac{x}{y}\right) g(y)$$



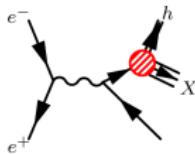
$N_1 + N_2 \rightarrow h + X$   
high- $p_T$  hadron production in  
proton-proton collisions (PP)

- ③ Coefficient functions allow for a perturbative expansion

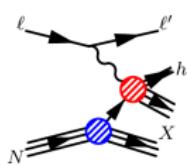
$$C_{If}(y, \alpha_s) = \sum_{k=0} a_s^k C_{If}^{(k)}(y), \quad a_s = \alpha_s/(4\pi)$$

- ④ After factorisation, all quantities (including FFs) depend on  $\mu$

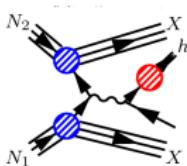
# Factorisation of physical observables



$e^+ + e^- \rightarrow h + X$   
single-inclusive  
annihilation (SIA)



$\ell + N \rightarrow \ell' + h + X$   
semi-inclusive deep-  
inelastic scattering (SIDIS)



$N_1 + N_2 \rightarrow h + X$   
high- $p_T$  hadron production  
in  $pp$  collisions (PP)

$$\frac{d\sigma^h}{dz} = F_T^h(z, Q^2) + F_L^h(z, Q^2) = F_2^h(x, Q^2)$$

$$F_{k=T,L,2}^h = \frac{4\pi\alpha_{\text{em}}^2}{Q^2} \langle e^2 \rangle \left\{ D_\Sigma^h \otimes C_{k,q}^S + n_f D_g^h \otimes C_{k,g}^S + D_{\text{NS}}^h \otimes C_{k,q}^{\text{NS}} \right\}$$

up to NNLO [PLB 386 (1996) 422; NPB 487 (1997) 233; PLB 392 (1997) 207]

$$\frac{d\sigma^h}{dxdydz} = \frac{2\pi\alpha_{\text{em}}^2}{Q^2} \left[ \frac{1+(1-y)^2}{y} 2F_1^h + \frac{2(1-y)}{y} F_L^h \right]$$

$$2F_1^h = e_q^2 \left\{ q \otimes D_q^h + \frac{\alpha_s}{2\pi} \left[ q \otimes C_{qq}^1 \otimes D_q^h + q \otimes C_{gq}^1 \otimes D_g^h + g \otimes C_{qg}^1 \otimes D_q^h \right] \right\}$$

$$F_L^h = \frac{\alpha_s}{2\pi} \sum_{q,\bar{q}} e_q^2 \left[ q \otimes C_{qq}^L \otimes D_q^h + q \otimes C_{gq}^L \otimes D_g^h + g \otimes C_{qg}^L \otimes D_q^h \right]$$

up to NLO [NPB 160 (1979) 301; PRD 57 (1998) 5811]  
partial NNLO [PRD 95 (2017) 034027]

$$E_h \frac{d^3\sigma}{dp_h^3} = \sum_{a,b,c} f_a \otimes f_b \otimes \hat{\sigma}_{ab}^c \otimes D_c^h$$

$$\sum_{i,j,k} \int \frac{dx_a}{x_a} \int \frac{dx_b}{x_b} \int \frac{dz}{z^2} f^{i/p_a}(x_a) f^{j/p_b}(x_b) D^{h/k}(z) \hat{\sigma}^{ij \rightarrow k} \delta(\hat{s} + \hat{t} + \hat{u})$$

up to NLO [PRD 67 (2003) 054004; PRD 67 (2003) 054005]

# Evolution of FFs: DGLAP equations [NPB 126 (1977) 298]

- ① A set of  $(2n_f + 1)$  integro-differential equations ( $n_f$ =number of active flavours)

$$\frac{\partial}{\partial \ln \mu^2} D_i(x, \mu^2) = \sum_j^{n_f} \int_x^1 \frac{dz}{z} P_{ji}(z, \alpha_s(\mu^2)) D_j\left(\frac{x}{z}, \mu^2\right)$$

- ② Often written in a convenient basis of FFs

$$D_{NS;\pm} = (D_q \pm D_{\bar{q}}) - (D_{q'} \pm D_{\bar{q}'}) \quad D_{NS;v} = \sum_q^{n_f} (D_q - D_{\bar{q}}) \quad D_{\Sigma} = \sum_q^{n_f} (D_q + D_{\bar{q}})$$

$$\frac{\partial}{\partial \ln \mu^2} D_{NS;\pm,v}(x, \mu^2) = P^{\pm,v}(x, \mu_F^2) \otimes D_{NS;\pm,v}(x, \mu^2)$$

$$\frac{\partial}{\partial \ln \mu^2} \begin{pmatrix} D_{\Sigma}(x, \mu^2) \\ D_g(x, \mu^2) \end{pmatrix} = \begin{pmatrix} P^{qq} & 2n_f P^{gq} \\ \frac{1}{2n_f} P^{qg} & P^{gg} \end{pmatrix} \otimes \begin{pmatrix} D_{\Sigma}(x, \mu^2) \\ D_g(x, \mu^2) \end{pmatrix}$$

- ③ With perturbative computable (time-like) splitting functions

$$P_{ji}(z, \alpha_s) = \sum_{k=0}^{n_f} a_s^{k+1} P_{ji}^{(k)}(z), \quad a_s = \alpha_s/(4\pi)$$



# Splitting functions: LO and NLO

$$P_{\text{as}}^{(0)}(x) = \textcolor{blue}{C_F}(2p_{qq}(x) + 3\delta(1-x))$$

$$P_{\text{ps}}^{(0)}(x) = 0$$

$$P_{\text{qg}}^{(0)}(x) = 2\textcolor{blue}{n_f} p_{qg}(x)$$

$$P_{\text{gg}}^{(0)}(x) = 2\textcolor{blue}{C_F} p_{gg}(x)$$

$$P_{\text{gg}}^{(0)}(x) = \textcolor{blue}{C_A} \left( 4p_{gg}(x) + \frac{11}{3}\delta(1-x) \right) - \frac{2}{3}\textcolor{blue}{n_f}\delta(1-x)$$

**LO: 1973**

$$\begin{aligned} P_{\text{as}}^{(1)+}(x) &= 4\textcolor{blue}{C_A}\textcolor{blue}{C_F} \left( p_{qq}(x) \left[ \frac{67}{18} - \zeta_2 + \frac{11}{6}H_0 + H_{0,0} \right] + p_{qq}(-x) \left[ \zeta_2 + 2H_{-1,0} - H_{0,0} \right] \right. \\ &\quad \left. + \frac{14}{3}(1-x) + \delta(1-x) \left[ \frac{17}{24} + \frac{11}{3}\zeta_2 - 3\zeta_3 \right] \right) - 4\textcolor{blue}{C_F}\textcolor{blue}{n_f} \left( p_{qg}(x) \left[ \frac{5}{9} + \frac{1}{3}H_0 \right] + \frac{2}{3}(1-x) \right. \\ &\quad \left. + \delta(1-x) \left[ \frac{1}{12} + \frac{2}{3}\zeta_2 \right] \right) + 4\textcolor{blue}{C_F}^2 \left( 2p_{qq}(x) \left[ H_{1,0} - \frac{3}{4}H_0 + H_2 \right] - 2p_{qq}(-x) \left[ \zeta_2 + 2H_{-1,0} \right. \right. \\ &\quad \left. \left. - H_{0,0} \right] - (1-x) \left[ 1 - \frac{3}{2}H_0 \right] - H_0 - (1+x)H_{0,0} + \delta(1-x) \left[ \frac{3}{8} - 3\zeta_2 + 6\zeta_3 \right] \right) \\ P_{\text{as}}^{(1)-}(x) &= P_{\text{as}}^{(1)+}(x) + 16\textcolor{blue}{C_F} \left( \textcolor{blue}{C_F} - \frac{\textcolor{blue}{C_A}}{2} \right) \left( p_{qq}(-x) \left[ \zeta_2 + 2H_{-1,0} - H_{0,0} \right] - 2(1-x) \right. \\ &\quad \left. - (1+x)H_0 \right) \end{aligned}$$

$$\begin{aligned} P_{\text{ps}}^{(1)}(x) &= 4\textcolor{blue}{C_F}\textcolor{blue}{n_f} \left( \frac{20}{9}\frac{1}{x} - 2 + 6x - 4H_0 + x^2 \left[ \frac{8}{3}H_0 - \frac{56}{9} \right] + (1+x) \left[ 5H_0 - 2H_{0,0} \right] \right) \\ P_{\text{qg}}^{(1)}(x) &= 4\textcolor{blue}{C_A}\textcolor{blue}{n_f} \left( \frac{20}{9}\frac{1}{x} - 2 + 25x - 2p_{qg}(-x)H_{-1,0} - 2p_{qg}(x)H_{1,1} + x^2 \left[ \frac{44}{3}H_0 - \frac{218}{9} \right] \right. \\ &\quad \left. + 4(1-x) \left[ H_{0,0} - 2H_0 + xH_1 \right] - 4\zeta_2 x - 6H_{0,0} + 9H_0 \right) + 4\textcolor{blue}{C_F}\textcolor{blue}{n_f} \left( 2p_{qg}(x) \left[ H_{1,0} + H_{1,1} + H_2 \right. \right. \\ &\quad \left. \left. - \zeta_2 \right] + 4x^2 \left[ H_0 + H_{0,0} + \frac{5}{2} \right] + 2(1-x) \left[ H_0 + H_{0,0} - 2xH_1 + \frac{29}{4} \right] - \frac{15}{2} - H_{0,0} - \frac{1}{2}H_0 \right) \\ P_{\text{gg}}^{(1)}(x) &= 4\textcolor{blue}{C_A}\textcolor{blue}{C_F} \left( \frac{1}{x} + 2p_{gg}(x) \left[ H_{1,0} + H_{1,1} + H_2 - \frac{11}{6}H_1 \right] - x^2 \left[ \frac{8}{3}H_0 - \frac{44}{9} \right] + 4\zeta_2 - 2 \right. \\ &\quad \left. - 7H_0 + 2H_{0,0} - 2H_1 x + (1+x) \left[ 2H_{0,0} - 5H_0 + \frac{37}{9} \right] - 2p_{gg}(-x)H_{-1,0} \right) - 4\textcolor{blue}{C_F}\textcolor{blue}{n_f} \left( \frac{2}{3}x \right. \\ &\quad \left. - p_{gg}(x) \left[ \frac{2}{3}H_1 - \frac{10}{9} \right] \right) + 4\textcolor{blue}{C_F}^2 \left( p_{gg}(x) \left[ 3H_1 - 2H_{1,1} \right] + (1+x) \left[ H_{0,0} - \frac{7}{2} + \frac{7}{2}H_0 \right] - 3H_{0,0} \right. \\ &\quad \left. + 1 - \frac{3}{2}H_0 + 2H_1 x \right) \end{aligned}$$

$$\begin{aligned} P_{\text{gg}}^{(1)}(x) &= 4\textcolor{blue}{C_A}\textcolor{blue}{n_f} \left( 1 - x - \frac{10}{9}p_{gg}(x) - \frac{13}{9} \left( \frac{1}{x} - x^2 \right) - \frac{2}{3}(1+x)H_0 - \frac{2}{3}\delta(1-x) \right) + 4\textcolor{blue}{C_A}^2 \left( 27 \right. \\ &\quad \left. + (1+x) \left[ \frac{11}{3}H_0 + 8H_{0,0} - \frac{27}{2} \right] + 2p_{gg}(-x) \left[ H_{0,0} - 2H_{-1,0} - \zeta_2 \right] - \frac{67}{9} \left( \frac{1}{x} - x^2 \right) - 12H_0 \right. \\ &\quad \left. - \frac{44}{3}x^2H_0 + 2p_{gg}(x) \left[ \frac{67}{18} - \zeta_2 + H_{0,0} + 2H_{1,0} + 2H_2 \right] + \delta(1-x) \left[ \frac{8}{3} + 3\zeta_3 \right] \right) + 4\textcolor{blue}{C_F}\textcolor{blue}{n_f} \left( 2H_0 \right. \\ &\quad \left. + \frac{2}{3}\frac{1}{x} + \frac{10}{3}x^2 - 12 + (1+x) \left[ 4 - 5H_0 - 2H_{0,0} \right] \right) \end{aligned}$$

**NLO: 1980**

## Splitting functions: NNLO

$$\begin{aligned} p_0^{(2)}(z) = & 16C_1^2 C_2^2 \varphi_2(p_0(z)) \left[ \frac{10}{11} H_{2,1,2} - 4H_{1,1,1} + 2H_{1,1,0} - \frac{11}{2} H_{2,1,0} + \frac{9}{2} H_{1,1,0} + 2H_{1,0,0} \right. \\ & - H_{2,0,0} - 2H_{1,1,1} + 4H_{1,1,0} - \frac{179}{11} H_{1,0,0} - \frac{511}{11} H_{0,1,0} + \frac{64}{11} H_{0,0,1} - \frac{40}{11} H_{0,0,0} - \frac{3}{2} H_{1,0,0,0} - \frac{1}{2} H_{0,1,0,0} \\ & - \frac{10}{11} H_{0,0,1,0} - \frac{11}{11} H_{0,0,0,1} - \frac{115}{11} H_{1,1,1,0} + 2 H_{1,1,0,1} + \frac{79}{11} H_{1,0,0,1} + \frac{173}{11} H_{0,1,0,1} - \frac{129}{11} H_{0,0,1,1} \\ & + H_{1,1,1,0} + H_{1,1,0,1} + 9 H_{1,0,0,1} + 6 H_{1,1,0,0} + 2 H_{1,0,0,0} - 40 H_{1,1,0,0} - 30 H_{1,0,0,0} - 40 H_{1,1,0,0}. \end{aligned}$$

$\alpha$	$\beta$	$\gamma$	$\delta$	$\epsilon$	$\zeta$	$\eta$	$\theta$	$\varphi$	$\psi$	$\chi$	$\omega$	$\rho$	$\sigma$	$\tau$	$\nu$	$\mu$	$\lambda$	$\kappa$	$\pi$	$\omega'$	$\rho'$	$\sigma'$	$\tau'$	$\nu'$	$\mu'$	$\lambda'$	$\kappa'$	$\pi'$
$\alpha_1$	$\beta_1$	$\gamma_1$	$\delta_1$	$\epsilon_1$	$\zeta_1$	$\eta_1$	$\theta_1$	$\varphi_1$	$\psi_1$	$\chi_1$	$\omega_1$	$\rho_1$	$\sigma_1$	$\tau_1$	$\nu_1$	$\mu_1$	$\lambda_1$	$\kappa_1$	$\pi_1$	$\omega'_1$	$\rho'_1$	$\sigma'_1$	$\tau'_1$	$\nu'_1$	$\mu'_1$	$\lambda'_1$	$\kappa'_1$	$\pi'_1$
$\alpha_2$	$\beta_2$	$\gamma_2$	$\delta_2$	$\epsilon_2$	$\zeta_2$	$\eta_2$	$\theta_2$	$\varphi_2$	$\psi_2$	$\chi_2$	$\omega_2$	$\rho_2$	$\sigma_2$	$\tau_2$	$\nu_2$	$\mu_2$	$\lambda_2$	$\kappa_2$	$\pi_2$	$\omega'_2$	$\rho'_2$	$\sigma'_2$	$\tau'_2$	$\nu'_2$	$\mu'_2$	$\lambda'_2$	$\kappa'_2$	$\pi'_2$
$\alpha_3$	$\beta_3$	$\gamma_3$	$\delta_3$	$\epsilon_3$	$\zeta_3$	$\eta_3$	$\theta_3$	$\varphi_3$	$\psi_3$	$\chi_3$	$\omega_3$	$\rho_3$	$\sigma_3$	$\tau_3$	$\nu_3$	$\mu_3$	$\lambda_3$	$\kappa_3$	$\pi_3$	$\omega'_3$	$\rho'_3$	$\sigma'_3$	$\tau'_3$	$\nu'_3$	$\mu'_3$	$\lambda'_3$	$\kappa'_3$	$\pi'_3$
$\alpha_4$	$\beta_4$	$\gamma_4$	$\delta_4$	$\epsilon_4$	$\zeta_4$	$\eta_4$	$\theta_4$	$\varphi_4$	$\psi_4$	$\chi_4$	$\omega_4$	$\rho_4$	$\sigma_4$	$\tau_4$	$\nu_4$	$\mu_4$	$\lambda_4$	$\kappa_4$	$\pi_4$	$\omega'_4$	$\rho'_4$	$\sigma'_4$	$\tau'_4$	$\nu'_4$	$\mu'_4$	$\lambda'_4$	$\kappa'_4$	$\pi'_4$
$\alpha_5$	$\beta_5$	$\gamma_5$	$\delta_5$	$\epsilon_5$	$\zeta_5$	$\eta_5$	$\theta_5$	$\varphi_5$	$\psi_5$	$\chi_5$	$\omega_5$	$\rho_5$	$\sigma_5$	$\tau_5$	$\nu_5$	$\mu_5$	$\lambda_5$	$\kappa_5$	$\pi_5$	$\omega'_5$	$\rho'_5$	$\sigma'_5$	$\tau'_5$	$\nu'_5$	$\mu'_5$	$\lambda'_5$	$\kappa'_5$	$\pi'_5$
$\alpha_6$	$\beta_6$	$\gamma_6$	$\delta_6$	$\epsilon_6$	$\zeta_6$	$\eta_6$	$\theta_6$	$\varphi_6$	$\psi_6$	$\chi_6$	$\omega_6$	$\rho_6$	$\sigma_6$	$\tau_6$	$\nu_6$	$\mu_6$	$\lambda_6$	$\kappa_6$	$\pi_6$	$\omega'_6$	$\rho'_6$	$\sigma'_6$	$\tau'_6$	$\nu'_6$	$\mu'_6$	$\lambda'_6$	$\kappa'_6$	$\pi'_6$
$\alpha_7$	$\beta_7$	$\gamma_7$	$\delta_7$	$\epsilon_7$	$\zeta_7$	$\eta_7$	$\theta_7$	$\varphi_7$	$\psi_7$	$\chi_7$	$\omega_7$	$\rho_7$	$\sigma_7$	$\tau_7$	$\nu_7$	$\mu_7$	$\lambda_7$	$\kappa_7$	$\pi_7$	$\omega'_7$	$\rho'_7$	$\sigma'_7$	$\tau'_7$	$\nu'_7$	$\mu'_7$	$\lambda'_7$	$\kappa'_7$	$\pi'_7$
$\alpha_8$	$\beta_8$	$\gamma_8$	$\delta_8$	$\epsilon_8$	$\zeta_8$	$\eta_8$	$\theta_8$	$\varphi_8$	$\psi_8$	$\chi_8$	$\omega_8$	$\rho_8$	$\sigma_8$	$\tau_8$	$\nu_8$	$\mu_8$	$\lambda_8$	$\kappa_8$	$\pi_8$	$\omega'_8$	$\rho'_8$	$\sigma'_8$	$\tau'_8$	$\nu'_8$	$\mu'_8$	$\lambda'_8$	$\kappa'_8$	$\pi'_8$
$\alpha_9$	$\beta_9$	$\gamma_9$	$\delta_9$	$\epsilon_9$	$\zeta_9$	$\eta_9$	$\theta_9$	$\varphi_9$	$\psi_9$	$\chi_9$	$\omega_9$	$\rho_9$	$\sigma_9$	$\tau_9$	$\nu_9$	$\mu_9$	$\lambda_9$	$\kappa_9$	$\pi_9$	$\omega'_9$	$\rho'_9$	$\sigma'_9$	$\tau'_9$	$\nu'_9$	$\mu'_9$	$\lambda'_9$	$\kappa'_9$	$\pi'_9$
$\alpha_{10}$	$\beta_{10}$	$\gamma_{10}$	$\delta_{10}$	$\epsilon_{10}$	$\zeta_{10}$	$\eta_{10}$	$\theta_{10}$	$\varphi_{10}$	$\psi_{10}$	$\chi_{10}$	$\omega_{10}$	$\rho_{10}$	$\sigma_{10}$	$\tau_{10}$	$\nu_{10}$	$\mu_{10}$	$\lambda_{10}$	$\kappa_{10}$	$\pi_{10}$	$\omega'_{10}$	$\rho'_{10}$	$\sigma'_{10}$	$\tau'_{10}$	$\nu'_{10}$	$\mu'_{10}$	$\lambda'_{10}$	$\kappa'_{10}$	$\pi'_{10}$
$\alpha_{11}$	$\beta_{11}$	$\gamma_{11}$	$\delta_{11}$	$\epsilon_{11}$	$\zeta_{11}$	$\eta_{11}$	$\theta_{11}$	$\varphi_{11}$	$\psi_{11}$	$\chi_{11}$	$\omega_{11}$	$\rho_{11}$	$\sigma_{11}$	$\tau_{11}$	$\nu_{11}$	$\mu_{11}$	$\lambda_{11}$	$\kappa_{11}$	$\pi_{11}$	$\omega'_{11}$	$\rho'_{11}$	$\sigma'_{11}$	$\tau'_{11}$	$\nu'_{11}$	$\mu'_{11}$	$\lambda'_{11}$	$\kappa'_{11}$	$\pi'_{11}$
$\alpha_{12}$	$\beta_{12}$	$\gamma_{12}$	$\delta_{12}$	$\epsilon_{12}$	$\zeta_{12}$	$\eta_{12}$	$\theta_{12}$	$\varphi_{12}$	$\psi_{12}$	$\chi_{12}$	$\omega_{12}$	$\rho_{12}$	$\sigma_{12}$	$\tau_{12}$	$\nu_{12}$	$\mu_{12}$	$\lambda_{12}$	$\kappa_{12}$	$\pi_{12}$	$\omega'_{12}$	$\rho'_{12}$	$\sigma'_{12}$	$\tau'_{12}$	$\nu'_{12}$	$\mu'_{12}$	$\lambda'_{12}$	$\kappa'_{12}$	$\pi'_{12}$
$\alpha_{13}$	$\beta_{13}$	$\gamma_{13}$	$\delta_{13}$	$\epsilon_{13}$	$\zeta_{13}$	$\eta_{13}$	$\theta_{13}$	$\varphi_{13}$	$\psi_{13}$	$\chi_{13}$	$\omega_{13}$	$\rho_{13}$	$\sigma_{13}$	$\tau_{13}$	$\nu_{13}$	$\mu_{13}$	$\lambda_{13}$	$\kappa_{13}$	$\pi_{13}$	$\omega'_{13}$	$\rho'_{13}$	$\sigma'_{13}$	$\tau'_{13}$	$\nu'_{13}$	$\mu'_{13}$	$\lambda'_{13}$	$\kappa'_{13}$	$\pi'_{13}$
$\alpha_{14}$	$\beta_{14}$	$\gamma_{14}$	$\delta_{14}$	$\epsilon_{14}$	$\zeta_{14}$	$\eta_{14}$	$\theta_{14}$	$\varphi_{14}$	$\psi_{14}$	$\chi_{14}$	$\omega_{14}$	$\rho_{14}$	$\sigma_{14}$	$\tau_{14}$	$\nu_{14}$	$\mu_{14}$	$\lambda_{14}$	$\kappa_{14}$	$\pi_{14}$	$\omega'_{14}$	$\rho'_{14}$	$\sigma'_{14}$	$\tau'_{14}$	$\nu'_{14}$	$\mu'_{14}$	$\lambda'_{14}$	$\kappa'_{14}$	$\pi'_{14}$
$\alpha_{15}$	$\beta_{15}$	$\gamma_{15}$	$\delta_{15}$	$\epsilon_{15}$	$\zeta_{15}$	$\eta_{15}$	$\theta_{15}$	$\varphi_{15}$	$\psi_{15}$	$\chi_{15}$	$\omega_{15}$	$\rho_{15}$	$\sigma_{15}$	$\tau_{15}$	$\nu_{15}$	$\mu_{15}$	$\lambda_{15}$	$\kappa_{15}$	$\pi_{15}$	$\omega'_{15}$	$\rho'_{15}$	$\sigma'_{15}$	$\tau'_{15}$	$\nu'_{15}$	$\mu'_{15}$	$\lambda'_{15}$	$\kappa'_{15}$	$\pi'_{15}$
$\alpha_{16}$	$\beta_{16}$	$\gamma_{16}$	$\delta_{16}$	$\epsilon_{16}$	$\zeta_{16}$	$\eta_{16}$	$\theta_{16}$	$\varphi_{16}$	$\psi_{16}$	$\chi_{16}$	$\omega_{16}$	$\rho_{16}$	$\sigma_{16}$	$\tau_{16}$	$\nu_{16}$	$\mu_{16}$	$\lambda_{16}$	$\kappa_{16}$	$\pi_{16}$	$\omega'_{16}$	$\rho'_{16}$	$\sigma'_{16}$	$\tau'_{16}$	$\nu'_{16}$	$\mu'_{16}$	$\lambda'_{16}$	$\kappa'_{16}$	$\pi'_{16}$
$\alpha_{17}$	$\beta_{17}$	$\gamma_{17}$	$\delta_{17}$	$\epsilon_{17}$	$\zeta_{17}$	$\eta_{17}$	$\theta_{17}$	$\varphi_{17}$	$\psi_{17}$	$\chi_{17}$	$\omega_{17}$	$\rho_{17}$	$\sigma_{17}$	$\tau_{17}$	$\nu_{17}$	$\mu_{17}$	$\lambda_{17}$	$\kappa_{17}$	$\pi_{17}$	$\omega'_{17}$	$\rho'_{17}$	$\sigma'_{17}$	$\tau'_{17}$	$\nu'_{17}$	$\mu'_{17}$	$\lambda'_{17}$	$\kappa'_{17}$	$\pi'_{17}$
$\alpha_{18}$	$\beta_{18}$	$\gamma_{18}$	$\delta_{18}$	$\epsilon_{18}$	$\zeta_{18}$	$\eta_{18}$	$\theta_{18}$	$\varphi_{18}$	$\psi_{18}$	$\chi_{18}$	$\omega_{18}$	$\rho_{18}$	$\sigma_{18}$	$\tau_{18}$	$\nu_{18}$	$\mu_{18}$	$\lambda_{18}$	$\kappa_{18}$	$\pi_{18}$	$\omega'_{18}$	$\rho'_{18}$	$\sigma'_{18}$	$\tau'_{18}$	$\nu'_{18}$	$\mu'_{18}$	$\lambda'_{18}$	$\kappa'_{18}$	$\pi'_{18}$
$\alpha_{19}$	$\beta_{19}$	$\gamma_{19}$	$\delta_{19}$	$\epsilon_{19}$	$\zeta_{19}$	$\eta_{19}$	$\theta_{19}$	$\varphi_{19}$	$\psi_{19}$	$\chi_{19}$	$\omega_{19}$	$\rho_{19}$	$\sigma_{19}$	$\tau_{19}$	$\nu_{19}$	$\mu_{19}$	$\lambda_{19}$	$\kappa_{19}$	$\pi_{19}$	$\omega'_{19}$	$\rho'_{19}$	$\sigma'_{19}$	$\tau'_{19}$	$\nu'_{19}$	$\mu'_{19}$	$\lambda'_{19}$	$\kappa'_{19}$	$\pi'_{19}$
$\alpha_{20}$	$\beta_{20}$	$\gamma_{20}$	$\delta_{20}$	$\epsilon_{20}$	$\zeta_{20}$	$\eta_{20}$	$\theta_{20}$	$\varphi_{20}$	$\psi_{20}$	$\chi_{20}$	$\omega_{20}$	$\rho_{20}$	$\sigma_{20}$	$\tau_{20}$	$\nu_{20}$	$\mu_{20}$	$\lambda_{20}$	$\kappa_{20}$	$\pi_{20}$	$\omega'_{20}$	$\rho'_{20}$	$\sigma'_{20}$	$\tau'_{20}$	$\nu'_{20}$	$\mu'_{20}$	$\lambda'_{20}$	$\kappa'_{20}$	$\pi'_{20}$
$\alpha_{21}$	$\beta_{21}$	$\gamma_{21}$	$\delta_{21}$	$\epsilon_{21}$	$\zeta_{21}$	$\eta_{21}$	$\theta_{21}$	$\varphi_{21}$	$\psi_{21}$	$\chi_{21}$	$\omega_{21}$	$\rho_{21}$	$\sigma_{21}$	$\tau_{21}$	$\nu_{21}$	$\mu_{21}$	$\lambda_{21}$	$\kappa_{21}$	$\pi_{21}$	$\omega'_{21}$	$\rho'_{21}$	$\sigma'_{21}$	$\tau'_{21}$	$\nu'_{21}$	$\mu'_{21}$	$\lambda'_{21}$	$\kappa'_{21}$	$\pi'_{21}$
$\alpha_{22}$	$\beta_{22}$	$\gamma_{22}$	$\delta_{22}$	$\epsilon_{22}$	$\zeta_{22}$	$\eta_{22}$	$\theta_{22}$	$\varphi_{22}$	$\psi_{22}$	$\chi_{22}$	$\omega_{22}$	$\rho_{22}$	$\sigma_{22}$	$\tau_{22}$	$\nu_{22}$	$\mu_{22}$	$\lambda_{22}$	$\kappa_{22}$	$\pi_{22}$	$\omega'_{22}$	$\rho'_{22}$	$\sigma'_{22}$	$\tau'_{22}$	$\nu'_{22}$	$\mu'_{22}$	$\lambda'_{22}$	$\kappa'_{22}$	$\pi'_{22}$
$\alpha_{23}$	$\beta_{23}$	$\gamma_{23}$	$\delta_{23}$	$\epsilon_{23}$	$\zeta_{23}$	$\eta_{23}$	$\theta_{23}$	$\varphi_{23}$	$\psi_{23}$	$\chi_{23}$	$\omega_{23}$	$\rho_{23}$	$\sigma_{23}$	$\tau_{23}$	$\nu_{23}$	$\mu_{23}$	$\lambda_{23}$	$\kappa_{23}$	$\pi_{23}$	$\omega'_{23}$	$\rho'_{23}$	$\sigma'_{23}$	$\tau'_{23}$	$\nu'_{23}$	$\mu'_{23}$	$\lambda'_{23}$	$\kappa'_{23}$	$\pi'_{23}$
$\alpha_{24}$	$\beta_{24}$	$\gamma_{24}$	$\delta_{24}$	$\epsilon_{24}$	$\zeta_{24}$	$\eta_{24}$	$\theta_{24}$	$\varphi_{24}$	$\psi_{24}$	$\chi_{24}$	$\omega_{24}$	$\rho_{24}$	$\sigma_{24}$	$\tau_{24}$	$\nu_{24}$	$\mu_{24}$	$\lambda_{24}$	$\kappa_{24}$	$\pi_{24}$	$\omega'_{24}$	$\rho'_{24}$	$\sigma'_{24}$	$\tau'_{24}$	$\nu'_{24}$	$\mu'_{24}$	$\lambda'_{24}$	$\kappa'_{24}$	$\pi'_{24}$
$\alpha_{25}$	$\beta_{25}$	$\gamma_{25}$	$\delta_{25}$	$\epsilon_{25}$	$\zeta_{25}$	$\eta_{25}$	$\theta_{25}$	$\varphi_{25}$	$\psi_{25}$	$\chi_{25}$	$\omega_{25}$	$\rho_{25}$	$\sigma_{25}$	$\tau_{25}$	$\nu_{25}$	$\mu_{25}$	$\lambda_{25}$	$\kappa_{25}$	$\pi_{25}$	$\omega'_{25}$	$\rho'_{25}$	$\sigma'_{25}$	$\tau'_{25}$	$\nu'_{25}$	$\mu'_{25}$	$\lambda'_{25}$	$\kappa'_{25}$	$\pi'_{25}$
$\alpha_{26}$	$\beta_{26}$	$\gamma_{26}$	$\delta_{26}$	$\epsilon_{26}$	$\zeta_{26}$	$\eta_{26}$	$\theta_{26}$	$\varphi_{26}$	$\psi_{26}$	$\chi_{26}$	$\omega_{26}$	$\rho_{26}$	$\sigma_{26}$	$\tau_{26}$	$\nu_{26}$	$\mu_{26}$	$\lambda_{26}$	$\kappa_{26}$	$\pi_{26}$	$\omega'_{26}$	$\rho'_{26}$	$\sigma'_{26}$	$\tau'_{26}$	$\nu'_{26}$	$\mu'_{26}$	$\lambda'_{26}$	$\kappa'_{26}$	$\pi'_{26}$
$\alpha_{27}$	$\beta_{27}$	$\gamma_{27}$	$\delta_{27}$	$\epsilon_{27}$	$\zeta_{27}$	$\eta_{27}$	$\theta_{27}$	$\varphi_{27}$	$\psi_{27}$	$\chi_{27}$	$\omega_{27}$	$\rho_{27}$	$\sigma_{27}$	$\tau_{27}$	$\nu_{27}$	$\mu_{27}$	$\lambda_{27}$	$\kappa_{27}$	$\pi_{27}$	$\omega'_{27}$	$\rho'_{27}$	$\sigma'_{27}$	$\tau'_{27}$	$\nu'_{27}$	$\mu'_{27}$	$\lambda'_{27}$	$\kappa'_{27}$	$\pi'_{27}$
$\alpha_{28}$	$\beta_{28}$	$\gamma_{28}$	$\delta_{28}$	$\epsilon_{28}$	$\zeta_{28}$	$\eta_{28}$	$\theta_{28}$	$\varphi_{28}$	$\psi_{28}$	$\chi_{28}$	$\omega_{28}$	$\rho_{28}$	$\sigma_{28}$	$\tau_{28}$	$\nu_{28}$	$\mu_{28}$	$\lambda_{28}$	$\kappa_{28}$	$\pi_{28}$	$\omega'_{28}$	$\rho'_{28}$	$\sigma'_{28}$	$\tau'_{28}$	$\nu'_{28}$	$\mu'_{28}$	$\lambda'_{28}$	$\kappa'_{28}$	$\pi'_{28}$
$\alpha_{29}$	$\beta_{29}$	$\gamma_{29}$	$\delta_{29}$	$\epsilon_{29}$	$\zeta_{29}$	$\eta_{29}$	$\theta_{29}$	$\varphi_{29}$	$\psi_{29}$	$\chi_{29}$	$\omega_{29}$	$\rho_{29}$	$\sigma_{29}$	$\tau_{29}$	$\nu_{29}$	$\mu_{29}$	$\lambda_{29}$	$\kappa_{29}$	$\pi_{29}$	$\omega'_{29}$	$\rho'_{29}$	$\sigma'_{29}$	$\tau'_{29}$	$\nu'_{29}$	$\mu'_{29}$	$\lambda'_{29}$	$\kappa'_{29}$	$\pi'_{29}$
$\alpha_{30}$	$\beta_{30}$	$\gamma_{30}$	$\delta_{30}$	$\epsilon_{30}$	$\zeta_{30}$	$\eta_{30}$	$\theta_{30}$	$\varphi_{30}$	$\psi_{30}$	$\chi_{30}$	$\omega_{30}$	$\rho_{30}$	$\sigma_{30}$	$\tau_{30}$	$\nu_{30}$	$\mu_{30}$	$\lambda_{30}$	$\kappa_{30}$	$\pi_{30}$	$\omega'_{30}$	$\rho'_{30}$	$\sigma'_{30}$	$\tau'_{30}$	$\nu'_{30}$	$\mu'_{30}$	$\lambda'_{30}$	$\kappa'_{30}$	$\pi'_{30}$
$\alpha_{31}$	$\beta_{31}$	$\gamma_{31}$	$\delta_{31}$	$\epsilon_{31}$	$\zeta_{31}$ </																							

$$\begin{aligned}
& -3R_{1,1,2} - 3R_{1,1,3} + R_{2,0}(-k^2)R_{1,1,1} - R_{1,1,2} - R_{1,1,3} + R_{1,1,4} + R_{1,1,5} + 2R_{1,1,6} - R_{1,1,7} \\
& + \frac{3}{2}R_{1,1,8} - R_{1,1,9} - R_{1,1,10} - 2R_{1,1,11} - \frac{3}{2}R_{1,1,12} - R_{1,1,13} + 2R_{1,1,14} - 2R_{1,1,15} - \frac{3}{2}R_{1,1,16} \\
& + 3R_{1,1,17} - R_{1,1,18} - R_{1,1,19} + R_{1,1,20} + R_{1,1,21} + R_{1,1,22} + R_{1,1,23} + R_{1,1,24} - R_{1,1,25} \\
& + \frac{1}{2}(R_{1,1,1} - R_{1,1,2}) + 2(R_{1,1,3} - R_{1,1,4}) + \frac{1}{2}(R_{1,1,5} - R_{1,1,6}) + \frac{3}{2}(R_{1,1,7} - R_{1,1,8}) + \frac{4}{3}(R_{1,1,9} - R_{1,1,10}) \\
& - \frac{1}{2}(R_{1,1,11} - R_{1,1,12}) + 2(R_{1,1,13} - R_{1,1,14}) + \frac{1}{2}(R_{1,1,15} - R_{1,1,16}) + \frac{3}{2}(R_{1,1,17} - R_{1,1,18}) + \frac{4}{3}(R_{1,1,19} - R_{1,1,20}) \\
& - \frac{1}{2}(R_{1,1,21} - R_{1,1,22}) + 2(R_{1,1,23} - R_{1,1,24}) + \frac{1}{2}(R_{1,1,25} - R_{1,1,26}) + \frac{3}{2}(R_{1,1,27} - R_{1,1,28}) + \frac{4}{3}(R_{1,1,29} - R_{1,1,30})
\end{aligned}$$

$$\begin{aligned}
& -B_{1,1} - 2B_{1,2} - 2B_{1,3}Q + B_{1,2} - B_{1,3}B_2 - B_{1,3}B_3 - B_{1,2}Q^2 + \frac{45}{16}Q_1 + \frac{45}{16}Q_2 + \frac{11}{16}B_{1,1} \\
& - \frac{11}{16}B_1 + \frac{5}{8}B_{1,2}Q + \frac{7}{8}B_{1,3}B_2 + \frac{21}{8}B_3Q + \frac{459}{128}B_{1,1,1} - \frac{1}{8}B_{1,1} + \frac{1}{8}B_{1,2,1} + \frac{3}{8}B_{1,2}Q \\
& + \frac{1}{2}B_{1,3}Q - \frac{5}{8}B_{1,2}B_2 + B_1Q_2 - \frac{219}{32}B_{1,2}B_3 - \frac{459}{32}B_{1,3}B_2 + 6(1+Q) \left[ B_{1,1,1,1} - B_{1,1,2,2} \right]
\end{aligned}$$

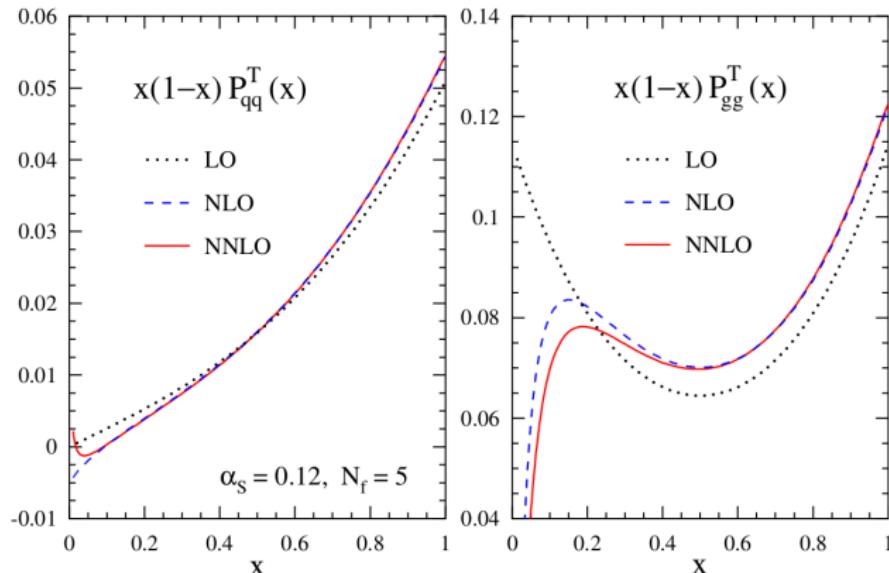
$$\begin{aligned}
& -\frac{2}{3}Q_2^2 + \frac{7}{2}Q_2Q_3 + \frac{15}{2}Q_2Q_4 - 40Q_2Q_5 + [1+1] \left( \frac{49}{16}Q_2 - H_{1,1,2} \right) - \frac{11}{8}Q_2H_{1,1,2} - \frac{1}{8}Q_2H_{1,2,2} - \frac{11}{16}Q_2 \\
& - 40H_{1,1,2} + 15H_{1,2,2} + \frac{1}{2}H_{1,1,1,2} - \frac{35}{2}H_{1,1,2,2} - \frac{1}{2}H_{1,2,1,2} - \frac{11}{2}H_{1,2,2,2} - 12H_{1,1,1,2,2} + 70H_{1,1,2,2} \\
& - 13H_{1,2,1,2,2} + 10H_{1,2,2,2,2} + 10H_{1,1,1,1,2} + \frac{1}{2}H_{1,1,1,2,2} - \frac{1}{2}H_{1,1,2,1,2} - \frac{1}{2}H_{1,1,2,2,2} - \frac{1}{2}H_{1,2,1,1,2} - \frac{1}{2}H_{1,2,1,2,2} \\
& - 10H_{1,2,2,1,2} + 5H_{1,2,2,2,2} + \frac{3}{2}H_{1,1,1,1,1,2} - 40H_{1,1,1,1,2,2} - 15H_{1,1,1,2,1,2} - \frac{23}{2}H_{1,1,1,2,2,2} + 20H_{1,1,2,1,1,2} - \frac{15}{2}H_{1,1,2,1,2,2} \\
& - 10H_{1,1,2,2,1,2} + 5H_{1,1,2,2,2,2} + \frac{3}{2}H_{1,1,1,1,1,1,2} - 40H_{1,1,1,1,1,2,2} - 15H_{1,1,1,1,2,1,1,2} - \frac{23}{2}H_{1,1,1,1,2,2,1,2} + 20H_{1,1,1,2,1,1,1,2} - \frac{15}{2}H_{1,1,1,2,1,1,2,2}
\end{aligned}$$

**NLO: 2012**

# NNLO:2012

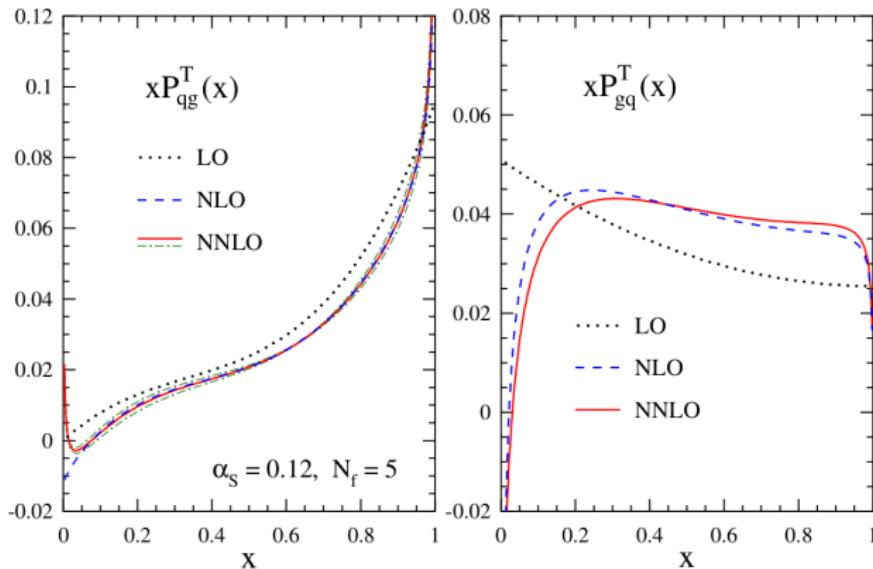
# Properties of splitting functions

- ① At LO [Sov. J. Nucl. Phys. 15 (1973) 438; NPB 126 (1977) 298; NPB 136 (1978) 445]  
time-like and space-like splitting functions are equal, provided  $P_{qg}^{S,(0)} \leftrightarrow P_{gg}^{T,(0)}$
- ② At NLO [NPB 175 (1980) 27, PLB 97 (1980) 497, PRD 48 (1993) 116]  
time-like and space-like splitting functions are related by analytic continuation
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# Properties of splitting functions

Must be careful with fixed-order splitting functions as  $z \rightarrow 0$  ( $m = 1, \dots, 2k + 1$ )

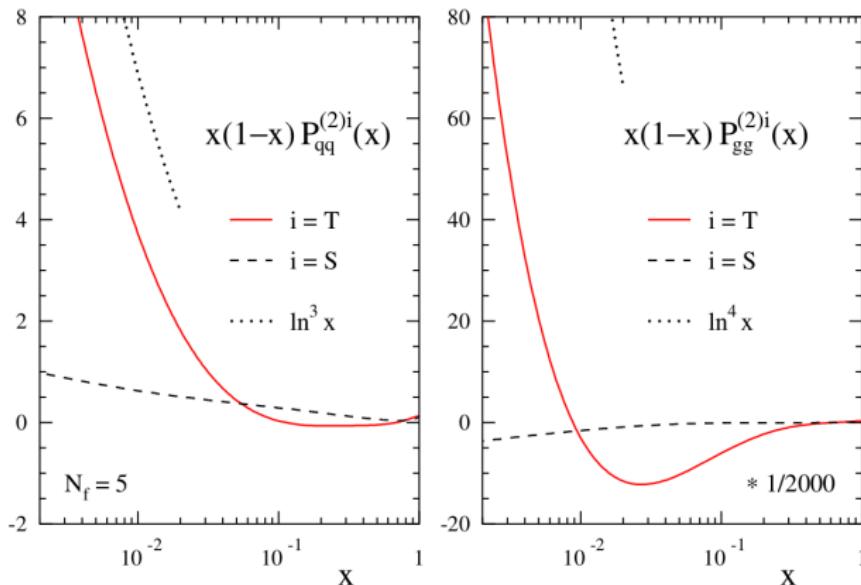
SPACE-LIKE CASE

$$P_{ji} \propto \frac{a_s^{k+1}}{x} \log^{k+1-m} \frac{1}{x}$$

TIME-LIKE CASE

$$P_{ji} \propto \frac{a_s^{k+1}}{z} \log^{2(k+1)-m-1} z$$

Soft gluon logarithms diverge more rapidly in the TL case than in the SL case: as  $z$  decreases, the unresummed SGLs spoil the convergence of the FO series for  $P(z, a_s)$  if  $\log \frac{1}{z} \geq \mathcal{O}(a_s^{-1/2})$



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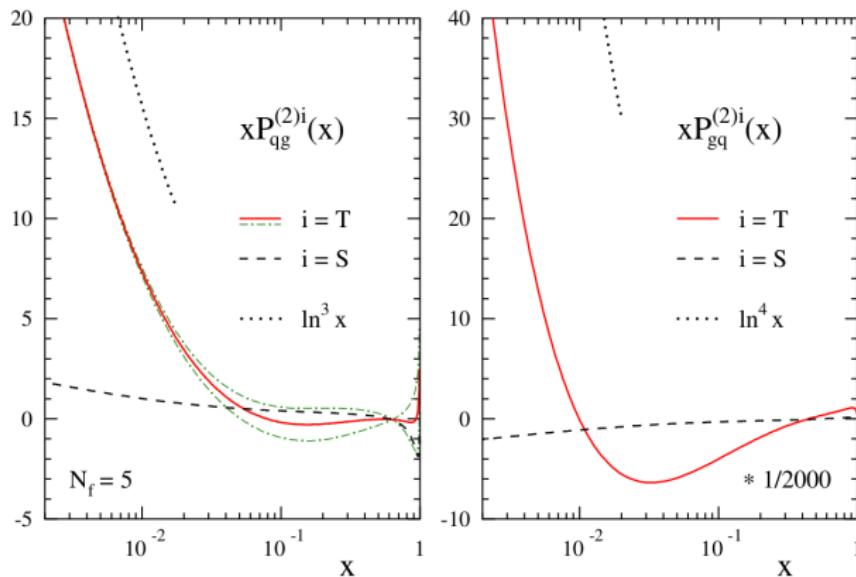
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# Theoretical constraints

## 1 Momentum sum rule

$$\sum_h \int_0^1 dz z D_i^h(z, \mu^2) = 1 \quad \forall \text{ parton } i$$

## 2 Charge sum rule

$$\sum_h \int_0^1 dz e_h D_i^h(z, \mu^2) = e_i \quad \forall \text{ parton } i$$

where  $e_{h(i)}$  is the electric charge of the hadron  $h$  (parton  $i$ )

## 3 Charge conjugation symmetry

$$D_{q(\bar{q})}^{h+} = D_{\bar{q}(q)}^{h-} \quad D_g^{h+} = D_g^{h-} \quad \forall \text{ hadron species } h^\pm$$

## 4 Isospin symmetry of the strong interaction

$$D_u^{\pi+} = D_d^{\pi-} \quad D_d^{\pi+} = D_u^{\pi-}$$

approximate, as  $m_u \sim m_d$ , but no phenomenological evidences of violation

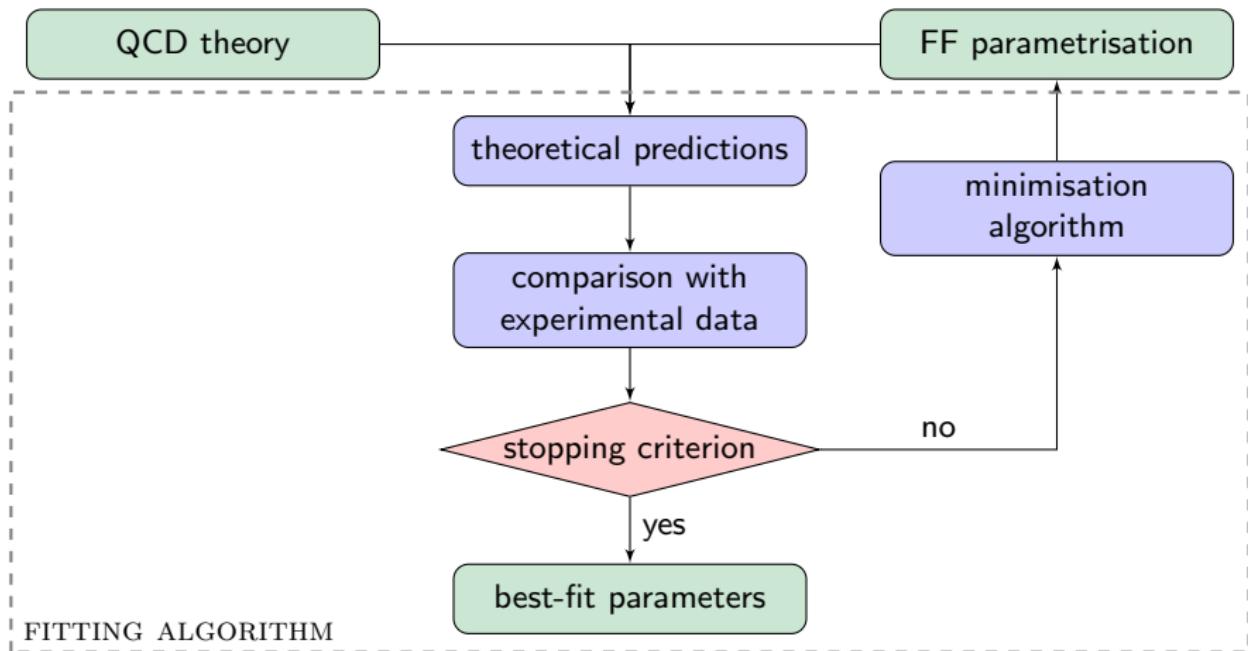
## 5 Positivity of cross sections

implies that FFs should be positive-definite at LO

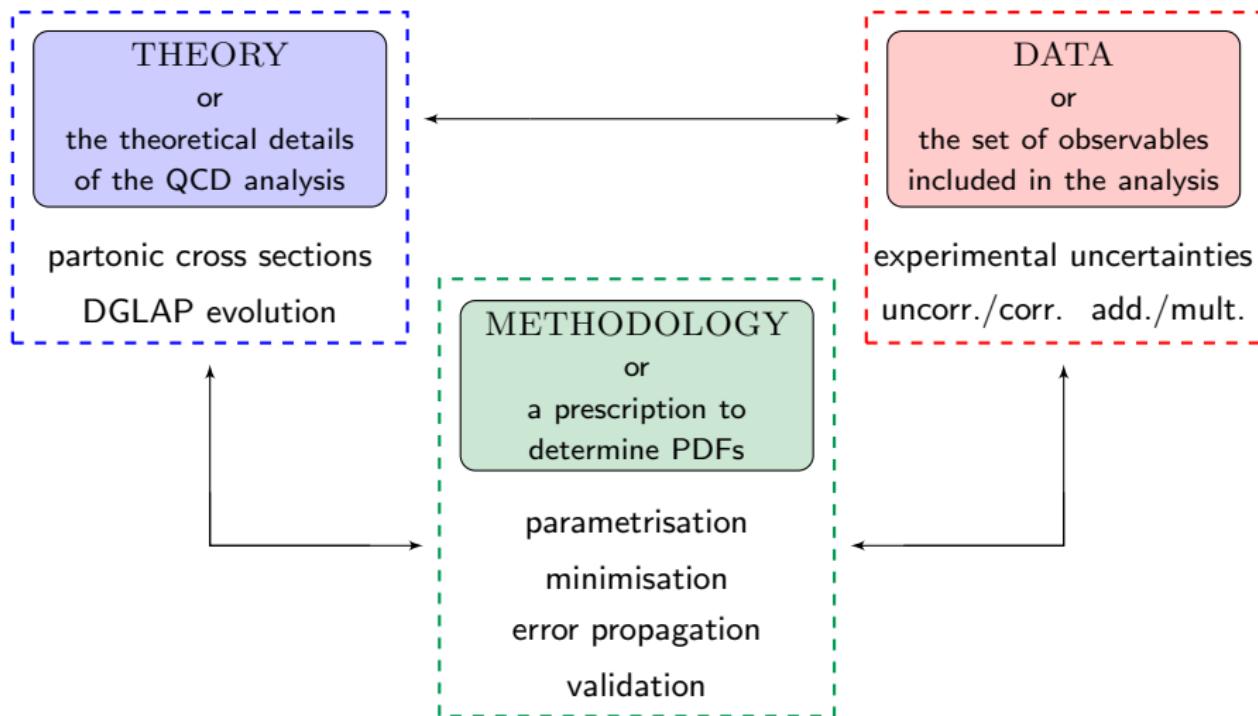
# Determining FFs from data: a (global) QCD analysis

Determine the probability density  $\mathcal{P}[D]$  in the space of FFs  $[D]$

$$\langle \mathcal{O}[D] \rangle = \int \mathcal{D}D \mathcal{P}[D] \mathcal{O}[D] \quad \sigma_{\mathcal{O}}[D] = \left[ \int \mathcal{D}D \mathcal{P}[D] (\mathcal{O}[D] - \langle \mathcal{O}[D] \rangle)^2 \right]^{1/2}$$



# A global QCD analysis: the ingredients we need



Each of these ingredients is a source of uncertainty in the FF determination

# Available FF sets (status 2017)

	DHESS	HKNS	JAM	NNFF	
DATA	SIA SIDIS PP	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	
METH.	statistical treatment parametrisation	Iterative Hessian 68% - 90%	Hessian $\Delta\chi^2 = 15.94$	Monte Carlo standard	Monte Carlo neural network
THEORY	pert. order	(N)NLO	NLO	NLO	LO, NLO, NNLO
	HF scheme	ZM(GM)-VFN	ZM-VFN	ZM-VFN	ZM-VFN
	hadron species	$\pi^\pm, K^\pm, p/\bar{p}, h^\pm$	$\pi^\pm, K^\pm, p/\bar{p}$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p/\bar{p}$
	latest update	<a href="#">PRD 91 (2015) 014035</a> <a href="#">PRD 95 (2017) 094019</a>	<a href="#">PTEP 2016 (2016) 113B04</a>	<a href="#">PRD 94 (2016) 114004</a>	<a href="#">EPJ C77 (2017) 516</a>

+ some others (including analyses for specific hadrons)

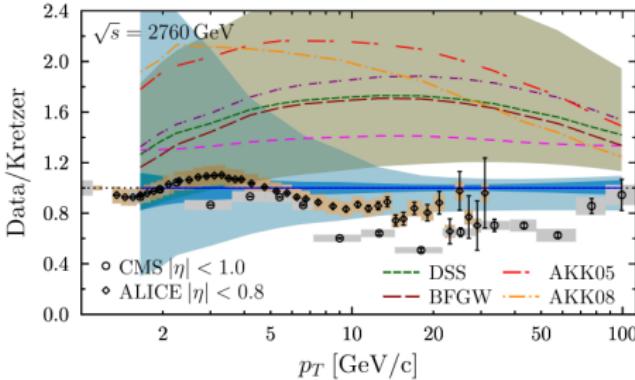
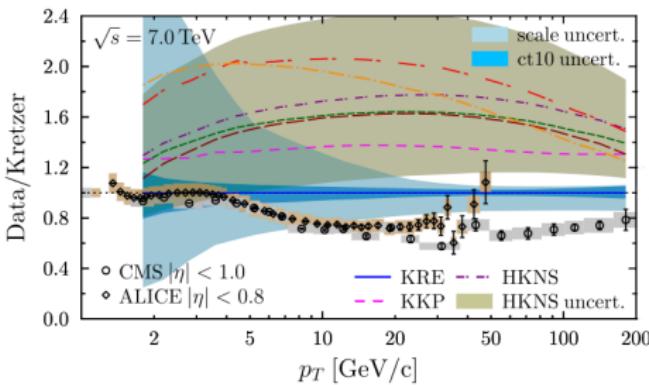
BKK95 [ZPB 65 (1995) 471]	$\pi^\pm, K^\pm$
BKK96 [PRD 53 (1996) 3553]	$K^0$
DSV97 [PRD 57 (1998) 5811]	$\Lambda^0$
BFGW00 [EPJ C19 (2001) 89]	$h^\pm$

AESS11 [PRD 83 (2011) 034002]	$\eta$
SKMNA13 [PRD 88 (2013) 054019]	$\pi^\pm, K^\pm$
LSS15 [PRD 96 (2016) 074026]	SIDIS only

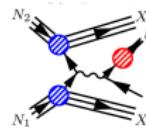
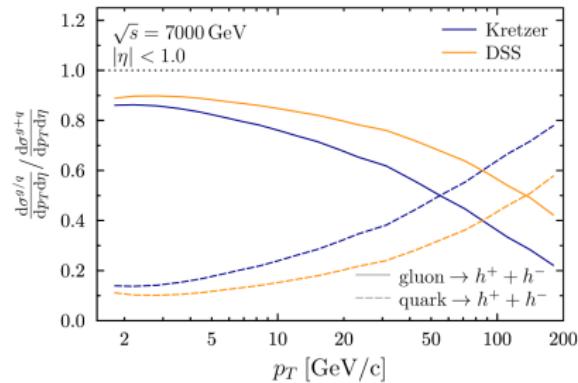
Focus on  $\pi$  and  $K$  which constitute the largest fraction in measured yields

# Fragmentation functions: why should we bother?

**Example 1:** Ratio of the inclusive charged-hadron spectra measured by CMS and ALICE



Figures taken from [NPB 883 (2014) 615]



$$E \frac{d^3 \sigma}{dp_T^3} = \sum_{a,b,c} f_a \otimes f_b \otimes \hat{\sigma}_{ab}^c \otimes D_c^h$$

Predictions from all available FF sets are not compatible with CMS and ALICE data, not even within scale and PDF/FF uncertainties  
 → How well do we know the gluon FF?

# Fragmentation functions: why should we bother?

**Example 2:** The strange polarised parton distribution at  $Q^2 = 2.5 \text{ GeV}^2$  ( $\Delta s = \Delta \bar{s}$ )

NNPDFpol1.0 [NPB 874 (2013) 36]  
 $\int_0^1 dx [\Delta s + \Delta \bar{s}] = -0.13 \pm 0.09$

JAM17 [PRL 119 (2017) 132001]  
 $\int_0^1 dx [\Delta s + \Delta \bar{s}] = -0.03 \pm 0.10$

First moment constrained by  
 $a_3 = \int_0^1 dx [\Delta u^+ - \Delta d^+] = 1.2701 \pm 0.0025$

$a_8 = \int_0^1 dx [\Delta u^+ + \Delta d^+ - 2\Delta s^+] = 0.585 \pm 0.176$

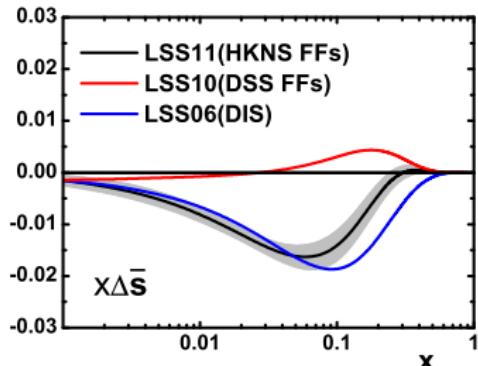
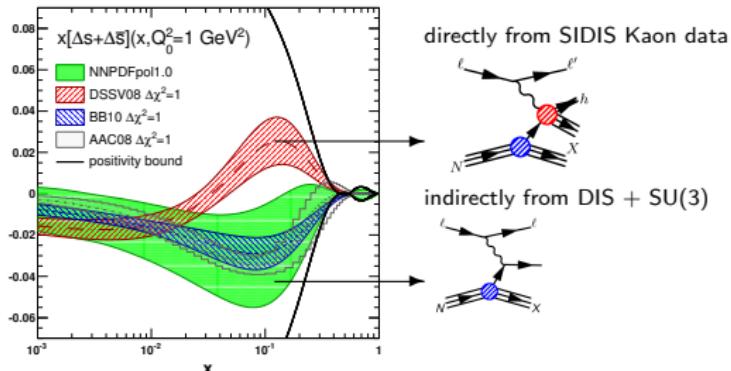


Figure taken from [PRD D84 (2011) 014002]

$$\frac{d\sigma}{dxdy} = \frac{2\pi\alpha_{\text{em}}^2}{Q^2} [(2-y)g_1]$$

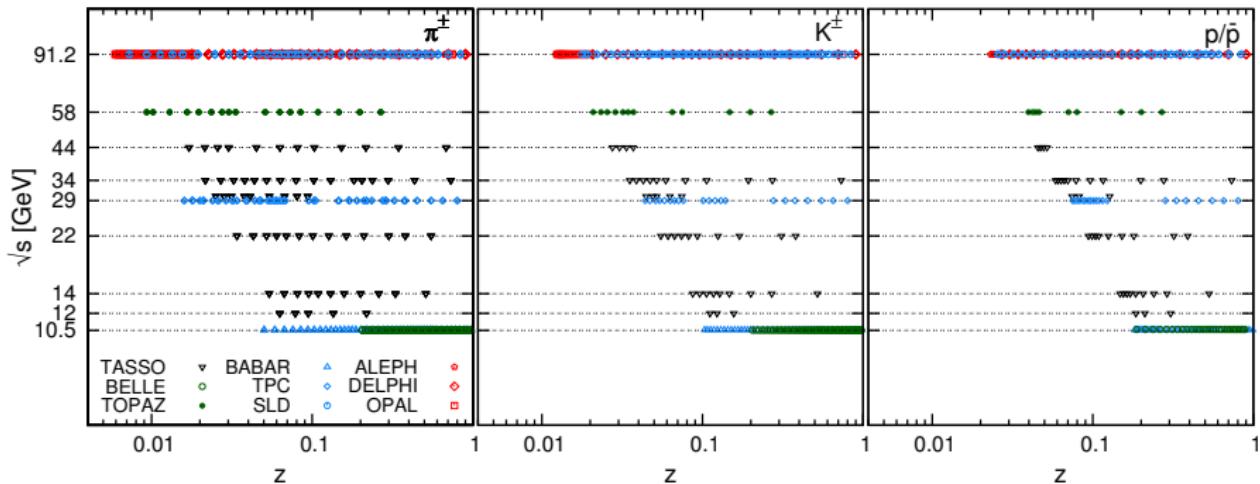
$$\frac{d\sigma^h}{dxdydz} = \frac{2\pi\alpha_{\text{em}}^2}{Q^2} \left[ \frac{1+(1-y)^2}{y} 2g_1^h + \frac{2(1-y)}{y} g_L^h \right]$$

If SIDIS data is used to determine  $\Delta s$ ,  $K^\pm$  FFs for different sets lead to different results. Such results may differ significantly among them and w.r.t. the results obtained from DIS  
 → How well do we know kaon FFs?

## 2. The NNFF1.0 analysis

[EPJ C77 (2017) 516]

# The dataset



**CERN-LEP:** ALEPH [ZP C66 (1995) 353] DELPHI [EPJ C18 (2000) 203] OPAL [ZP C63 (1994) 181]

**KEK:** BELLE ( $n_f = 4$ ) [PRL 111 (2013) 062002] TOPAZ [PL B345 (1995) 335]

**DESY-PETRA:** TASSO [PL B94 (1980) 444, ZP C17 (1983) 5, ZP C42 (1989) 189]

**SLAC:** BABAR ( $n_f = 4$ ) [PR D88 (2013) 032011] SLD [PR D58 (1999) 052001] TPC [PRL 61 (1988) 1263]

$$\frac{d\sigma^h}{dz} = \frac{4\pi\alpha^2(Q^2)}{Q^2} \mathcal{F}_2^h(z, Q^2) \quad h = \pi^+ + \pi^-, K^+ + K^-, p + \bar{p} \quad \text{possibly normalised to } \sigma_{\text{tot}}$$

$$N_{\text{dat}}^{\pi^\pm} = 428$$

$$N_{\text{dat}}^{K^\pm} = 385$$

$$N_{\text{dat}}^{p/\bar{p}} = 360$$

# From observables to fragmentation functions

$$\mathcal{F}_2^h = \langle e^2 \rangle \left\{ C_{2,q}^S \otimes D_\Sigma^h + n_f C_{2,g}^S \otimes D_g^h + C_{2,q}^{NS} \otimes D_{NS}^h \right\}$$

$$\langle e^2 \rangle = \frac{1}{n_f} \sum_{q=1}^{n_f} \hat{e}_q^2 \quad D_\Sigma^h = \sum_{q=1}^{n_f} D_{q+}^h \quad D_{NS}^h = \sum_{q=1}^{n_f} \left( \frac{\hat{e}_q^2}{\langle e^2 \rangle} - 1 \right) D_{q+}^h \quad D_{q+}^h = D_q^h + D_{\bar{q}}^h$$

Coefficient functions and splitting functions known up to NNLO

[NPB 751 (2006) 18; NPB 749 (2006) 1; PLB 638 (2006) 61; NPB 845 (2012) 133]

$$\begin{aligned} F_2^{h,n_f=5} = & \frac{1}{5} \left[ (2\hat{e}_u^2 + 3\hat{e}_d^2) C_{2,q}^S + 3(\hat{e}_u^2 - \hat{e}_d^2) C_{2,q}^{NS} \right] \otimes \left( D_{u+}^h + D_{c+}^h \right) \\ & + \frac{1}{5} \left[ (2\hat{e}_u^2 + 3\hat{e}_d^2) C_{2,q}^S - 2(\hat{e}_u^2 - \hat{e}_d^2) C_{2,q}^{NS} \right] \otimes \left( D_{d+}^h + D_{s+}^h + D_{b+}^h \right) \\ & + (2\hat{e}_u^2 + 3\hat{e}_d^2) C_{2,g}^S \otimes D_g^h \end{aligned}$$

No sensitivity to individual quark and antiquark FFs

Limited sensitivity to flavour separation via the variation of  $\hat{e}_q$  with  $Q^2$   
 $\hat{e}_u^2/\hat{e}_d^2(Q^2 = 10 \text{ GeV}) \sim 4 \Rightarrow D_{u+}^h, D_{d+}^h + D_{s+}^h$ ;  $\hat{e}_u^2/\hat{e}_d^2(Q^2 = M_Z) \sim 0.8 \Rightarrow D_\Sigma^h$   
Flavor separation between  $uds$  and  $c, b$  quarks achieved thanks to tagged data

Direct sensitivity to  $D_g^h$  only beyond LO, as  $C_{2,g}^S$  is  $\mathcal{O}(\alpha_s^2)$ , and tenous  
Indirect sensitivity to  $D_g^h$  via scale violations in the time-like DGLAP evolution

# The NNPDF methodology: parametrisation

- ① Neural network (NN), i.e. a generator of random functions in the space of FFs

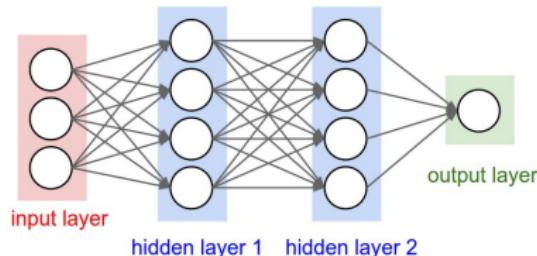
$$zD_i^h(z, Q_0^2) = \mathcal{F}_i^h(z, \{\mathbf{c}\})$$

$\mathcal{F}_i^h(z, \{\mathbf{c}\})$  is a feed-forward neural network

in terms of a huge set of parameters ( $\mathcal{O}(200)$  per PDF set)

$$\{\mathbf{c}\} = \{\omega_{ij}^{(L-1), D_i^h}, \theta_i^{(L), D_i^h}\}$$

- ② What a feed-forward NN exactly is?



$$\xi_i^{(l)} = g \left( \sum_j^{n_l-1} \omega_{ij}^{(l-1)} \xi_j^{(l-1)} - \theta_i^{(l)} \right)$$

$$g(y) = \frac{1}{1 + e^{-y}}$$

- ▶ made of neurons grouped into layers (define the architecture)
- ▶ each neuron receives input from neurons in the preceding layer (feed-forward NN)
- ▶ activation  $\xi_i^{(l)}$  determined by a set of parameters (weights and thresholds)
- ▶ activation determined according to a non-linear function (except the last layer)

⇒ potentially non-smooth

⇒ bias due to the parametrisation reduced as much as possible

# The NNPDF methodology: uncertainty representation

- ① Generate (*art*) replicas of (*exp*) data according to the distribution

$$\mathcal{O}_i^{(art)(k)} = \mathcal{O}_i^{(exp)} + r_i^{(k)} \sigma_{\mathcal{O}_i}, \quad i = 1, \dots, N_{\text{dat}}, \quad k = 1, \dots, N_{\text{rep}}$$

where  $r_i^{(k)}$  are (Gaussianly distributed) random numbers for each  $k$ -th replica  
( $r_i^{(k)}$  can be generated with any distribution, not necessarily Gaussian)

- ② Validate the Monte Carlo sample size against experimental data
- ③ Perform a fit for each replica  $k = 1, \dots, N_{\text{rep}}$
- ④ Compact computation of observables and their uncertainties  
(PDF replicas are equally probable members of a statistical ensemble)

$$\langle \mathcal{O}[D(x, Q^2)] \rangle = \frac{1}{N_{\text{rep}}} \sum_{k=1}^{N_{\text{rep}}} \mathcal{O}[D^{(k)}(x, Q^2)]$$

$$\sigma_{\mathcal{O}}[D(x, Q^2)] = \left[ \frac{1}{N_{\text{rep}} - 1} \sum_{k=1}^{N_{\text{rep}}} \left( \mathcal{O}[D^{(k)}(x, Q^2)] - \langle \mathcal{O}[D(x, Q^2)] \rangle \right)^2 \right]^{1/2}$$

⇒ no need to rely on linear approximation

⇒ computational expensive: need to perform  $N_{\text{rep}}$  fits instead of one

# Fit settings

Physical parameters: consistent with the NNPDF3.1 PDF set [EPJC77 (2017) 663]

$$\alpha_s(M_Z) = 0.118, \alpha(M_Z) = 1/127, m_c = 1.51 \text{ GeV}, m_b = 4.92 \text{ GeV}$$

Solution of DGLAP equations: numerical solution in  $z$ -space as implemented in APFEL  
extensive benchmark performed up to NNLO [JHEP 1503 (2015) 046]

Parametrisation: each FF is parametrised with a feed-forward neural network (2-5-3-1)

$$D_i^h(Q_0, z) = \text{NN}(x) - \text{NN}(1), \quad Q_0 = 5 \text{ GeV}$$

$$h = \pi^+ + \pi^-, h = K^+ + K^-, h = p + \bar{p} \quad i = u^+, d^+, s^+, c^+, b^+, g$$

we assume charge conjugation, from which  $D_{q^+}^{\pi^+} = D_{q^+}^{\pi^-}$

initial scale above  $m_b$ , but below the lowest c.m. energy of the data, avoid threshold crossing

Heavy flavours: heavy-quark FFs are parametrised independently at the initial scale  $Q_0$

Hadron mass corrections: included exactly à la Albino-Kniehl-Kramer [NPB 803 (2008) 42]

Kinematic cuts:  $z \rightarrow 0$ : contributions  $\propto \ln z$ ;  $z \rightarrow 1$ : contributions  $\propto \ln(1-z)$

$$z_{\min} = 0.075, z_{\max} = 0.02 (\sqrt{s} = M_Z); z_{\max} = 0.90$$

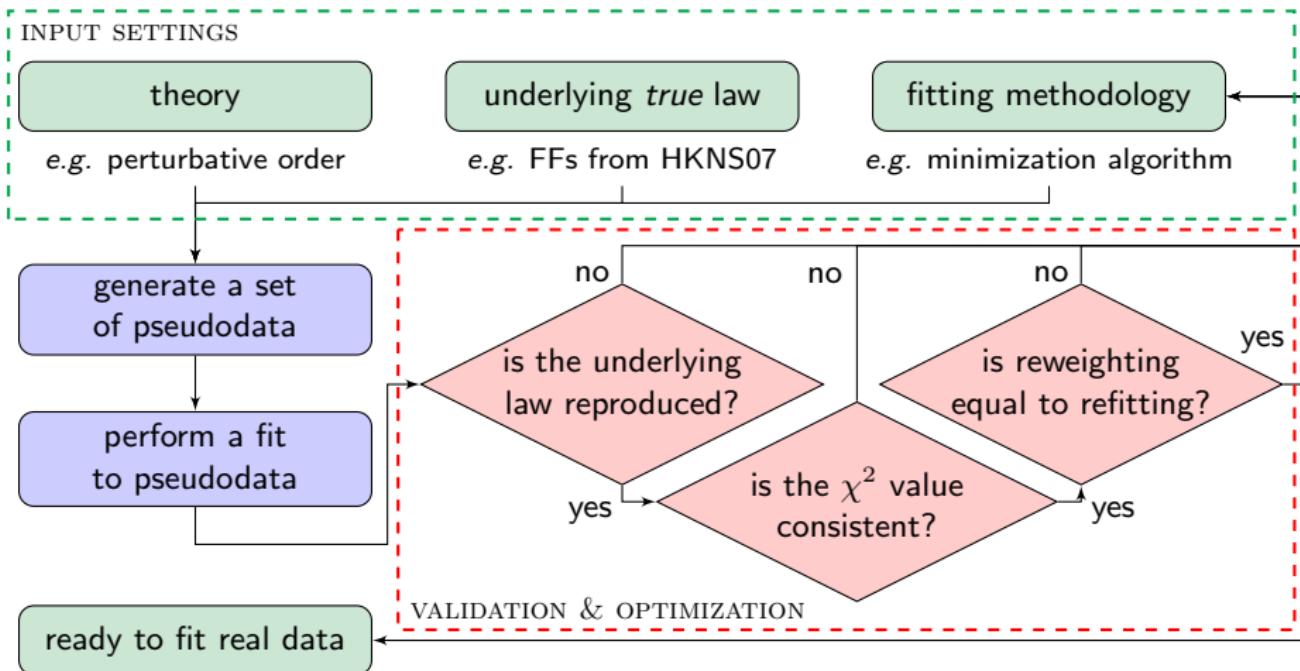
Momentum sum rule: check a posteriori that

$$\sum_{h=\pi^\pm, K^\pm, p/\bar{p}} \int_{z_{\min}}^1 dz z D_i^h(z, Q) < N \quad N \begin{cases} = 1 & \text{for } i = g \\ = 2 & \text{for } i = u^+, c^+, b^+ \\ = 4 & \text{for } i = d^+ + s^+ \end{cases}$$

# Methodology validation: closure tests

[JHEP 1504 (2015) 040]

Validation and optimization of the fitting strategy with known underlying physical law



Full control of procedural uncertainties

# Closure tests: levels

- ① Level 0: generate pseudodata  $D_i^0$  with zero uncertainty  
(but  $(\text{cov})_{ij}$  in the  $\chi^2$  is the data covariance matrix)
  - fit quality can be arbitrarily good, if the fitting methodology is efficient:  $\chi^2/N_{\text{dat}} \sim 0$
  - validate fitting methodology (parametrisation, minimisation)
  - interpolation and extrapolation uncertainty
- ② Level 1: generate pseudodata  $D_i^1$  with stochastic fluctuations (no replicas)

$$D_i^1 = (1 + r_i^{\text{nor}} \sigma_i^{\text{nor}}) \left( D_i^0 + \sum_p^{N_{\text{sys}}} r_{i,p}^{\text{sys}} \sigma_{i,p}^{\text{sys}} + r_i^{\text{stat}} \sigma_i^{\text{stat}} \right)$$

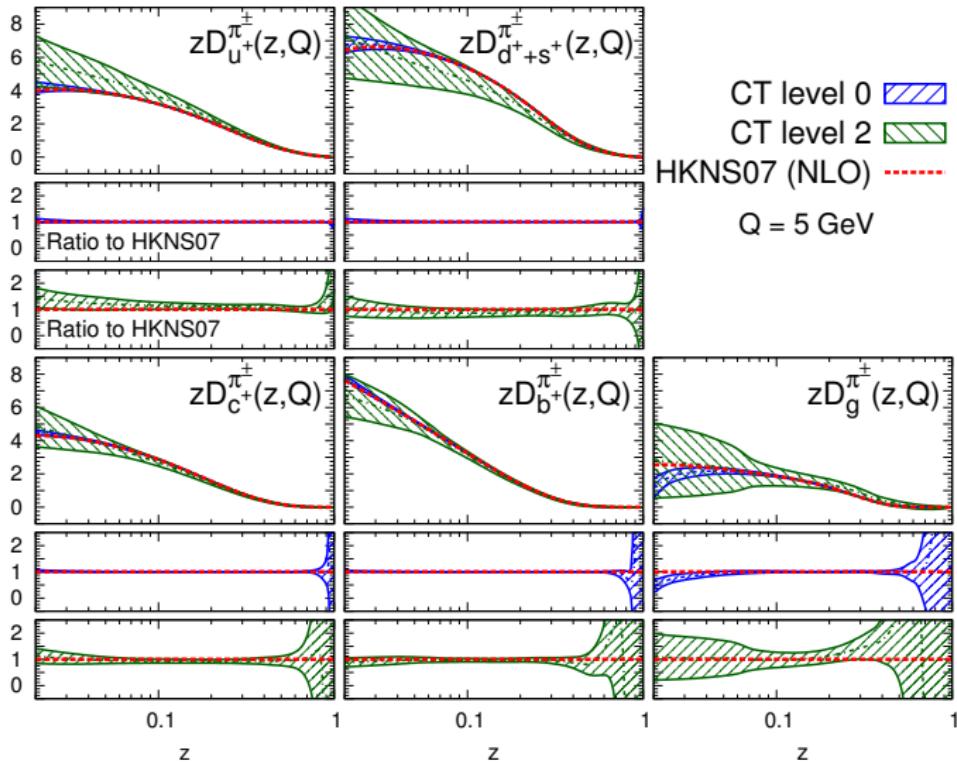
- experimental uncertainties are not propagated into FFs:  $\chi^2/N_{\text{dat}} \sim 1$
- functional uncertainty (a large number of functional forms with equally good  $\chi^2$ )

- ③ Level 2: generate  $N_{\text{rep}}$  Monte Carlo pseudodata replicas  $D_i^{2,k}$  on top of Level 1

$$D_i^{2,k} = (1 + r_i^{\text{nor},k} \sigma_i^{\text{nor}}) \left( D_i^1 + \sum_p^{N_{\text{sys}}} r_{i,p}^{\text{sys},k} \sigma_{i,p}^{\text{sys}} + r_i^{\text{stat},k} \sigma_i^{\text{stat}} \right)$$

- propagate the fluctuations due to experimental uncertainties into FFs:  $\chi^2/N_{\text{dat}} \sim 1$
- input FFs lie within the one-sigma band of the fitted FFs with a probability of  $\sim 68\%$
- data uncertainty

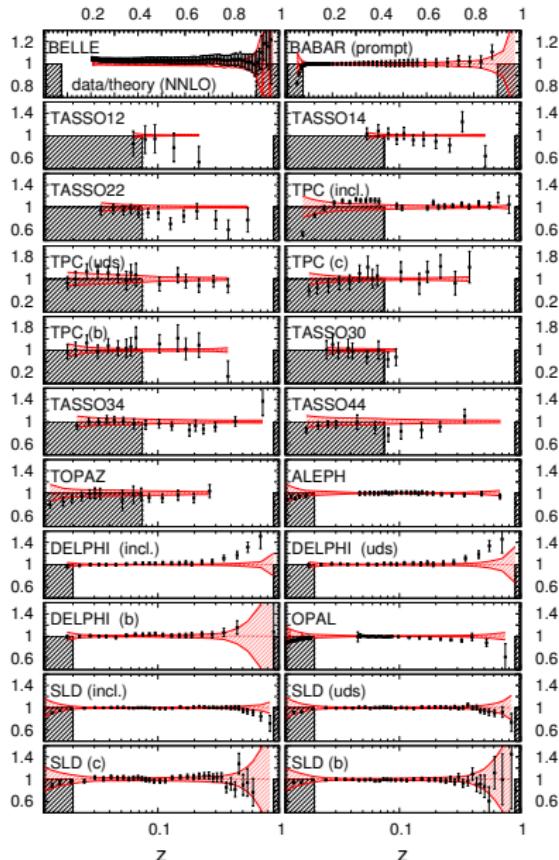
# Closure testing NNFF1.0



$$\chi^2/N_{\text{dat}} = 0.0001 \text{ (L0)}$$

$$\chi^2/N_{\text{dat}} = 1.0262 \text{ (L1)}$$

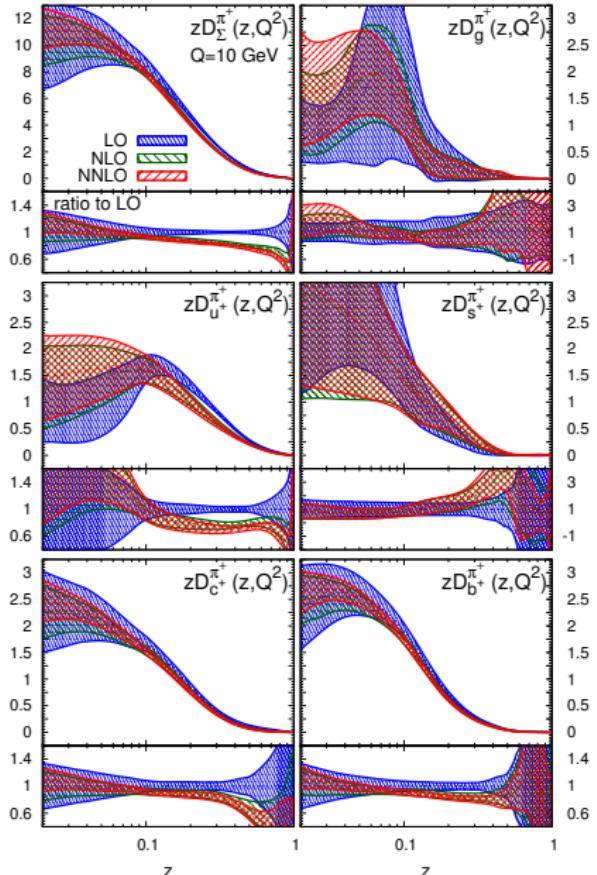
# Fit quality: $\pi^+$



Exp.	$N_{\text{dat}}$	NNLO theory	
		$\chi^2/N_{\text{dat}}$	remarks
BELLE	70	0.09	lack of correlations
BABAR	40	0.78	✓
TASSO12	4	0.87	small sample
TASSO14	9	1.70	
TASSO22	8	1.91	} data fluctuations
TPC	13	0.85	✓
TPC-UDS	6	0.49	✓
TPC-C	6	0.52	✓
TPC-B	6	1.43	✓
TASSO34	9	1.00	✓
TASSO44	6	2.34	data fluctuations
TOPAZ	5	0.80	✓
ALEPH	23	0.78	✓
DELPHI	21	1.86	tension with OPAL
DELPHI-UDS	21	1.54	tension with OPAL
DELPHI-B	21	0.95	✓
OPAL	24	1.84	tension with DELPHI
SLD	34	0.83	✓
SLD-UDS	34	0.52	✓
SLD-C	34	1.06	✓
SLD-B	34	0.36	✓
<b>TOTAL</b>	<b>428</b>	<b>0.87</b>	✓

Overall good description of the dataset  
 Signs of tension OPAL vs DELPHI (inclusive)  
 Anomalously small  $\chi^2/N_{\text{dat}}$  for BELLE

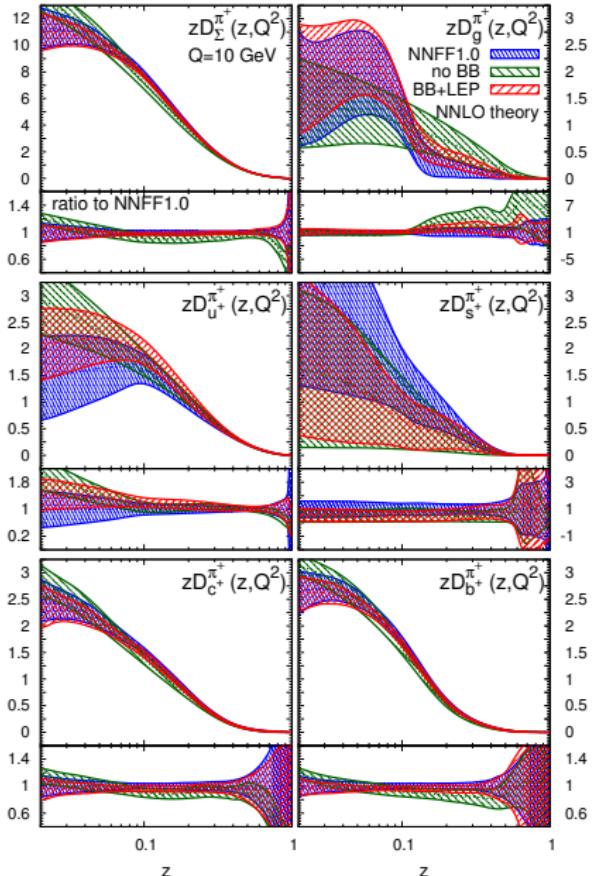
# Dependence upon perturbative order: $\pi^+$



Exp.	$N_{\text{dat}}$	LO $\chi^2/N_{\text{dat}}$	NLO $\chi^2/N_{\text{dat}}$	NNLO $\chi^2/N_{\text{dat}}$
BELLE	70	0.60	0.11	0.09
BABAR	40	1.91	1.77	0.78
TASSO12	4	0.70	0.85	0.87
TASSO14	9	1.55	1.67	1.70
TASSO22	8	1.64	1.91	1.91
TPC	13	0.46	0.65	0.85
TPC-UDS	6	0.78	0.55	0.49
TPC-C	6	0.55	0.53	0.52
TPC-B	6	1.44	1.43	1.43
TASSO34	9	1.16	0.98	1.00
TASSO44	6	2.01	2.24	2.34
TOPAZ	5	1.04	0.82	0.80
ALEPH	23	1.68	0.90	0.78
DELPHI	21	1.44	1.79	1.86
DELPHI-UDS	21	1.30	1.48	1.54
DELPHI-B	21	1.21	0.99	0.95
OPAL	24	2.29	1.88	1.84
SLD	34	2.33	1.14	0.83
SLD-UDS	34	0.95	0.65	0.52
SLD-C	34	3.33	1.33	1.06
SLD-B	34	0.45	0.38	0.36
<b>TOTAL</b>	428	1.44	1.02	0.87

Excellent perturbative convergence  
FFs almost stable from NLO to NNLO  
LO FF uncertainties larger than HO

# Dependence upon the dataset: $\pi^+$



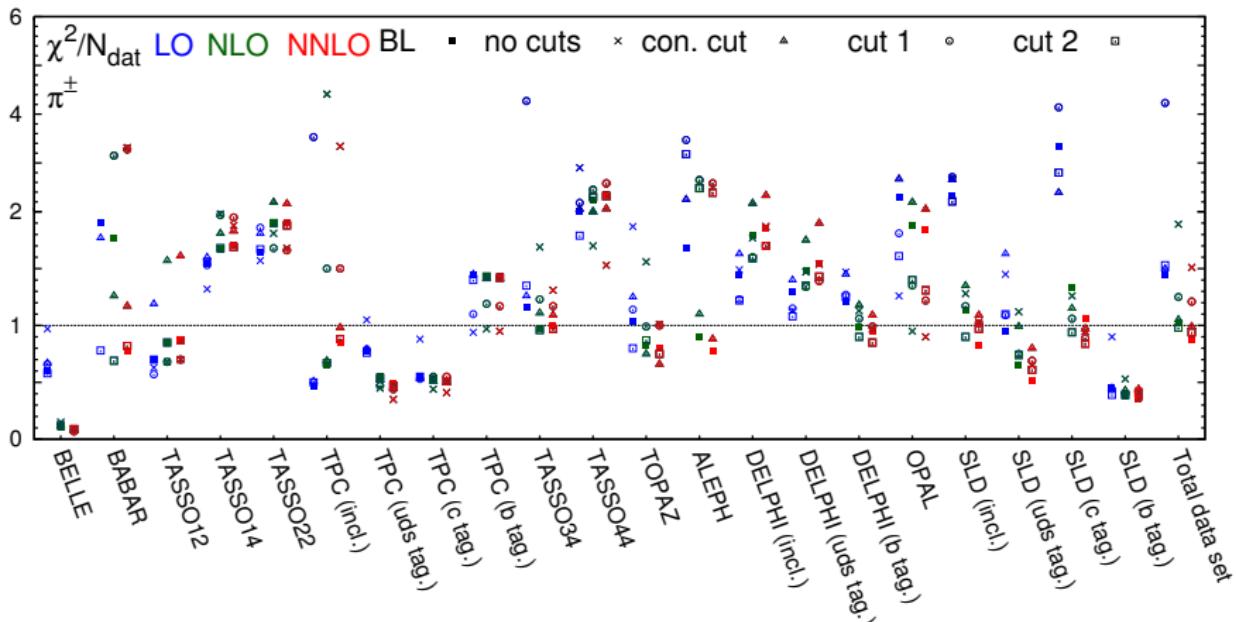
NNLO theory Exp.	$N_{\text{dat}}$	NNFF1.0 $\chi^2/N_{\text{dat}}$	no BB $\chi^2/N_{\text{dat}}$	BB+LEP $\chi^2/N_{\text{dat}}$
BELLE	70	0.09	[4.92]	0.09
BABAR	40	0.78	[144]	0.88
TASSO12	4	0.87	0.52	[0.87]
TASSO14	9	1.70	1.38	[1.71]
TASSO22	8	1.91	1.29	[2.15]
TPC	13	0.85	2.12	[2.15]
TPC-UDS	6	0.49	0.54	[0.77]
TPC-C	6	0.52	0.74	[0.58]
TPC-B	6	1.43	1.60	[1.48]
TASSO34	9	1.00	1.17	[1.38]
TASSO44	6	2.34	2.52	[1.97]
TOPAZ	5	0.80	0.92	[1.72]
ALEPH	23	0.78	0.57	0.74
DELPHI	21	1.86	1.97	1.82
DELPHI-UDS	21	1.54	1.56	1.42
DELPHI-B	21	0.95	1.01	0.95
OPAL	24	1.84	1.75	1.92
SLD	34	0.83	0.87	0.95
SLD-UDS	34	0.52	0.53	0.63
SLD-C	34	1.06	0.69	0.96
SLD-B	34	0.36	0.49	0.37
<b>TOTAL</b>		0.87	1.06	0.82

no BB: larger uncertainties; different gluon shape and different light flavour separation

BB+LEP: comparable uncertainties; slightly different size of gluon and light flavoured quarks

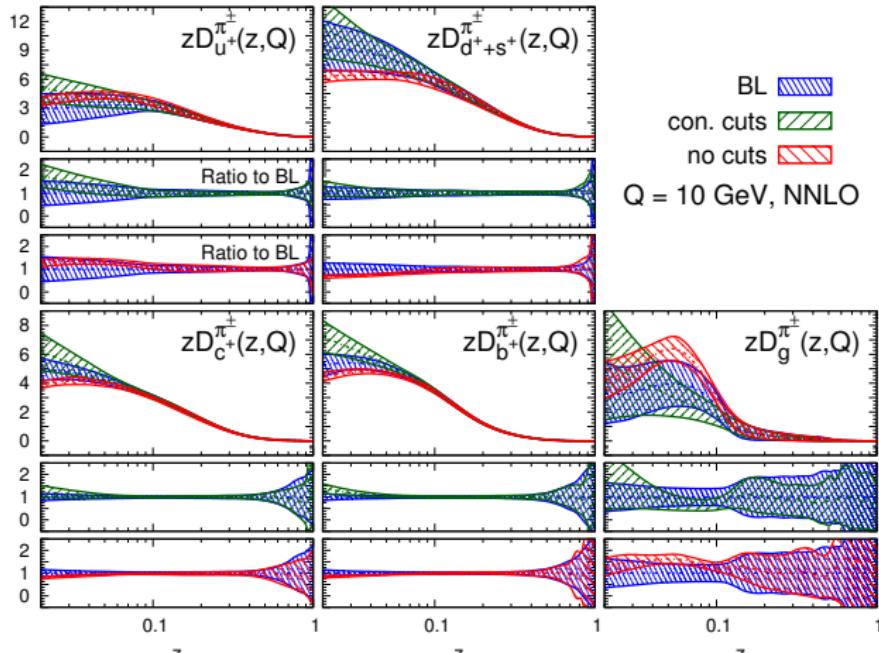
# Dependence upon kinematic cuts: $\pi^+$

BL $z_{\min}^{(m_Z)}$	$z_{\min}$	no cuts $z_{\min}^{(m_Z)}$	$z_{\min}$	con. cut $z_{\min}^{(m_Z)}$	$z_{\min}$	cut1 $z_{\min}^{(m_Z)}$	$z_{\min}$	cut2 $z_{\min}^{(m_Z)}$	$z_{\min}$
0.02	0.075	0.00	0.00	0.05	0.10	0.01	0.05	0.01	0.075



# Dependence upon kinematic cuts: $\pi^+$

BL	no cuts		con. cut		cut1		cut2		
$z_{\min}^{(m_Z)}$	$z_{\min}$								
0.02	0.075	0.00	0.00	0.05	0.10	0.01	0.05	0.01	0.075

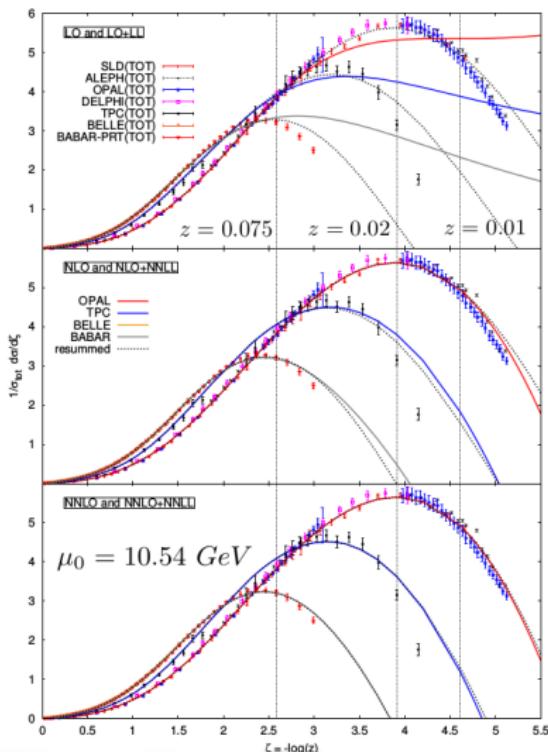


# Small- $z$ resummed Fragmentation Functions [PRD 95 (2017) 054003]

## — 436 Total data Points:

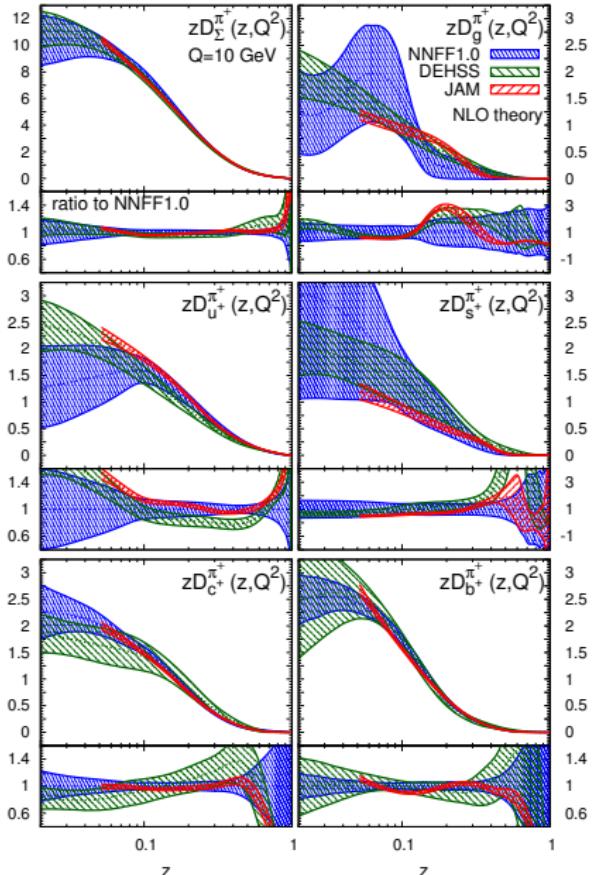
- LEP cut ( $z = 0.01$ ) due to inconsistency between OPAL and ALEPH
- TPC lower cut ( $z = 0.02$ ) based on difference of energy fraction  $z = 2E_h/Q$  and three momentum fraction  
 $x_p = z - 2m_h^2/(zQ^2) + \mathcal{O}(1/Q^4)$  in c.m.s being less than at least 15%

accuracy	$\chi^2$	$\chi^2/\text{dof}$
LO	1260.78	2.89
NLO	354.10	0.81
NNLO	330.08	0.76
LO+LL	405.54	0.93
NLO+NNLL	352.28	0.81
NNLO+NNLL	329.96	0.76



Slide: courtesy of D. P. Anderle

# Comparison with other FF determinations: $\pi^+$



DEHSS [PRD 91 (2015) 014035]  
(+SIDIS +PP)

JAM [PRD 94 (2016) 114004]  
(almost same dataset as NNFF1.0)

different cuts at small  $z$

$D_{\Sigma}^{\pi^+}$ : excellent mutual agreement  
both c.v. and unc. (bulk of the dataset)

$D_g^{\pi^+}$ : slight disagreement  
different shapes, larger uncertainties  
DEHSS: data; JAM: parametrisation

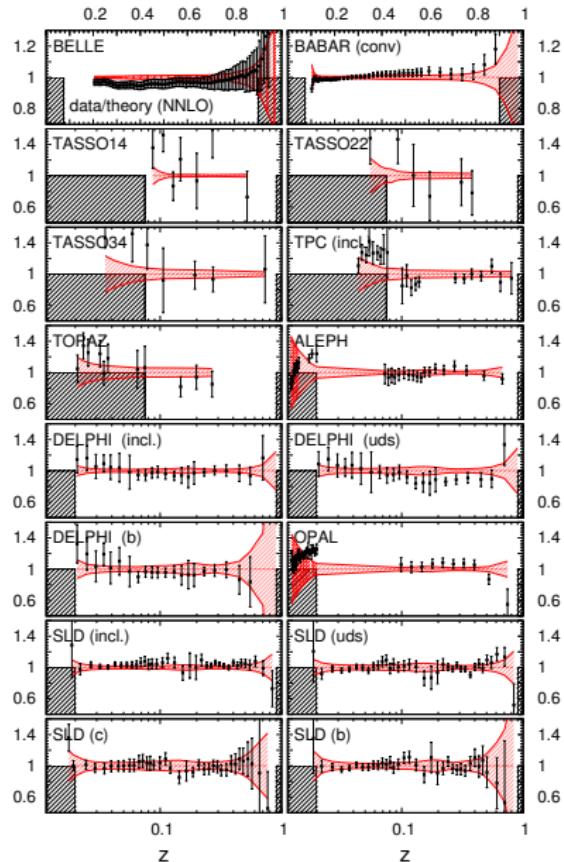
$D_{u^+}^{\pi^+}$ ,  $D_{s^+}^{\pi^+}$ : good overall agreement  
excellent with JAM, though larger uncertainties  
slightly different shape w.r.t. DHESS (dataset)

$D_{c^+}^{\pi^+}$ ,  $D_{b^+}^{\pi^+}$ : good overall agreement  
excellent with JAM, same uncertainties  
slightly different shape w.r.t. DHESS (dataset)

# Fit quality: $K^+$

Exp.	$N_{\text{dat}}$	$\chi^2/N_{\text{dat}}$	NNLO theory remarks
BELLE	70	0.32	lack of correlations
BABAR	43	0.95	✓
TASSO12	3	1.02	
TASSO14	9	2.07	} small sample
TASSO22	6	2.62	
TPC	13	1.01	✓
TASSO34	5	0.36	} small sample
TOPAZ	3	0.99	
ALEPH	18	0.56	✓
DELPHI	22	0.34	✓
DELPHI-UDS	22	1.32	✓
DELPHI-B	22	0.52	✓
OPAL	10	1.66	tension with other $M_Z$ data
SLD	35	0.57	✓
SLD-UDS	35	0.93	✓
SLD-C	34	0.38	✓
SLD-B	35	0.62	✓
<b>TOTAL</b>	<b>385</b>	<b>0.73</b>	✓

Overall good description of the dataset  
 Excellent BELLE/BABAR consistency  
 Signs of tension OPAL vs DELPHI (inclusive)  
 Anomalously small  $\chi^2/N_{\text{dat}}$  for BELLE  
 Dependence upon the data set and kin cuts  
 similar to pions

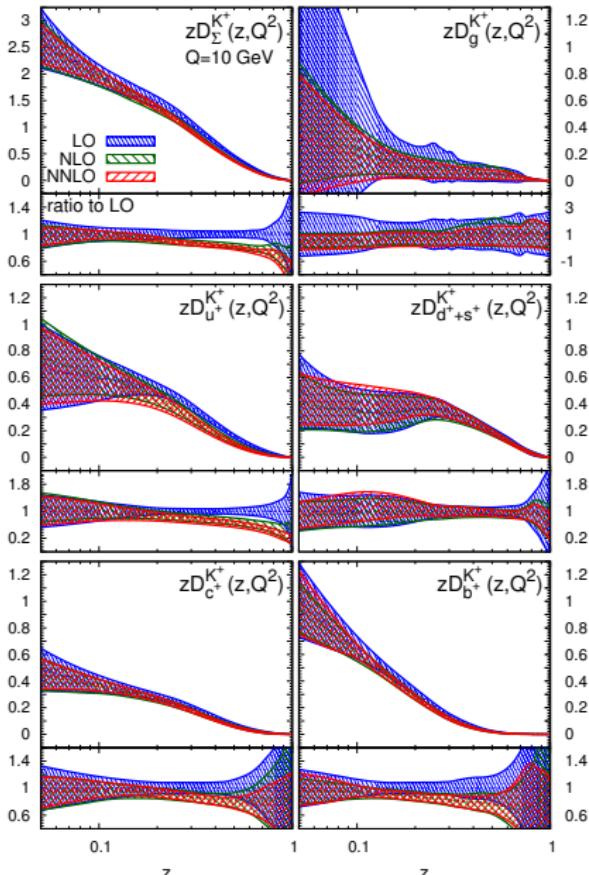


# Dependence upon perturbative order: $K^+$

Exp.	$N_{\text{dat}}$	LO $\chi^2/N_{\text{dat}}$	NLO $\chi^2/N_{\text{dat}}$	NNLO $\chi^2/N_{\text{dat}}$
BELLE	70	0.21	0.32	0.32
BABAR	43	2.86	1.11	0.95
TASSO12	3	1.10	1.03	1.02
TASSO14	9	2.17	2.13	2.07
TASSO22	6	2.14	2.77	2.62
TPC	13	0.94	1.09	1.01
TASSO34	5	0.27	0.44	0.36
TOPAZ	3	0.61	1.19	0.99
ALEPH	18	0.47	0.55	0.56
DELPHI	22	0.28	0.33	0.34
DELPHI-UDS	22	1.38	1.49	1.32
DELPHI-B	22	0.58	0.49	0.52
OPAL	10	1.67	1.57	1.66
SLD	35	0.86	0.62	0.57
SLD-UDS	35	1.31	1.02	0.93
SLD-C	34	0.92	0.47	0.38
SLD-B	35	0.59	0.67	0.62
<b>TOTAL</b>	<b>385</b>	<b>1.02</b>	<b>0.78</b>	<b>0.73</b>

Excellent perturbative convergence  
 FFs almost stable from NLO to NNLO  
 LO FF uncertainties larger than HO

i	$N^{i+1}\text{LO}/N^i\text{LO}$	$D_g$	$D_\Sigma$	$D_{c+}$	$D_{b+}$
0	NLO/LO [%]	95-300	70-80	65-80	70-85
1	NNLO/NLO [%]	70-130	90-100	90-110	95-115



# Comparison with other FF determinations: $K^+$

DEHSS [PRD 95 (2017) 094019]  
 (+SIDIS +PP)

JAM [PRD 94 (2016) 114004]  
 (almost same dataset as NNFF1.0)

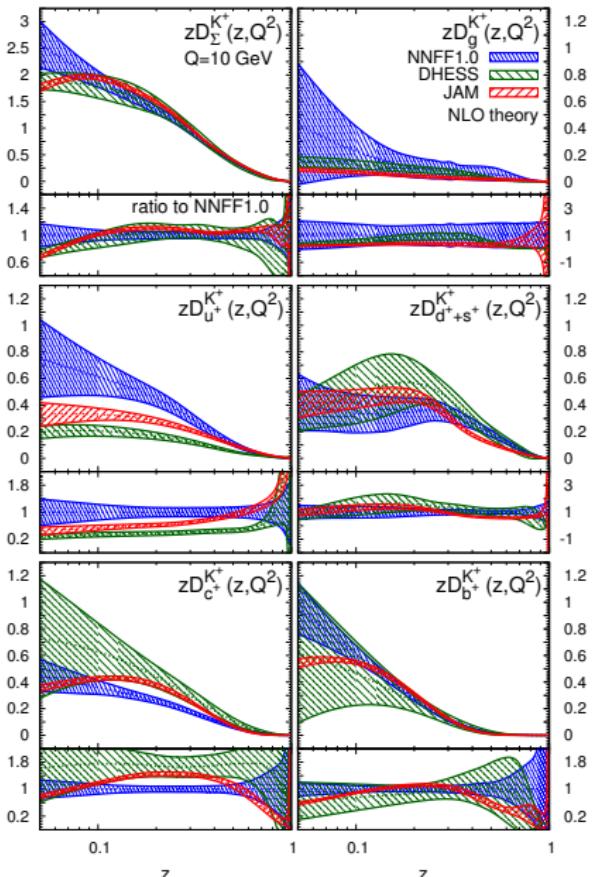
$D_{\Sigma}^{\pi^+}$ : excellent agreement (both c.v. and unc.)  
 bulk of the dataset

$D_g^{\pi^+}$ : good mutual agreement  
 similar shapes, larger uncertainties  
 DEHSS: data; JAM: parametrisation

$D_{u^+}^{\pi^+}$ : mutual sizable disagreement  
 differences in dataset and parametrisation  
 comparable uncertainties in the data region

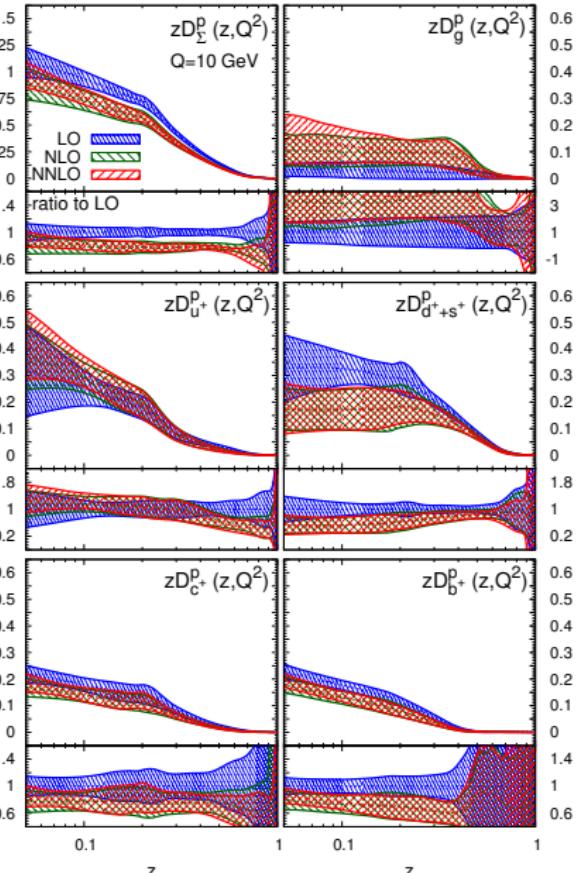
$D_{d^+}^{\pi^+} + D_{s^+}^{\pi^+}$ : fair mutual agreement  
 differences in dataset and parametrisation  
 comparable uncertainties in the data region

$D_{c^+}^{\pi^+}, D_{b^+}^{\pi^+}$ : excellent mutual agreement  
 uncertainties similar to JAM  
 DHESS shows inflated uncertainties



# Dependence upon perturbative order: $p/\bar{p}$

Exp.	$N_{\text{dat}}$	LO	NLO	NNLO
		$\chi^2/N_{\text{dat}}$	$\chi^2/N_{\text{dat}}$	$\chi^2/N_{\text{dat}}$
BABAR	43	0.10	0.31	0.50
BELLE	29	4.74	2.75	1.25
TASSO12	3	0.69	0.70	0.72
TASSO14	9	1.32	1.25	1.22
TASSO22	9	0.98	0.92	0.93
TPC	20	1.04	1.10	1.08
TASSO30	2	0.25	0.19	0.18
TASSO34	6	0.82	0.81	0.78
TOPAZ	4	0.79	1.21	0.19
ALEPH	26	1.36	1.43	1.28
DELPHI	22	0.48	0.49	0.49
DELPHI-UDS	22	0.47	0.46	0.45
DELPHI-B	22	0.89	0.89	0.91
SLD	36	0.66	0.65	0.64
SLD-UDS	36	0.77	0.76	0.78
SLD-C	36	1.22	1.22	1.21
SLD-B	35	1.12	1.29	1.33
<b>TOTAL</b>	<b>360</b>	<b>1.31</b>	<b>1.13</b>	<b>0.98</b>



Excellent perturbative convergence  
FFs almost stable from NLO to NNLO  
LO FF uncertainties larger than HO  
Dependence upon data set and kin cuts  
similar to pions and kaons

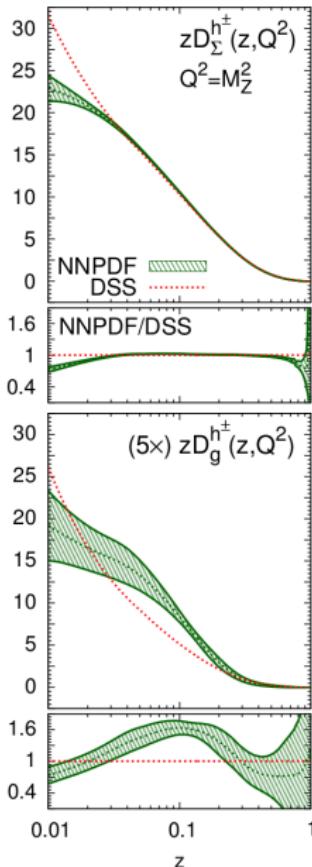
# Fragmentation functions of unidentified charged hadrons

Combine the information from identified  $\pi^\pm$ ,  $K^\pm$  and  $p/\bar{p}$  FFs  
with that from residual light charged hadrons [arXiv:1709.03400]

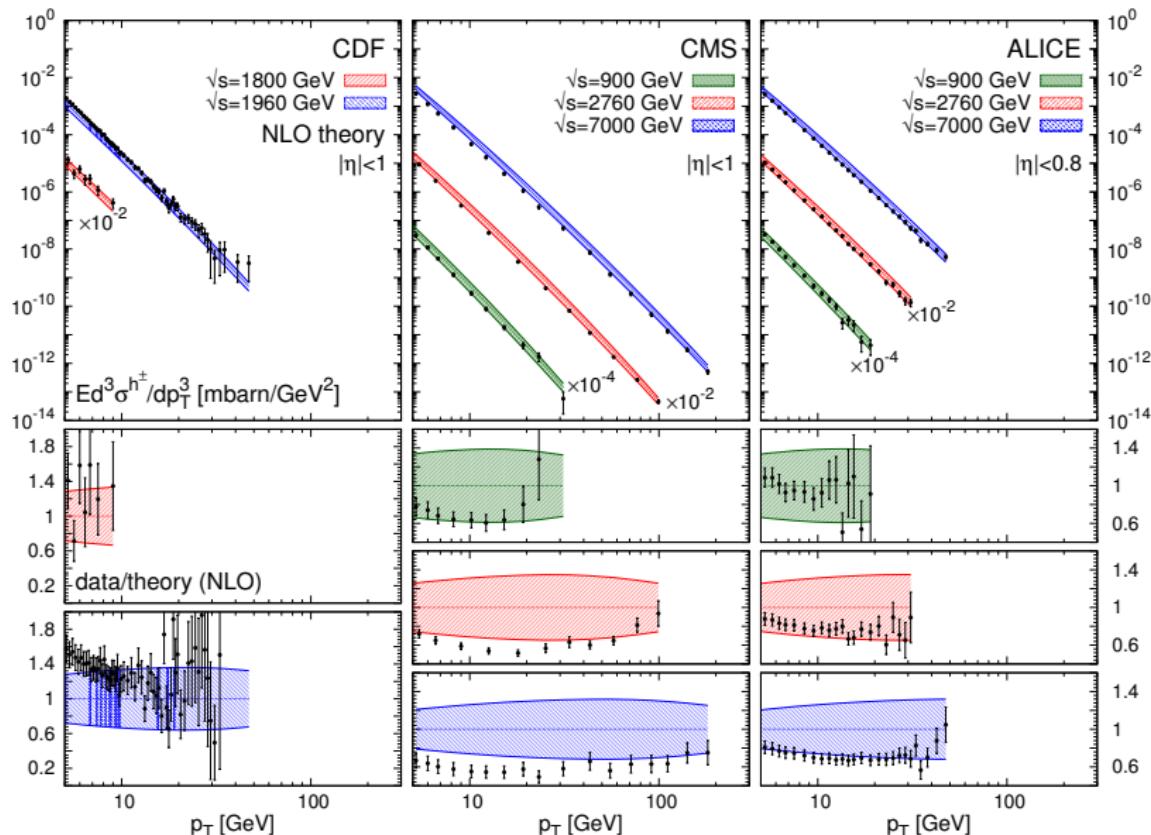
Preliminary analysis done consistently with NNFF1.0 (NLO)

Additional  $F_L$  data (non-vanishing  $\mathcal{O}(\alpha_s)$  contribution at LO)

Experiment	Observable	$\sqrt{s}$ [GeV]	$N_{\text{dat}}$	$\chi^2/N_{\text{dat}}$
TASSO14	$F_2$ (incl.)	14.00	15 (20)	1.23
TASSO22	$F_2$ (incl.)	22.00	15 (20)	0.51
TPC	$F_2$ (incl.)	29.00	21 (34)	1.65
TASSO35	$F_2$ (incl.)	35.00	15 (20)	1.14
TASSO44	$F_2$ (incl.)	44.00	15 (20)	0.68
ALEPH	$F_2$ (incl.)	91.20	32 (35)	1.04
	$F_L$ (incl.)	91.20	19 (21)	0.36
DELPHI	$F_2$	91.20	21 (27)	0.65
	$F_2$ ( $uds$ tagged)	91.20	21 (27)	0.17
	$F_2$ ( $b$ tagged)	91.20	21 (27)	0.82
	$F_L$ (incl.)	91.20	20 (22)	0.72
	$F_L$ ( $b$ tagged)	91.20	20 (22)	0.44
OPAL	$F_2$ (incl.)	91.20	20 (22)	2.41
	$F_2$ ( $uds$ tagged)	91.20	20 (22)	0.90
	$F_2$ ( $c$ tagged)	91.20	20 (22)	0.61
	$F_2$ ( $b$ tagged)	91.20	20 (22)	0.21
	$F_L$ (incl.)	91.20	20 (22)	0.31
SLD	$F_2$	91.28	34 (40)	0.75
	$F_2$ ( $uds$ tagged)	91.28	34 (40)	1.03
	$F_2$ ( $c$ tagged)	91.28	34 (40)	0.62
	$F_2$ ( $b$ tagged)	91.28	34 (40)	0.97
Total dataset		471 (527)	0.83	



# Fragmentation functions of unidentified charged hadrons



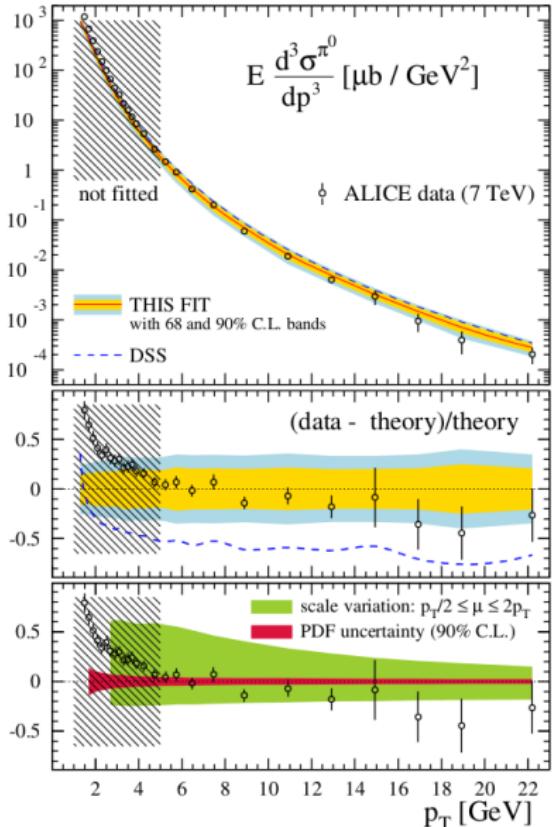
### 3. Summary and outlook

# More global fits

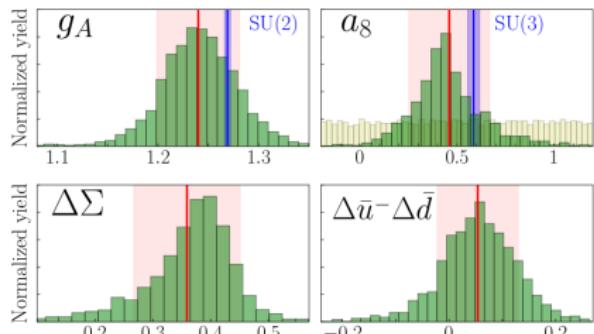
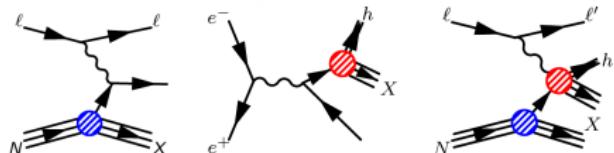
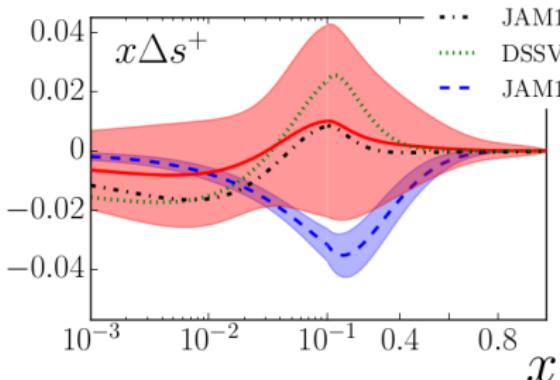
pions:  $\chi^2_{\text{tot}}/N_{\text{dat}} = 1.19$

experiment	data type	norm. $N_i$	# data in fit	$\chi^2$
TPC [48]	incl.	1.043	17	17.3
	<i>uds</i> tag	1.043	9	2.1
	<i>c</i> tag	1.043	9	5.9
	<i>b</i> tag	1.043	9	9.2
TASSO [49]	34 GeV	incl.	1.043	11
	44 GeV	incl.	1.043	7
SLD [19]	incl.	0.986	28	15.3
	<i>uds</i> tag	0.986	17	18.5
	<i>c</i> tag	0.986	17	16.1
	<i>b</i> tag	0.986	17	5.8
ALEPH [16]	incl.	1.020	22	22.9
	incl.	1.000	17	28.3
DELPHI [17]	<i>uds</i> tag	1.000	17	33.3
	<i>b</i> tag	1.000	17	10.6
OPAL [18, 20]	incl.	1.000	21	14.0
	<i>u</i> tag	0.786	5	31.6
	<i>d</i> tag	0.786	5	33.0
	<i>s</i> tag	0.786	5	51.3
	<i>c</i> tag	0.786	5	30.4
	<i>b</i> tag	0.786	5	14.6
BABAR [28]	incl.	1.031	45	46.4
	incl.	1.044	78	44.0
HERMES [30]	$\pi^+$ (p)	0.980	32	27.8
	$\pi^-$ (p)	0.980	32	47.8
	$\pi^+$ (d)	0.981	32	40.3
	$\pi^-$ (d)	0.981	32	59.1
	$\pi^+$ (d)	0.946	199	174.2
COMPASS [31] prel.	$\pi^-$ (d)	0.946	199	229.0
	$\pi^0$	1.112	15	15.8
PHENIX [21]	$\pi^0$	1.161	7	5.7
	$\pi^0$	0.954	7	2.7
	$ \eta  < 0.5$	$\pi^\pm$	1.071	8
	$ \eta  < 0.5$	$\pi^+, \pi^-/\pi^+$	1.006	16
STAR [33–36]	$\pi^0$	0.766	11	27.7
	$0 \leq \eta \leq 1$	$\pi^0$	1.161	7
ALICE [32]	$0.8 \leq \eta \leq 2.0$	$\pi^0$	0.954	7
	$ \eta  < 0.5$	$\pi^\pm$	1.071	8
	$ \eta  < 0.5$	$\pi^+, \pi^-/\pi^+$	1.006	16
	7 TeV	$\pi^0$	0.766	11
<b>TOTAL:</b>		973	1154.6	

[PRD 91 (2015) 014035; PRD 95 (2017) 094019]



# Simultaneous fits [PRL 119 (2017) 132001]



process	target	$N_{\text{dat}}$	$\chi^2$
DIS	$p, d, {}^3\text{He}$	854	854.8
SIA ( $\pi^\pm, K^\pm$ )		850	997.1
SIDIS ( $\pi^\pm$ )			
HERMES	$d$	18	28.1
HERMES	$p$	18	14.2
COMPASS	$d$	20	8.0
COMPASS	$p$	24	18.2
SIDIS ( $K^\pm$ )			
HERMES	$d$	27	18.3
COMPASS	$d$	20	18.7
COMPASS	$p$	24	12.3
Total:		1855	1969.7

$$g_A = 1.24 \pm 0.04 \quad a_8 = 0.46 \pm 0.21$$

confirmation of SU(2) symmetry to  $\sim 2\%$

$\sim 20\%$  SU(3) breaking  $\pm 20\%$

$$\Delta s^+ = -0.03 \pm 0.09$$

$$\Delta \Sigma = 0.36 \pm 0.09 \quad \Delta u - \Delta d = 0.05 \pm 0.08$$

# Conclusions

- ① A number of hard-scattering processes require an appropriate knowledge of FFs
  - ▶ probing nucleon momentum, spin and flavour
  - ▶ underlying spatial distributions and the dynamics of nuclear matter
- ② FFs are poorly known in comparison to PDFs
  - ▶ limited set of available data, observables often require PDFs and FFs simultaneously
  - ▶ troubles in describing some observables in  $pp$  and SIDIS from current FF sets
- ③ New analysis based on the NNPDF methodology, NNFF1.0
  - ▶ at LO, NLO and NNLO, based on SIA data for  $\pi^\pm$ ,  $K^\pm$  and  $p/\bar{p}$
  - ▶ completely closure-tested, addresses methodological issues in previous analyses
  - ▶ detailed study of the stability of the results upon variations of the data set/kin cuts
  - ▶ FF uncertainties (gluon) seem to be underestimated in previous determinations
  - ▶ differences in shapes, to be further investigated
  - ▶ good description of the hadron spectra at the LHC within uncertainties
  - ▶ applicability limited by insensitivity to favoured/unfavoured FFs
- ④ Future improvements
  - ▶ More global fits
  - ▶ Simultaneous fits of FFs and PDFs

# Dependence on $\alpha_s$

