

# QCD and Collider Phenomenology

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## Lecture 2: Jet Fragmentation and Hadron-Hadron Processes

- Jet Fragmentation
  - ❖ Fragmentation functions
  - ❖ Coherent parton branching
  - ❖ Small- $x$  fragmentation and average multiplicity
- Hadronization Models
  - ❖ General ideas
  - ❖ Cluster model
  - ❖ String model
- Hadron-Hadron Processes
  - ❖ Parton-parton luminosities
  - ❖ Lepton pair, jet and heavy quark production
  - ❖ Higgs boson production
- Survey of NLO Calculations for LHC

# Jet Fragmentation

- **Fragmentation functions**  $F_i^h(x, t)$  gives distribution of momentum fraction  $x$  for hadrons of type  $h$  in a jet initiated by a parton of type  $i$ , produced in a hard process at scale  $t$ .
- Like parton distributions in a hadron,  $D_i^h(x, t)$ , these are **factorizable** quantities, in which infrared divergences of PT can be factorized out and replaced by experimentally measured factor that contains all long-distance effects.
- In  $e^+e^-$  annihilation, for example, the hard process is  $e^+e^- \rightarrow q\bar{q}$  at scale equal to c.m. energy squared  $s$ ; distribution of  $x = 2p_h/\sqrt{s}$  is (for  $s \ll M_Z^2$ )

$$\frac{d\sigma}{dx} = 3\sigma_0 \sum_q Q_q^2 \left\{ F_q^h(x, s) + F_{\bar{q}}^h(x, s) \right\}$$

where  $\sigma_0$  is  $e^+e^- \rightarrow \mu^+\mu^-$  cross section.

- Fragmentation functions satisfy DGLAP evolution equation

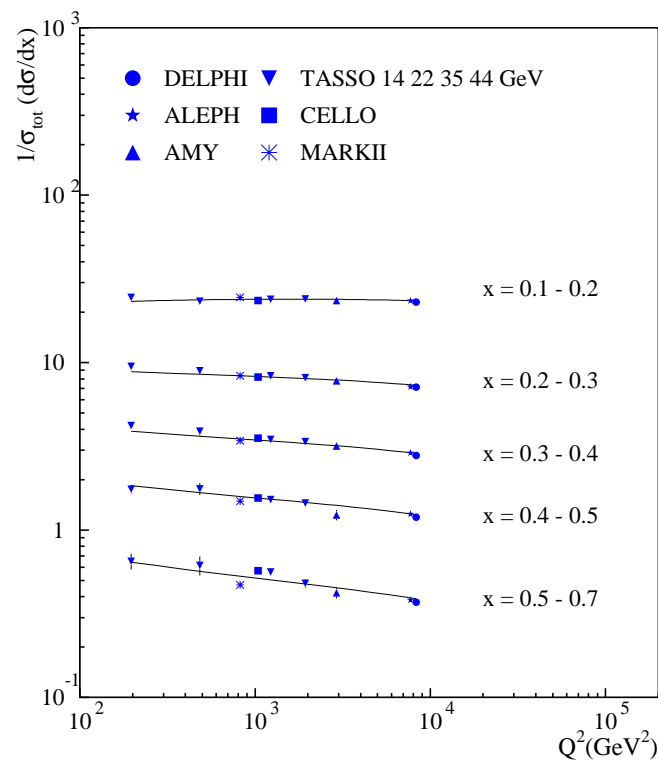
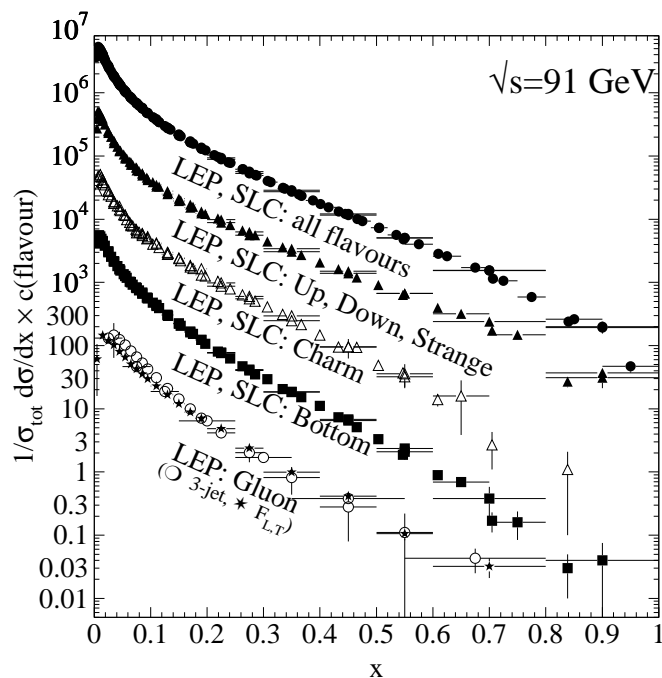
$$t \frac{\partial}{\partial t} F_i^h(x, t) = \sum_j \int_x^1 \frac{dz}{z} \frac{\alpha_S}{2\pi} P_{ji}(z, \alpha_S) F_j^h(x/z, t) .$$

Splitting functions  $P_{ji}$  have perturbative expansions of the form

$$P_{ji}(z, \alpha_S) = P_{ji}^{(0)}(z) + \frac{\alpha_S}{2\pi} P_{ji}^{(1)}(z) + \dots$$

Leading terms  $P_{ji}^{(0)}(z)$  were given earlier. Notice that splitting function is  $P_{ji}$  rather than  $P_{ij}$  since  $F_j^h$  represents fragmentation of final parton  $j$ .

- Solve DGLAP equation by taking moments as explained for DIS. As in that case, **scaling violation** is clearly seen.



## Soft Gluon Coherence

- Parton branching formalism discussed so far takes account of **collinear** enhancements to all orders in PT. There are also **soft** enhancements: When external line with momentum  $p$  and mass  $m$  (not necessarily small) emits gluon with momentum  $q$ , propagator factor is

$$\frac{1}{(p \pm q)^2 - m^2} = \frac{\pm 1}{2p \cdot q} = \frac{\pm 1}{2\omega E(1 - v \cos \theta)}$$

where  $\omega$  is emitted gluon energy,  $E$  and  $v$  are energy and velocity of parton emitting it, and  $\theta$  is angle of emission. This diverges as  $\omega \rightarrow 0$ , for any velocity and emission angle.

- Including numerator, soft gluon emission gives a colour factor times universal, spin-independent factor in amplitude

$$F_{\text{soft}} = \frac{p \cdot \epsilon}{p \cdot q}$$

where  $\epsilon$  is polarization of emitted gluon. For example, emission from quark gives numerator factor  $N \cdot \epsilon$ , where

$$\begin{aligned} N^\mu &= (\not{p} + \not{q} + m)\gamma^\mu u(p) \xrightarrow{\omega \rightarrow 0} (\gamma^\nu \gamma^\mu p_\nu + \gamma^\mu m)u(p) \\ &= (2p^\mu - \gamma^\mu \not{p} + \gamma^\mu m)u(p) = 2p^\mu u(p) . \end{aligned}$$

(using Dirac equation for on-mass-shell spinor  $u(p)$ ).

- Universal factor  $F_{\text{soft}}$  coincides with classical **eikonal formula** for radiation from current  $p^\mu$ , valid in long-wavelength limit.

- No soft enhancement of radiation from off-mass-shell internal lines, since associated denominator factor  $(p + q)^2 - m^2 \rightarrow p^2 - m^2 \neq 0$  as  $\omega \rightarrow 0$ .
- Enhancement factor in amplitude for each external line implies cross section enhancement is sum over all pairs of external lines  $\{i, j\}$ :

$$d\sigma_{n+1} = d\sigma_n \frac{d\omega}{\omega} \frac{d\Omega}{2\pi} \frac{\alpha_S}{2\pi} \sum_{i,j} C_{ij} W_{ij}$$

where  $d\Omega$  is element of solid angle for emitted gluon,  $C_{ij}$  is a colour factor, and **radiation function**  $W_{ij}$  is given by

$$W_{ij} = \frac{\omega^2 p_i \cdot p_j}{p_i \cdot q p_j \cdot q} = \frac{1 - v_i v_j \cos \theta_{ij}}{(1 - v_i \cos \theta_{iq})(1 - v_j \cos \theta_{jq})} .$$

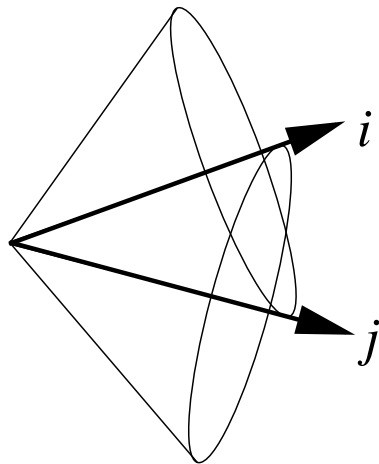
Colour-weighted sum of radiation functions  $C_{ij} W_{ij}$  is **antenna pattern** of hard process.

- Radiation function can be separated into two parts containing collinear singularities along lines  $i$  and  $j$ . Consider for simplicity massless particles,  $v_{i,j} = 1$ . Then  $W_{ij} = W_{ij}^i + W_{ij}^j$  where

$$W_{ij}^i = \frac{1}{2} \left( W_{ij} + \frac{1}{1 - \cos \theta_{iq}} - \frac{1}{1 - \cos \theta_{jq}} \right) .$$

- This function has remarkable property of **angular ordering**. Write angular integration in polar coordinates w.r.t. direction of  $i$ ,  $d\Omega = d \cos \theta_{iq} d\phi_{iq}$ . Performing azimuthal integration, we find

$$\int_0^{2\pi} \frac{d\phi_{iq}}{2\pi} W_{ij}^i = \frac{1}{1 - \cos \theta_{iq}} \quad \text{if } \theta_{iq} < \theta_{ij}, \text{ otherwise } 0.$$



Thus, after azimuthal averaging, contribution from  $W_{ij}^i$  is confined to cone, centred on direction of  $i$ , extending in angle to direction of  $j$ . Similarly,  $W_{ij}^j$ , averaged over  $\phi_{jq}$ , is confined to cone centred on line  $j$  extending to direction of  $i$ .

## Angular Ordering

- To prove angular ordering property, write

$$1 - \cos \theta_{jq} = a - b \cos \phi_{iq}$$

where

$$a = 1 - \cos \theta_{ij} \cos \theta_{iq} , \quad b = \sin \theta_{ij} \sin \theta_{iq} .$$

Defining  $z = \exp(i\phi_{iq})$ , we have

$$I_{ij}^i \equiv \int_0^{2\pi} \frac{d\phi_{iq}}{2\pi} \frac{1}{1 - \cos \theta_{jq}} = \frac{1}{i\pi b} \oint \frac{dz}{(z - z_+)(z - z_-)}$$

where  $z$ -integration contour the unit circle and

$$z_{\pm} = \frac{a}{b} \pm \sqrt{\frac{a^2}{b^2} - 1} .$$

Now only pole at  $z = z_-$  can lie inside unit circle, so

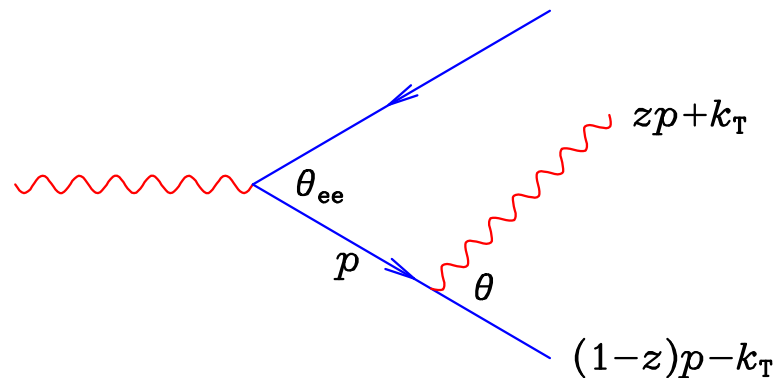
$$I_{ij}^i = \sqrt{\frac{1}{a^2 - b^2}} = \frac{1}{|\cos \theta_{iq} - \cos \theta_{ij}|} .$$

Hence

$$\int_0^{2\pi} \frac{d\phi_{iq}}{2\pi} W_{ij}^i = \frac{1}{2(1 - \cos \theta_{iq})} [1 + (\cos \theta_{iq} - \cos \theta_{ij}) I_{ij}^i]$$

$$= \frac{1}{1 - \cos \theta_{iq}} \quad \text{if } \theta_{iq} < \theta_{ij}, \text{ otherwise } 0.$$

- Angular ordering is **coherence effect** common to all gauge theories. In QED it causes **Chudakov effect** – suppression of soft bremsstrahlung from  $e^+e^-$  pairs, which has simple explanation in old-fashioned (time-ordered) perturbation theory.



- ❖ Consider emission of soft photon at angle  $\theta$  from electron in pair with opening angle  $\theta_{ee} < \theta$ . For simplicity assume  $\theta_{ee}, \theta \ll 1$ .
- ❖ Transverse momentum of photon is  $k_T \sim zp\theta$  and energy imbalance at  $e \rightarrow e\gamma$  vertex is

$$\Delta E \sim k_T^2 / zp \sim zp\theta^2 .$$



- ❖ Time available for emission is  $\Delta t \sim 1/\Delta E$ . In this time transverse separation of pair will be  $\Delta b \sim \theta_{ee}\Delta t$ .
- ❖ For non-negligible probability of emission, photon must resolve this transverse separation of pair, so

$$\Delta b > \lambda/\theta \sim (zp\theta)^{-1}$$

where  $\lambda$  is photon wavelength.

- ❖ This implies that

$$\theta_{ee}(zp\theta^2)^{-1} > (zp\theta)^{-1} ,$$

and hence  $\theta_{ee} > \theta$ . Thus soft photon emission is suppressed at angles larger than opening angle of pair, which is angular ordering.

- ❖ Photons at larger angles cannot resolve electron and positron charges separately – they see only total charge of pair, which is zero, implying no emission.
- More generally, if  $i$  and  $j$  come from branching of parton  $k$ , with (colour) charge  $Q_k = Q_i + Q_j$ , then radiation outside angular-ordered cones is emitted coherently by  $i$  and  $j$  and can be treated as coming directly from (colour) charge of  $k$ .

## Coherent Branching

- Angular ordering provides basis for **coherent** parton branching formalism, which includes leading soft gluon enhancements to all orders.
- In place of virtual mass-squared variable  $t$  in earlier treatment, use angular variable

$$\zeta = \frac{p_b \cdot p_c}{E_b E_c} \simeq 1 - \cos \theta$$

as evolution variable for branching  $a \rightarrow bc$ , and impose angular ordering  $\zeta' < \zeta$  for successive branchings. Iterative formula for  $n$ -parton emission becomes

$$d\sigma_{n+1} = d\sigma_n \frac{d\zeta}{\zeta} dz \frac{\alpha_S}{2\pi} \hat{P}_{ba}(z) .$$

- In place of virtual mass-squared cutoff  $t_0$ , must use angular cutoff  $\zeta_0$  for coherent branching. This is to some extent arbitrary, depending on how we classify emission as unresolvable. Simplest choice is  $\zeta_0 = t_0/E^2$  for parton of energy  $E$ .
- For radiation from particle  $i$  with finite mass-squared  $t_0$ , radiation function becomes

$$\omega^2 \left( \frac{p_i \cdot p_j}{p_i \cdot q p_j \cdot q} - \frac{p_i^2}{(p_i \cdot q)^2} \right) \simeq \frac{1}{\zeta} \left( 1 - \frac{t_0}{E^2 \zeta} \right) ,$$

so angular distribution of radiation is cut off at  $\zeta = t_0/E^2$ . Thus  $t_0$  can still be interpreted as minimum virtual mass-squared.

- With this cutoff, most convenient definition of evolution variable is not  $\zeta$  itself but rather

$$\tilde{t} = E^2 \zeta \geq t_0 .$$

Angular ordering condition  $\zeta_b, \zeta_c < \zeta_a$  for **timelike** branching  $a \rightarrow bc$  ( $a$  outgoing) becomes

$$\tilde{t}_b < z^2 \tilde{t} , \quad \tilde{t}_c < (1 - z)^2 \tilde{t}$$

where  $\tilde{t} = \tilde{t}_a$  and  $z = E_b/E_a$ . Thus cutoff on  $z$  becomes

$$\sqrt{t_0/\tilde{t}} < z < 1 - \sqrt{t_0/\tilde{t}} .$$

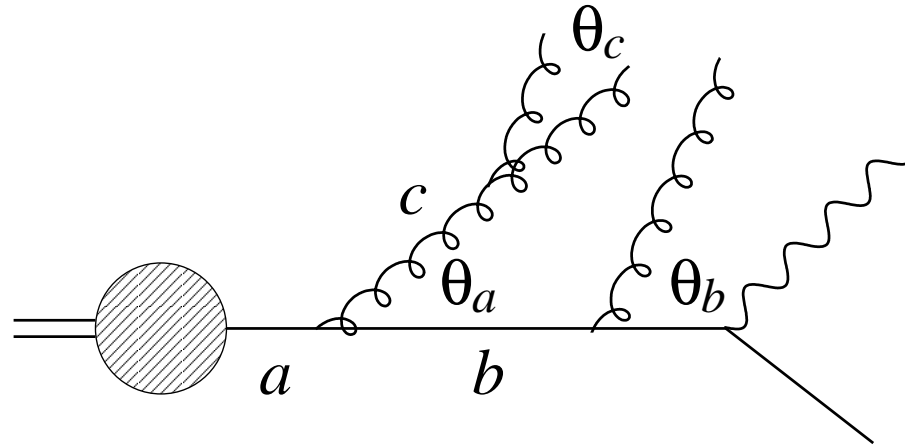
- Neglecting masses of  $b$  and  $c$ , virtual mass-squared of  $a$  and transverse momentum of branching are

$$t = z(1 - z)\tilde{t} , \quad p_t^2 = z^2(1 - z)^2\tilde{t} .$$

Thus for coherent branching Sudakov form factor of quark becomes

$$\tilde{\Delta}_q(\tilde{t}) = \exp \left[ - \int_{4t_0}^{\tilde{t}} \frac{dt'}{t'} \int_{\sqrt{t_0/t'}}^{1 - \sqrt{t_0/t'}} \frac{dz}{2\pi} \alpha_S(z^2(1 - z)^2 t') \hat{P}_{qq}(z) \right]$$

At large  $\tilde{t}$  this falls more slowly than form factor without coherence, due to the suppression of soft gluon emission by angular ordering.



- Note that for **spacelike** branching  $a \rightarrow bc$  ( $a$  incoming,  $b$  spacelike), angular ordering condition is

$$\theta_b > \theta_a > \theta_c .$$

However, kinematics implies  $E_b \theta_b > E_a \theta_a$  at small  $x$  and in this case  $E_b < E_a$ , so angular ordering does not impose an extra constraint on branching. Therefore gluon emission is not suppressed by coherence in spacelike branching at small  $x$ .

- ❖ This permits the rapid rise of structure functions at small  $x$ .
- ❖ We shall see that the production of low-momentum hadrons in *jet fragmentation* at small  $x$ , controlled by **timelike** branching, is quite different – strongly suppressed by QCD coherence.

## Small-x fragmentation

- Evolution of fragmentation functions at small  $x$  sensitive to moments near  $N = 1$ . However, anomalous dimensions  $\gamma_{gq}^{(0)}$ ,  $\gamma_{gg}^{(0)}$  are not defined at  $N = 1$ : moment integrals for  $N \leq 1$  are dominated by small  $z$ , where  $P_{gi}(z)$  diverges due to soft gluon emission.
- At small  $z$  must take into account **coherence effects**. Recall evolution variable becomes  $\tilde{t} = E^2[1 - \cos \theta]$ , with angular ordering condition  $\tilde{t}' < z^2 \tilde{t}$ . Thus, redefining  $t$  as  $\tilde{t}$ , evolution equation in integrated form is

$$F_i(x, t) = F_i(x, t_0) + \sum_j \int_x^1 \frac{dz}{z} \int_{t_0}^{z^2 t} \frac{dt'}{t'} \frac{\alpha_S}{2\pi} P_{ji}(z) F_j(x/z, t')$$

or in differential form

$$t \frac{\partial}{\partial t} F_i(x, t) = \sum_j \int_x^1 \frac{dz}{z} \frac{\alpha_S}{2\pi} P_{ji}(z) F_j(x/z, z^2 t) .$$

- Only difference from DGLAP equation is  $z$ -dependent scale on the right-hand side — not important for most values of  $x$  but crucial at small  $x$ .
- For simplicity, consider first  $\alpha_S$  fixed and neglect sum over  $j$ . Taking moments as usual,

$$t \frac{\partial}{\partial t} \tilde{F}(N, t) = \frac{\alpha_S}{2\pi} \int_x^1 dz z^{N-1} P(z) \tilde{F}(N, z^2 t) .$$

- ❖ Try solution of form  $F(N, t) \propto t^{\gamma(N, \alpha_S)}$ . Then anomalous dimension  $\gamma(N, \alpha_S)$  must satisfy

$$\gamma(N, \alpha_S) = \frac{\alpha_S}{2\pi} \int_0^1 z^{N-1+2\gamma(N, \alpha_S)} P(z) .$$

- ❖ For  $N - 1$  not small, we can neglect  $2\gamma(N, \alpha_S)$  in exponent and obtain usual formula for anomalous dimension. For  $N \simeq 1$ ,  $z \rightarrow 0$  region dominates, where  $P_{gg}(z) \simeq 2C_A/z$ . Hence

$$\begin{aligned} \gamma_{gg}(N, \alpha_S) &= \frac{C_A \alpha_S}{\pi} \frac{1}{N - 1 + 2\gamma_{gg}(N, \alpha_S)} \\ &= \frac{1}{4} \left[ \sqrt{(N - 1)^2 + \frac{8C_A \alpha_S}{\pi}} - (N - 1) \right] \\ &= \sqrt{\frac{C_A \alpha_S}{2\pi}} - \frac{1}{4}(N - 1) + \frac{1}{32} \sqrt{\frac{2\pi}{C_A \alpha_S}} (N - 1)^2 + \dots \end{aligned}$$

- To take account of running  $\alpha_S$ , write

$$\tilde{F}(N, t) \sim \exp \left[ \int^t \gamma_{gg}(N, \alpha_S) \frac{dt'}{t'} \right] ,$$

and note that  $\gamma_{gg}(N, \alpha_S)$  should be  $\gamma_{gg}(N, \alpha_S(t'))$ . Use

$$\int^t \gamma_{gg}(N, \alpha_S(t')) \frac{dt'}{t'} = \int^{\alpha_S(t)} \frac{\gamma_{gg}(N, \alpha_S)}{\beta(\alpha_S)} d\alpha_S ,$$

where  $\beta(\alpha_S) = -b\alpha_S^2 + \dots$ , to find

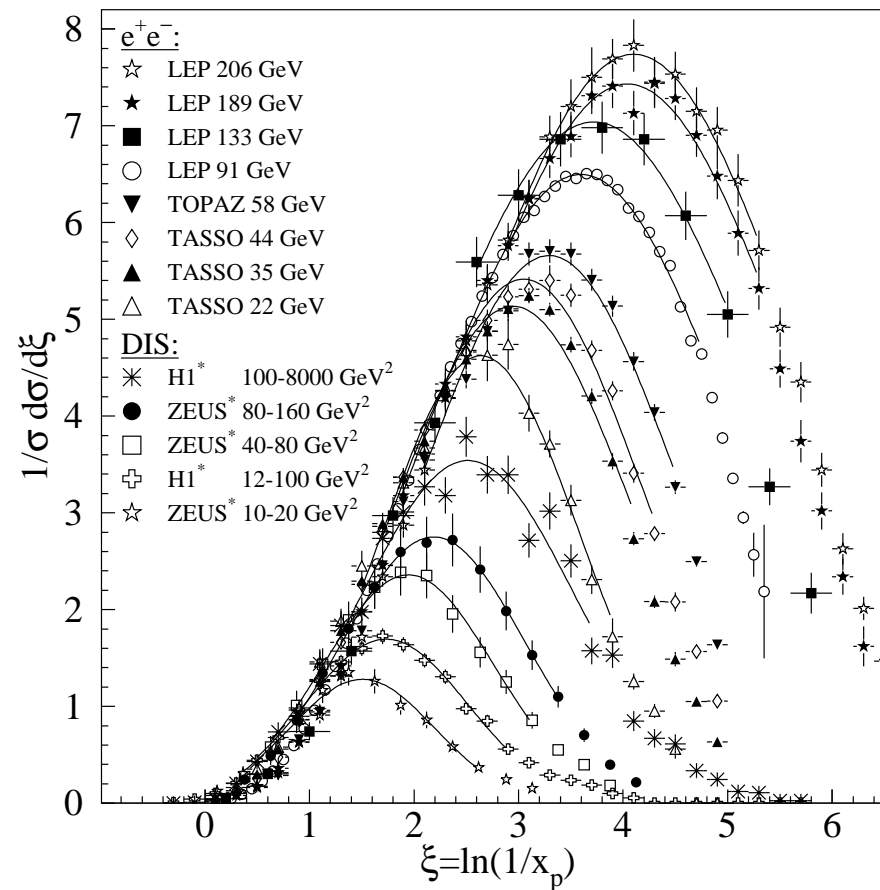
$$\begin{aligned} \tilde{F}(N, t) \sim & \exp \left[ \frac{1}{b} \sqrt{\frac{2C_A}{\pi\alpha_S}} - \frac{1}{4b\alpha_S} (N-1) \right. \\ & \left. + \frac{1}{48b} \sqrt{\frac{2\pi}{C_A\alpha_S^3}} (N-1)^2 + \dots \right]_{\alpha_S=\alpha_S(t)} . \end{aligned}$$

- In  $e^+e^-$  annihilation, scale  $t \sim s$  and behaviour of  $\tilde{F}(N, s)$  near  $N = 1$  determines form of small- $x$  fragmentation functions. Keeping terms up to  $(N-1)^2$  in exponent gives Gaussian function of  $N$  which transforms into Gaussian function of  $\xi \equiv \ln(1/x)$ :

$$xF(x, s) \propto \exp \left[ -\frac{1}{2\sigma^2} (\xi - \xi_p)^2 \right] ,$$

● Width of distribution

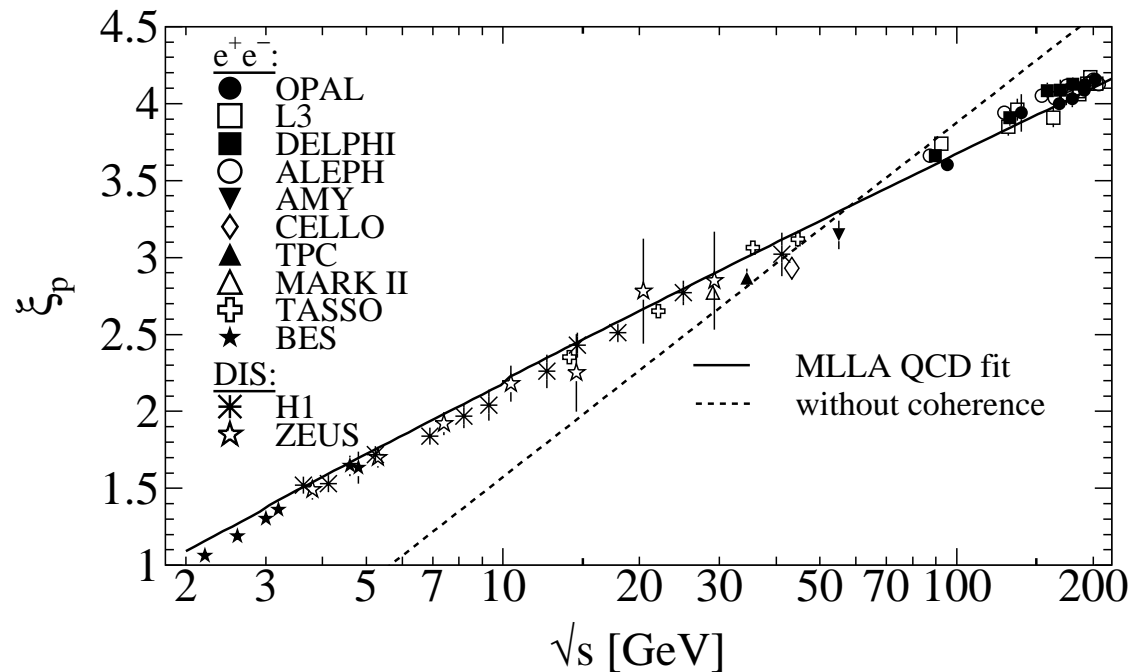
$$\sigma = \left( \frac{1}{24b} \sqrt{\frac{2\pi}{C_A \alpha_S^3(s)}} \right)^{\frac{1}{2}} \propto (\ln s)^{\frac{3}{4}} .$$



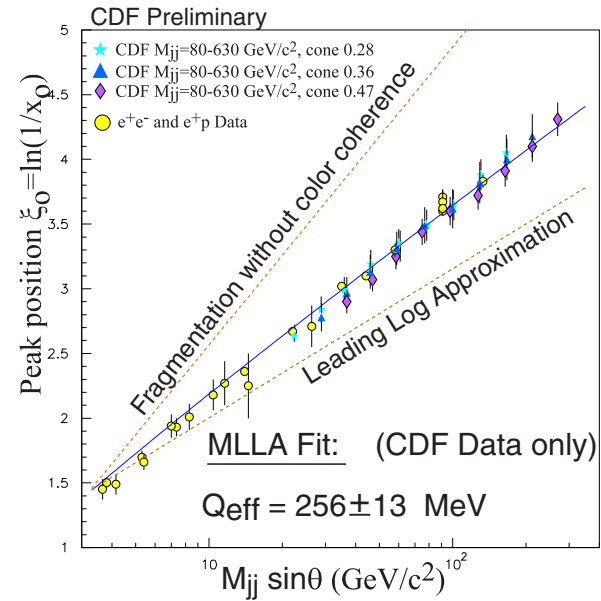
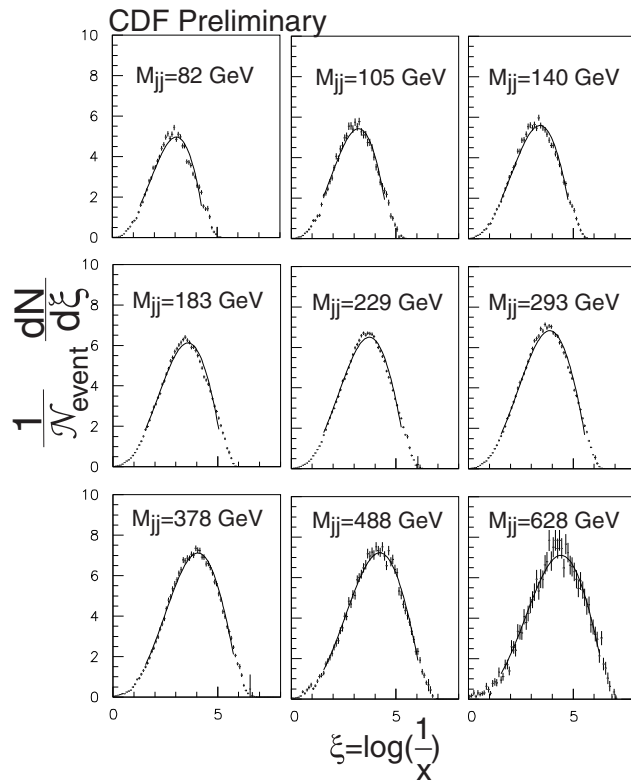
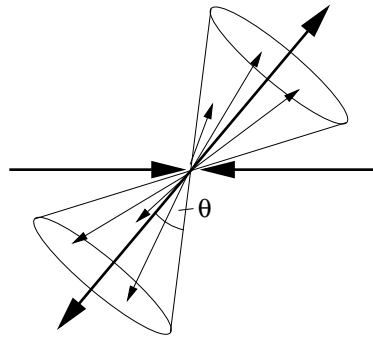


- Peak position

$$\xi_p = \frac{1}{4b\alpha_s(s)} \sim \frac{1}{4} \ln s$$



- Energy-dependence of the peak position  $\xi_p$  tests suppression of hadron production at small  $x$  due to soft gluon coherence. Decrease at very small  $x$  is expected on kinematical grounds, but this would occur at particle energies proportional to their masses, i.e. at  $x \propto m/\sqrt{s}$ , giving  $\xi_p \sim \frac{1}{2} \ln s$ . Thus purely kinematic suppression would give  $\xi_p$  increasing **twice as fast**.
- In  $p\bar{p} \rightarrow$  dijets,  $\sqrt{s}$  is replaced by  $M_{JJ} \sin \theta$  where  $M_{JJ}$  is dijet mass and  $\theta$  is jet cone angle.

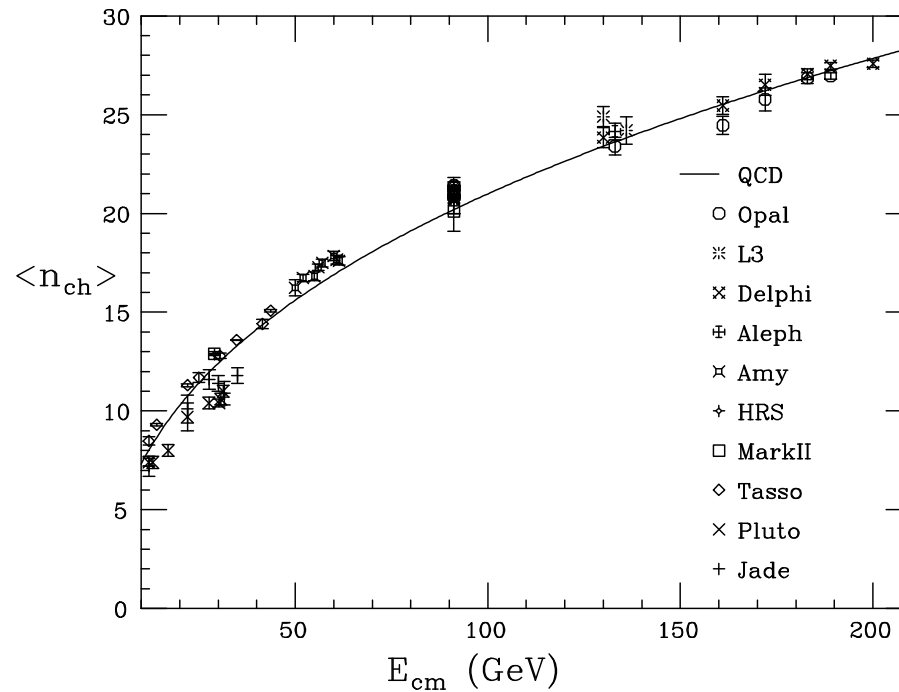


## Average Multiplicity

- Mean number of hadrons is  $N = 1$  moment of fragmentation function:

$$\langle n(s) \rangle = \int_0^1 dx F(x, s) = \tilde{F}(1, s)$$
$$\sim \exp \frac{1}{b} \sqrt{\frac{2C_A}{\pi\alpha_S(s)}} \sim \exp \sqrt{\frac{2C_A}{\pi b} \ln \left( \frac{s}{\Lambda^2} \right)}$$

(plus NLL corrections) in good agreement with data.



# Hadronization Models

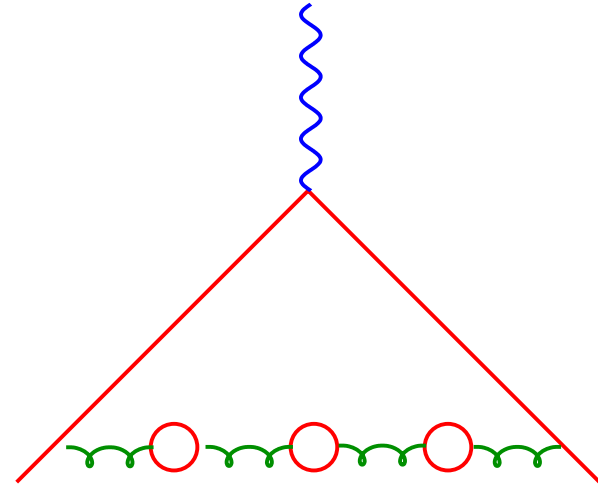
## General ideas

- Local parton-hadron duality
  - ❖ Hadronization is long-distance process, involving small momentum transfers.  
Hence hadron-level flow of energy-momentum, flavour should follow parton level.
  - ❖ Implicit in earlier discussion of jet fragmentation.
  - ❖ Results on spectra and multiplicities support this.
- Universal low-scale  $\alpha_S$ 
  - ❖ PT works well down to very low scales,  $Q \sim 1$  GeV.
  - ❖ Assume  $\alpha_S(Q)$  defined (non-perturbatively) for all  $Q$ .
  - ❖ Good description of heavy quark spectra, event shapes.

## Universal low-scale $\alpha_s$

- Infrared renormalon

$$\begin{aligned}
 F &\sim \int_0^Q \frac{dp_t}{Q} \alpha_s(p_t) \\
 &= \alpha_s(Q) \sum_n \int_0^Q \frac{dp_t}{Q} \left[ b\alpha_s(Q) \ln \frac{Q^2}{p_t^2} \right]^n \\
 &= \alpha_s(Q) \sum_n n! [2b\alpha_s(Q)]^n
 \end{aligned}$$



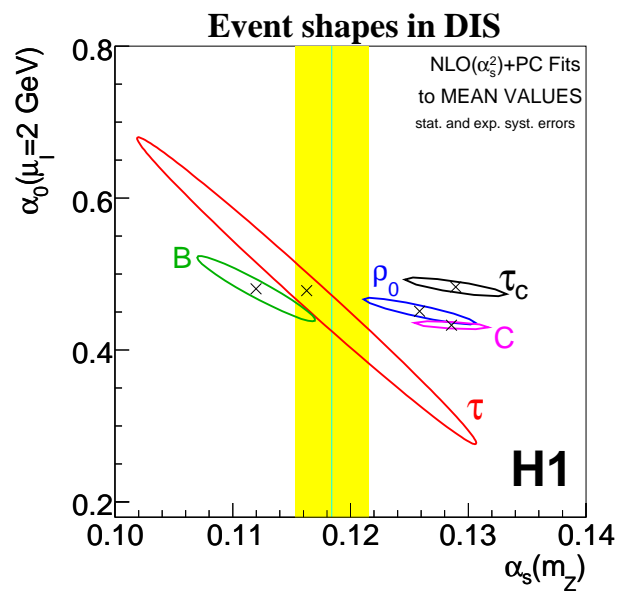
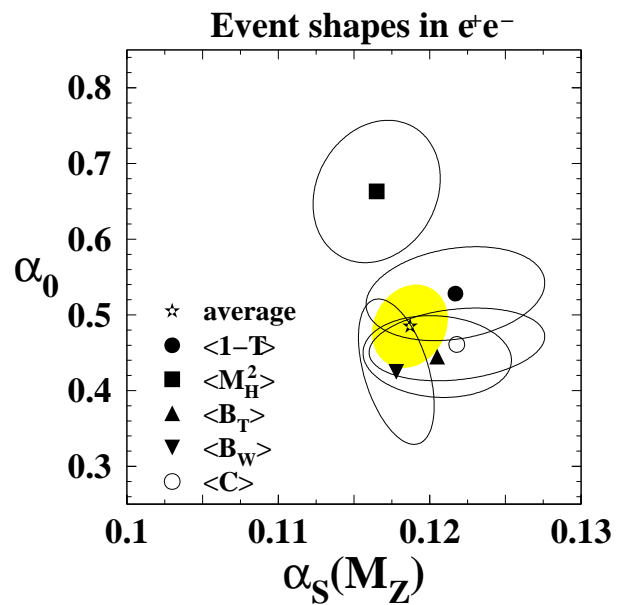
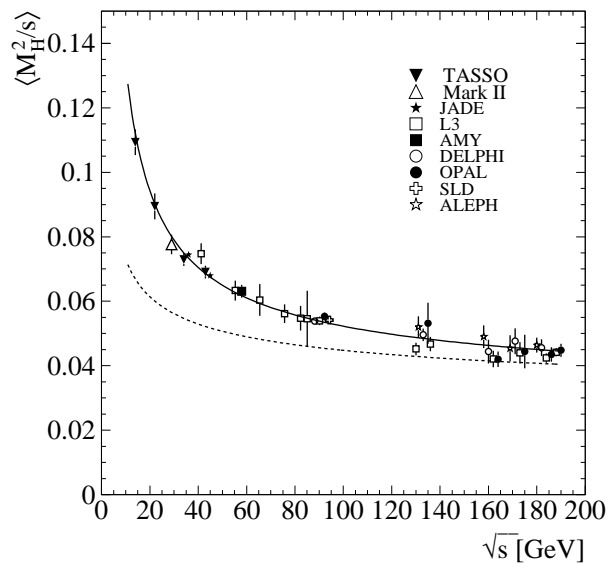
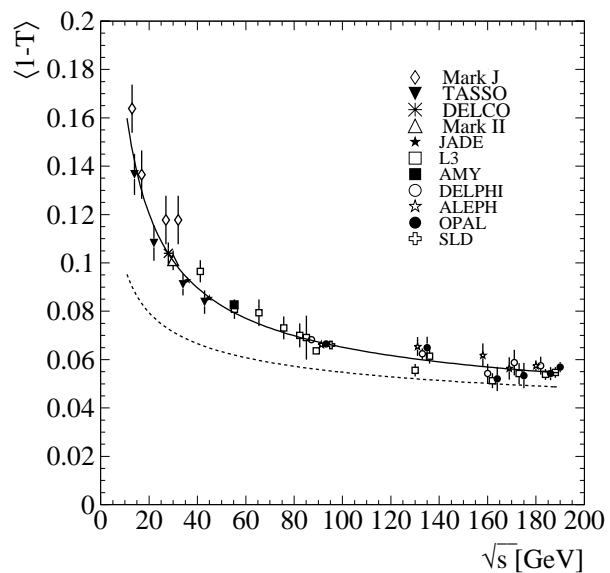
- Divergent series: truncate at smallest term ( $n_m = [2b\alpha_s(Q)]^{-1}$ )  $\Rightarrow$  uncertainty in  $F$

$$\delta F \sim n_m! [2b\alpha_s(Q)]^{n_m} \sim e^{-n_m} = \frac{\Lambda}{Q}$$

- Renormalon is due to infrared divergence of  $\alpha_s$ 
  - ❖ Postulate universal infrared-regular  $\alpha_s$ . Then  $1/Q$  power corrections depend on

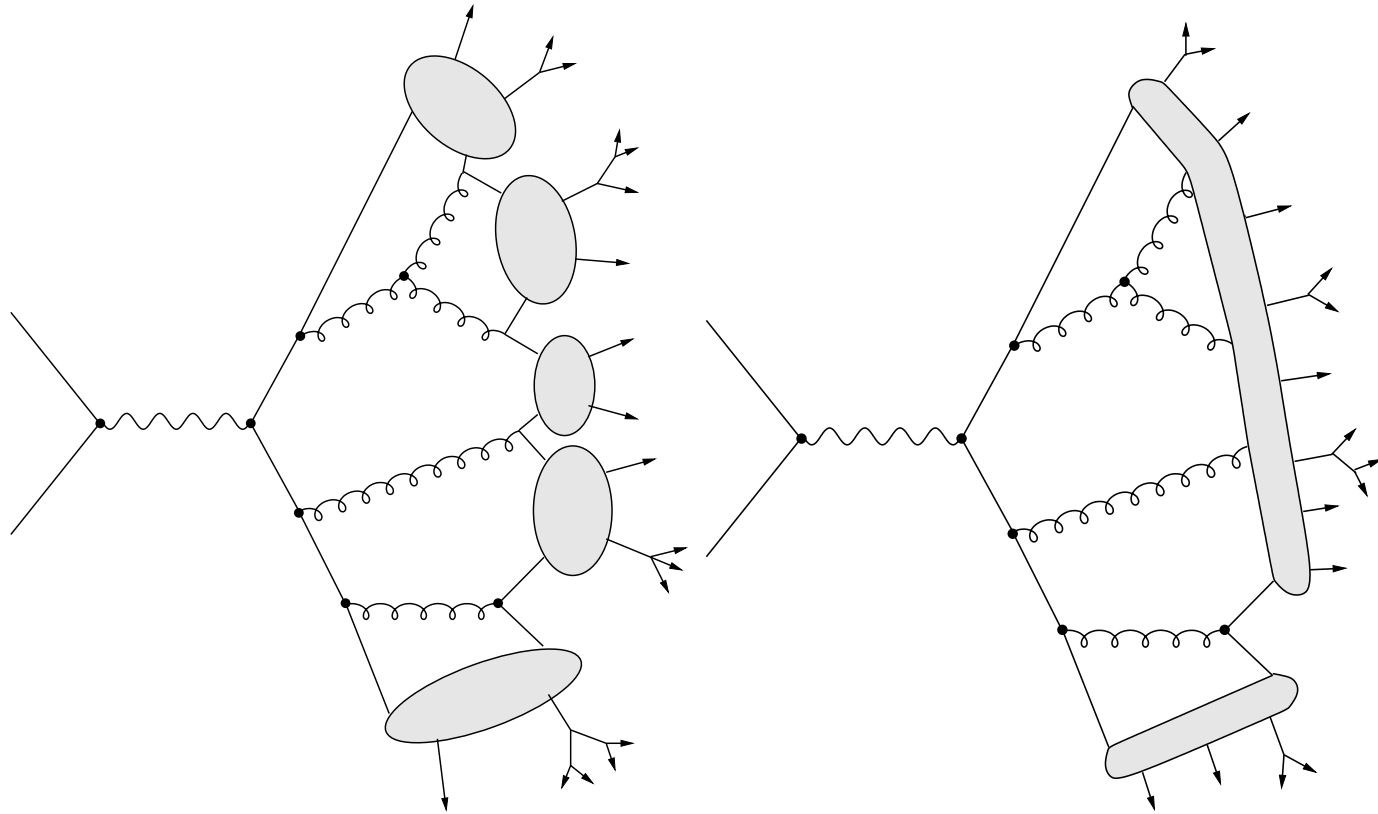
$$\alpha_0(\mu_I) = \frac{1}{\mu_I} \int_0^{\mu_I} \alpha_s(p_t) dp_t$$

- ❖ Match PT and NP at  $\mu_I \sim 2 \text{ GeV}$

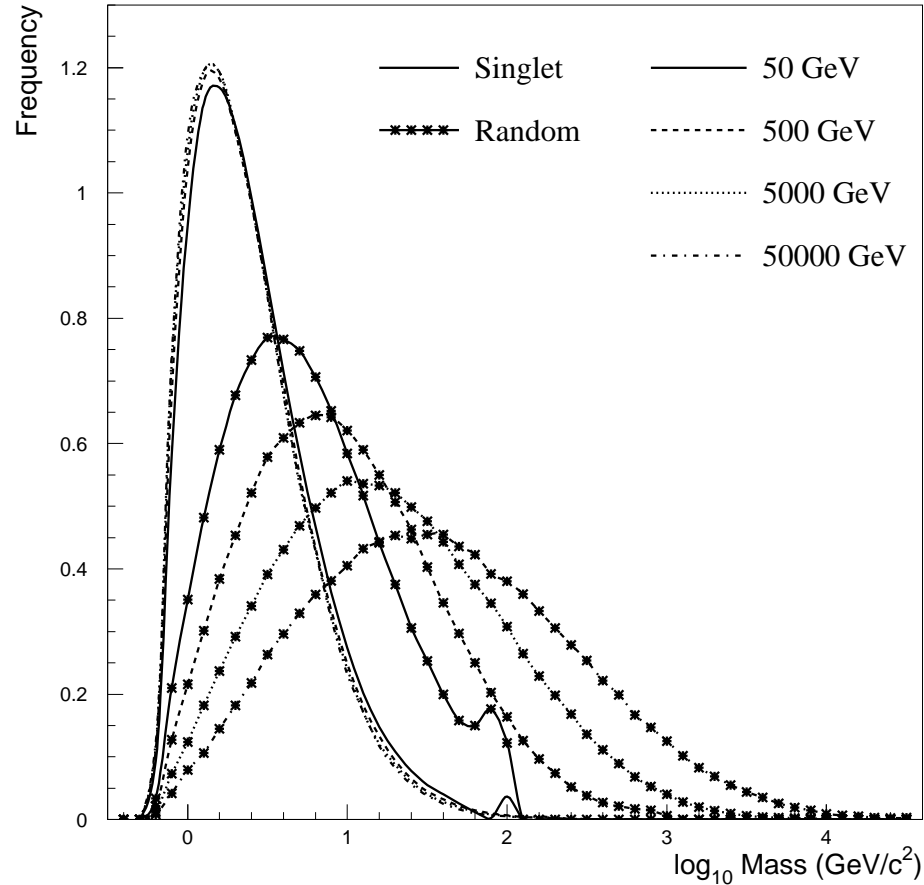


## Specific Hadronization Models

- General ideas do not describe hadron formation. Main current models are **cluster** and **string**.



- Cluster (HERWIG)
  - ❖ Non-perturbative  $g \rightarrow q\bar{q}$  splitting after parton shower.
  - ❖ Colour singlet  $q\bar{q}$  clusters have lower mass due to **preconfinement** property of parton shower.

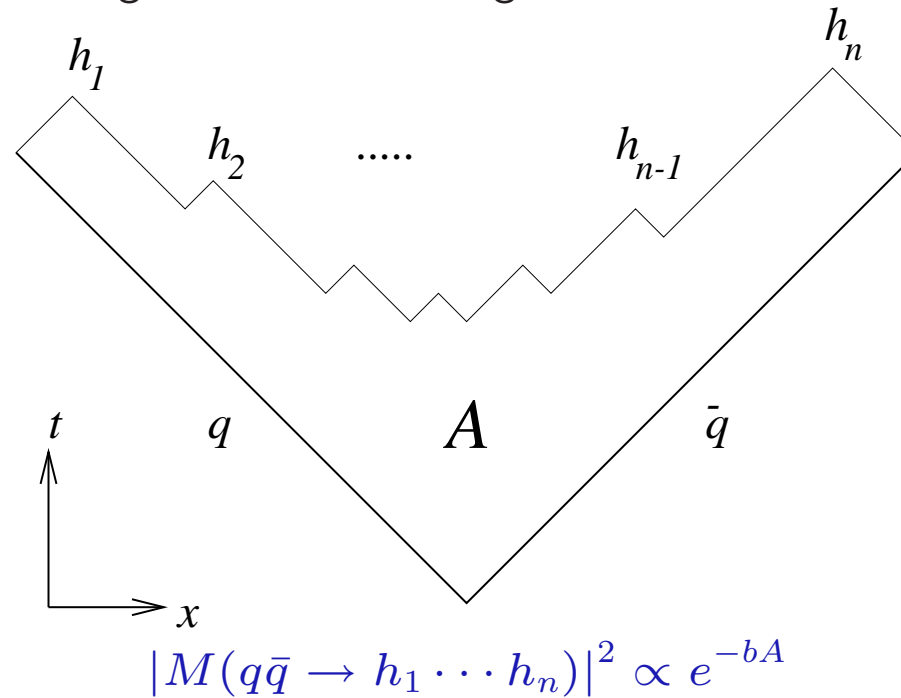


- ❖ Clusters decay according to 2-hadron density of states.
- ❖ Few parameters: natural  $p_T$  and heavy particle suppression
- ❖ Problems with massive clusters, baryons, heavy quarks



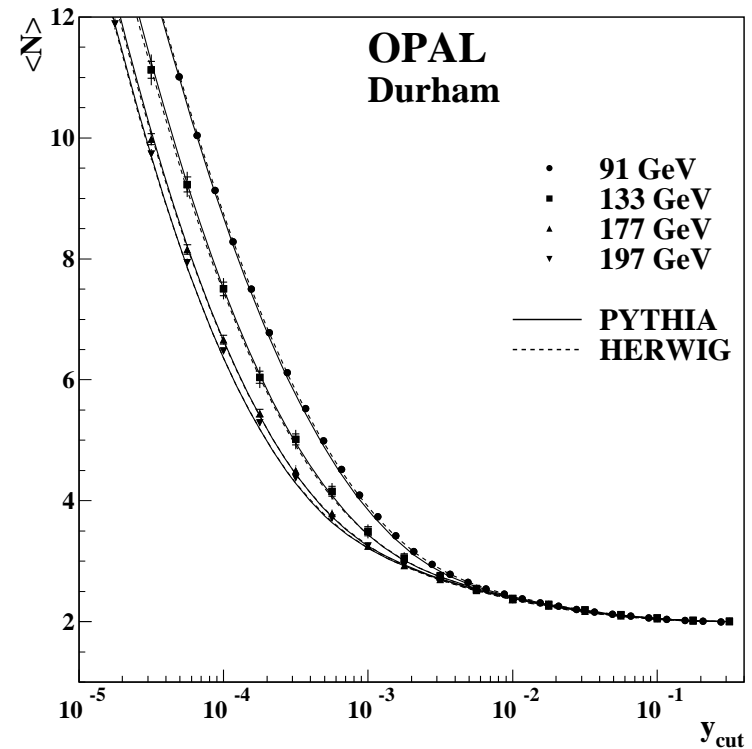
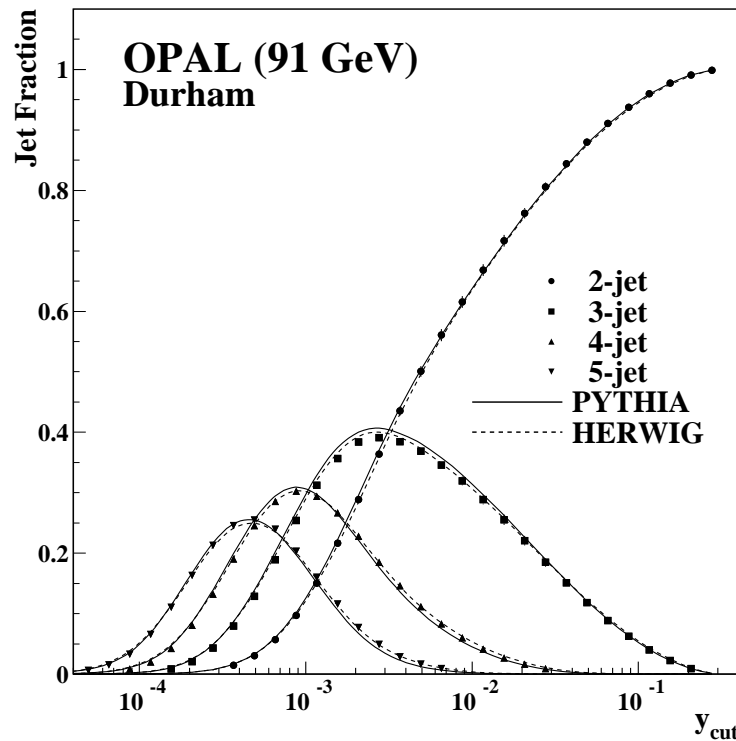
- String (PYTHIA)

- ❖ Uses **string dynamics**: colour string stretched between initial  $q\bar{q}$  breaks up into hadrons via  $q\bar{q}$  pair production.
- ❖ String gives linear confinement potential, area law for matrix elements.
- ❖ Gluons produced in shower give 'kinks' on string.



- ❖ Extra parameters for  $p_T$  and heavy particle suppression.
  - ❖ Some problems with baryons.
- Both models describe  $e^+e^-$  data well . . .

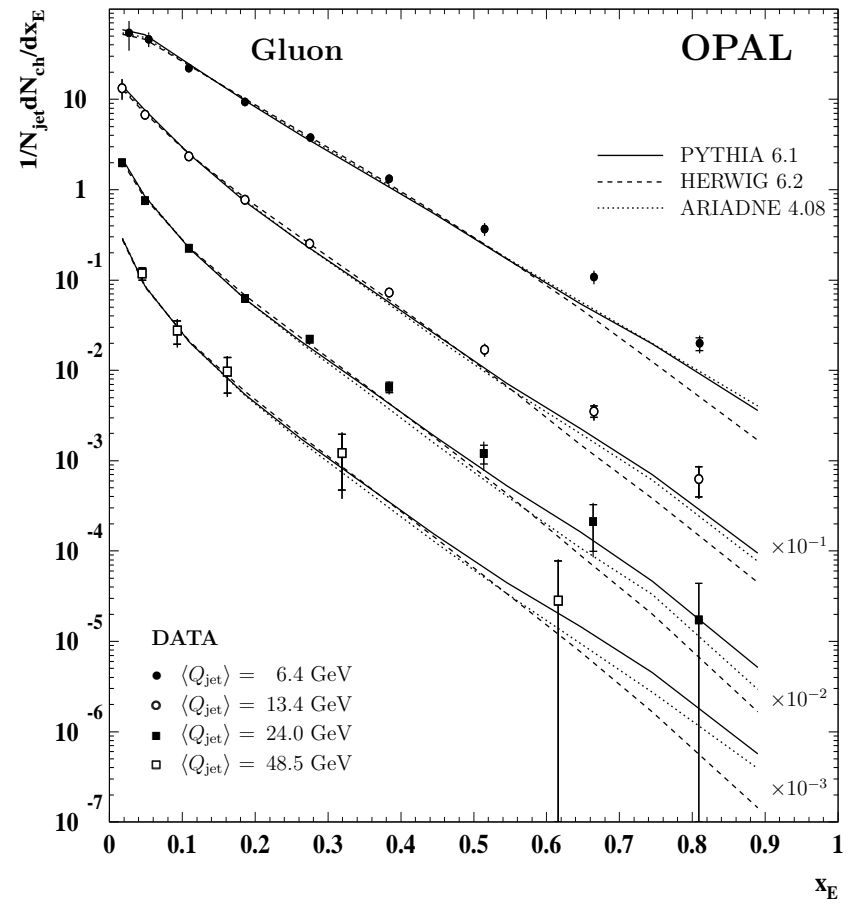
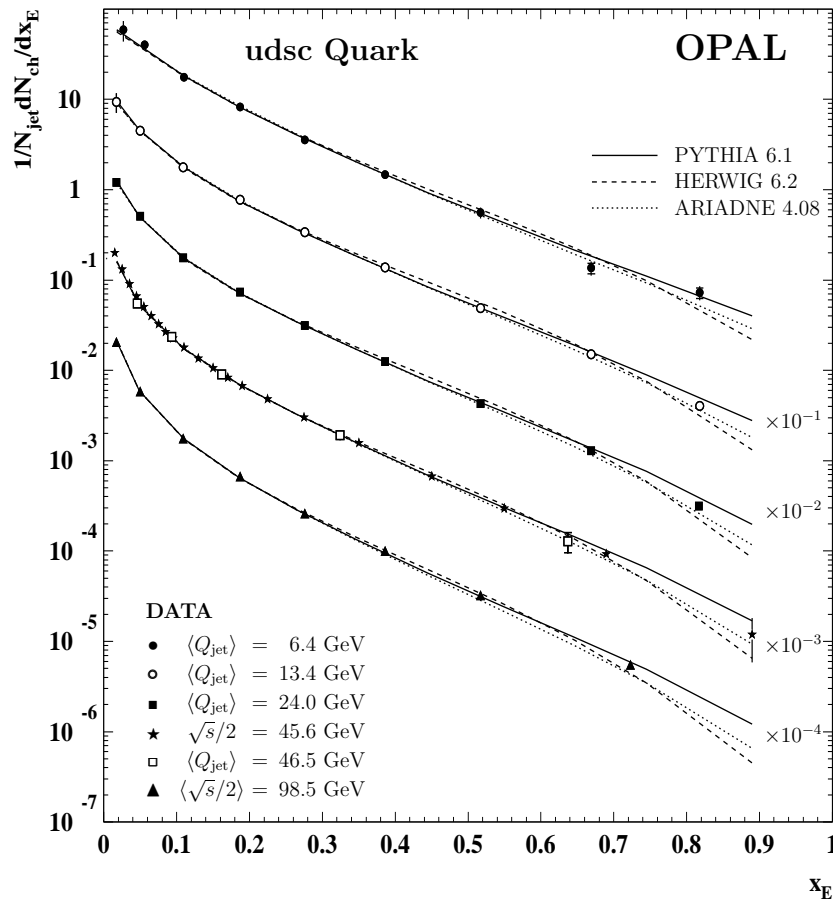
- Jet rates and mean number of jets



- $k_T$  or Durham algorithm:

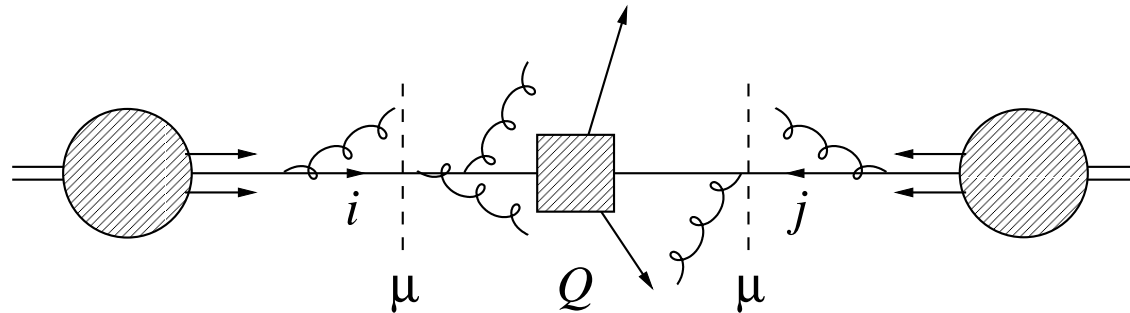
- ❖ Define jet resolution  $y_{\text{cut}}$  (dimensionless).
- ❖ For final-state momenta  $p_i, p_j$  define  $y_{ij} = 2 \min\{E_i^2, E_j^2\}(1 - \cos \theta_{ij})/s$
- ❖ If  $y_{IJ} = \min\{y_{ij}\} < y_{\text{cut}}$ , combine  $I, J$  into one object  $K$  with  $p_K = p_I + p_J$ .
- ❖ Repeat until  $y_{IJ} > y_{\text{cut}}$ . Then remaining objects are jets.

● Light quark and gluon fragmentation functions



## Hadron-Hadron Processes

- In hard hadron-hadron scattering, constituent partons from each incoming hadron interact at short distance (large momentum transfer  $Q^2$ ).



- For hadron momenta  $P_1, P_2$  ( $S = 2P_1 \cdot P_2$ ), form of cross section is

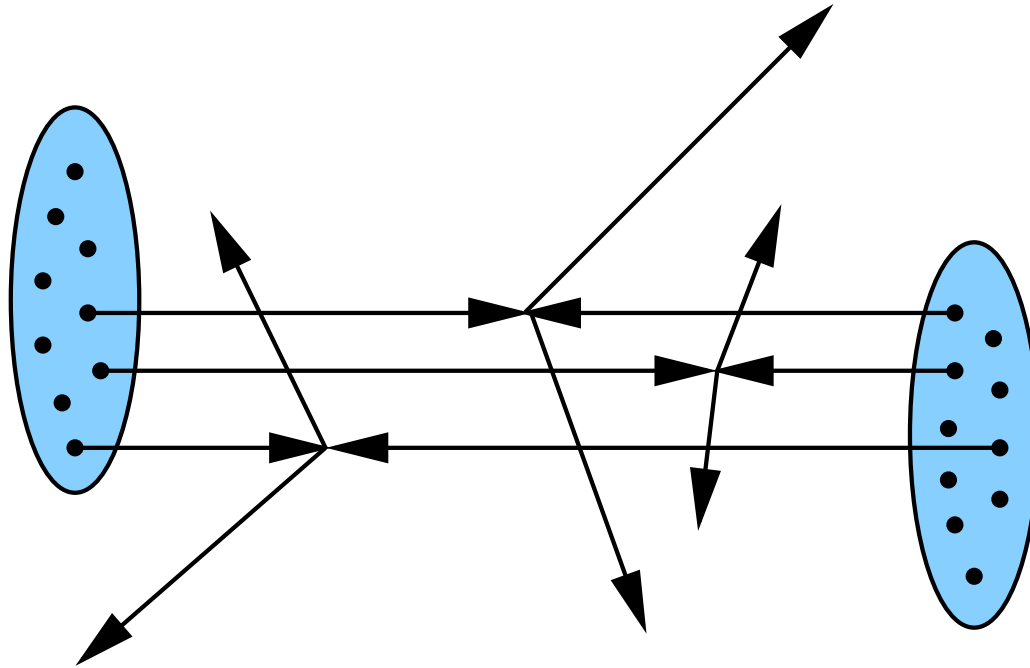
$$\sigma(S) = \sum_{i,j} \int dx_1 dx_2 D_i(x_1, \mu) D_j(x_2, \mu) \hat{\sigma}_{ij}(\hat{s} = x_1 x_2 S, \alpha_S(\mu), Q/\mu)$$

where  $\mu$  is **factorization scale** and  $\hat{\sigma}_{ij}$  is **subprocess** cross section for parton types  $i, j$ .

- ❖ Factorization scale is in principle arbitrary: it affects only what we call part of subprocess or part of initial-state evolution (parton shower).
- ❖ Rapidity of subprocess c.m. frame  $p^\mu = p_1^\mu + p_2^\mu$ :

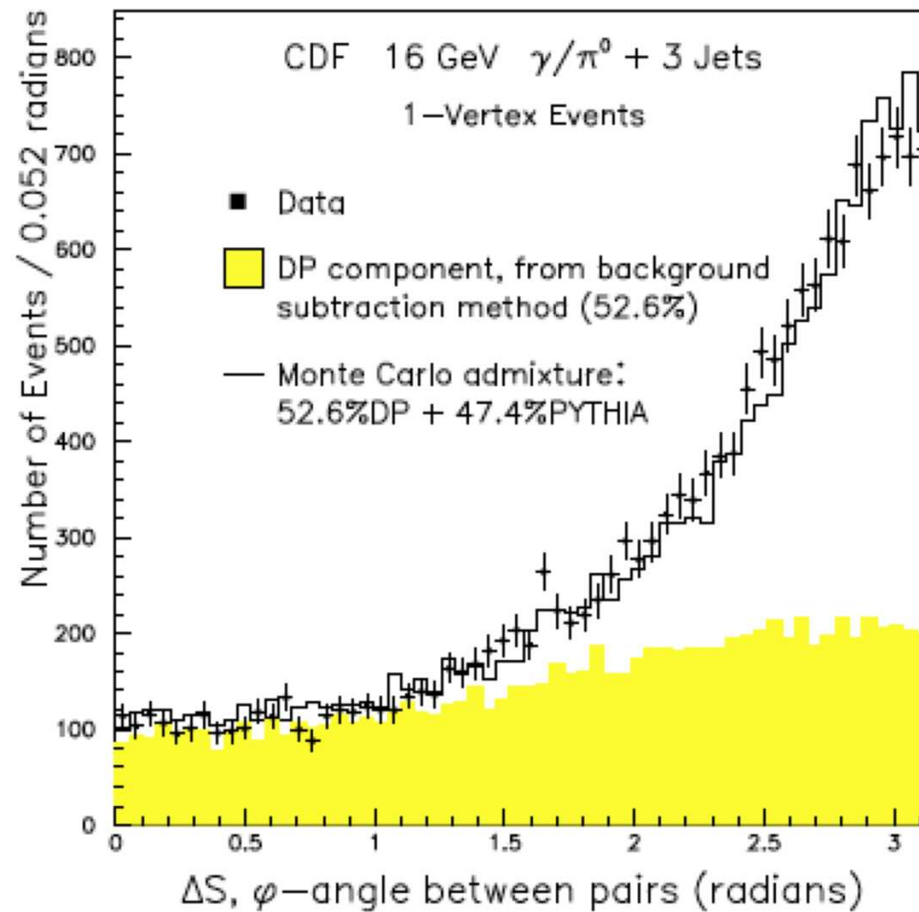
$$y \equiv \frac{1}{2} \ln \left[ (p^0 + p_3)/(p^0 - p_3) \right] = \frac{1}{2} \ln (x_1/x_2)$$

- Unlike  $e^+e^-$  or  $ep$ , we may have interaction between **spectator** partons, leading to *soft underlying event* and/or *multiple hard scattering*.



## Double Parton Scattering

- CDF Collaboration [PR D56 (1997) 3811] studied  $\gamma + 3$  jets.
  - ❖ DPS has 'best-balanced' ( $\gamma + \text{jet}$ ) and dijet uncorrelated in azimuth.



- ❖ They found  $\sigma_{\text{DPS}} = \sigma_{\gamma j} \sigma_{jj} / \sigma_{\text{eff}}$  where  $\sigma_{\text{eff}} = 14 \pm 1.7_{2.3}^{+1.7}$  mb

## Parton-Parton Luminosities

- Useful to define the differential parton-parton luminosity  $dL_{ij}/d\hat{s} dy$  and its integral  $dL_{ij}/d\hat{s}$ :

$$\frac{dL_{ij}}{d\hat{s} dy} = \frac{1}{S} \frac{1}{1 + \delta_{ij}} [D_i(x_1, \mu) D_j(x_2, \mu) + (1 \leftrightarrow 2)] .$$

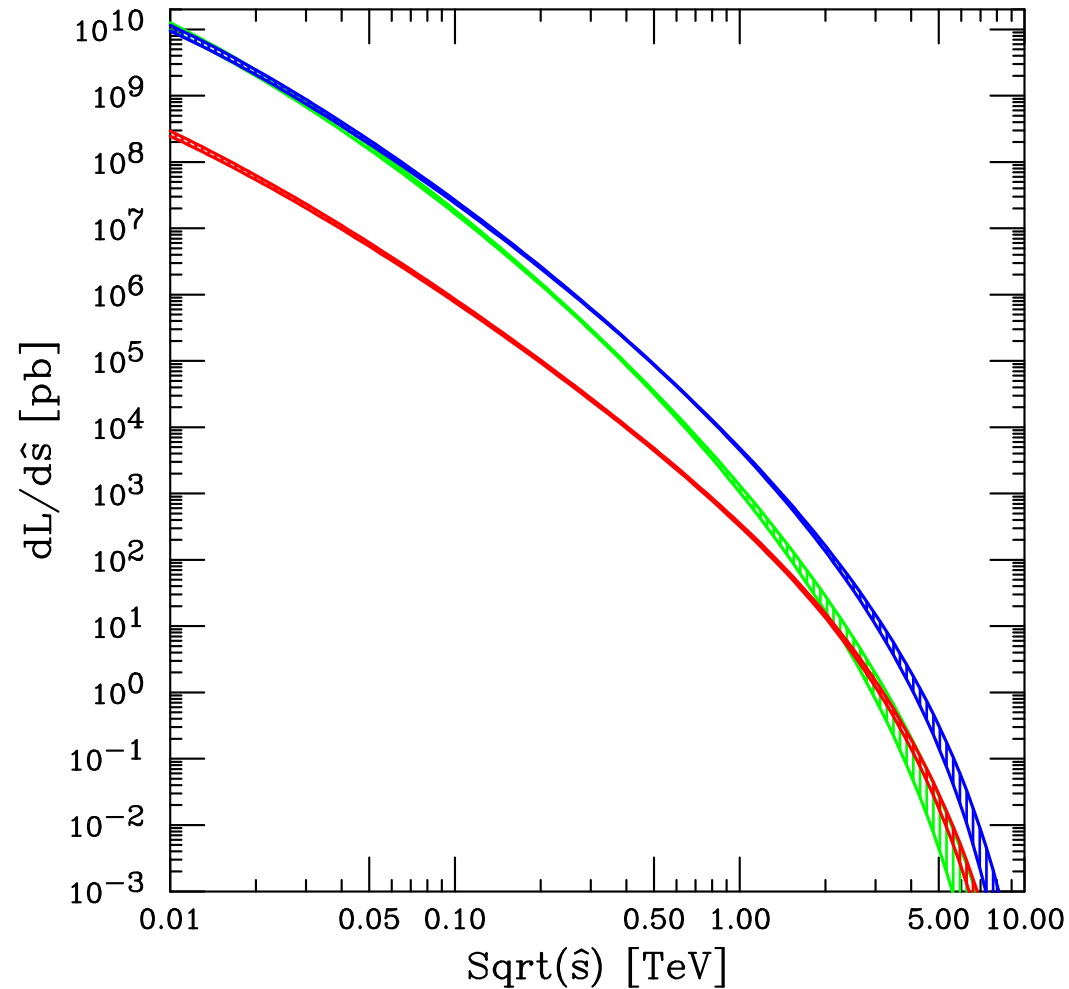
Factor with Kronecker delta avoids double-counting when partons are identical.

- We have  $d\hat{s} dy = S dx_1 dx_2$  and hence

$$\begin{aligned} \sigma &= \sum_{i,j} \int d\hat{s} dy \left( \frac{dL_{ij}}{d\hat{s} dy} \right) \hat{\sigma}_{ij}(\hat{s}) \\ &= \sum_{i,j} \int d\hat{s} \left( \frac{dL_{ij}}{d\hat{s}} \right) \hat{\sigma}_{ij}(\hat{s}) \end{aligned}$$

- This can be used to estimate the production rate for subprocesses at LHC.

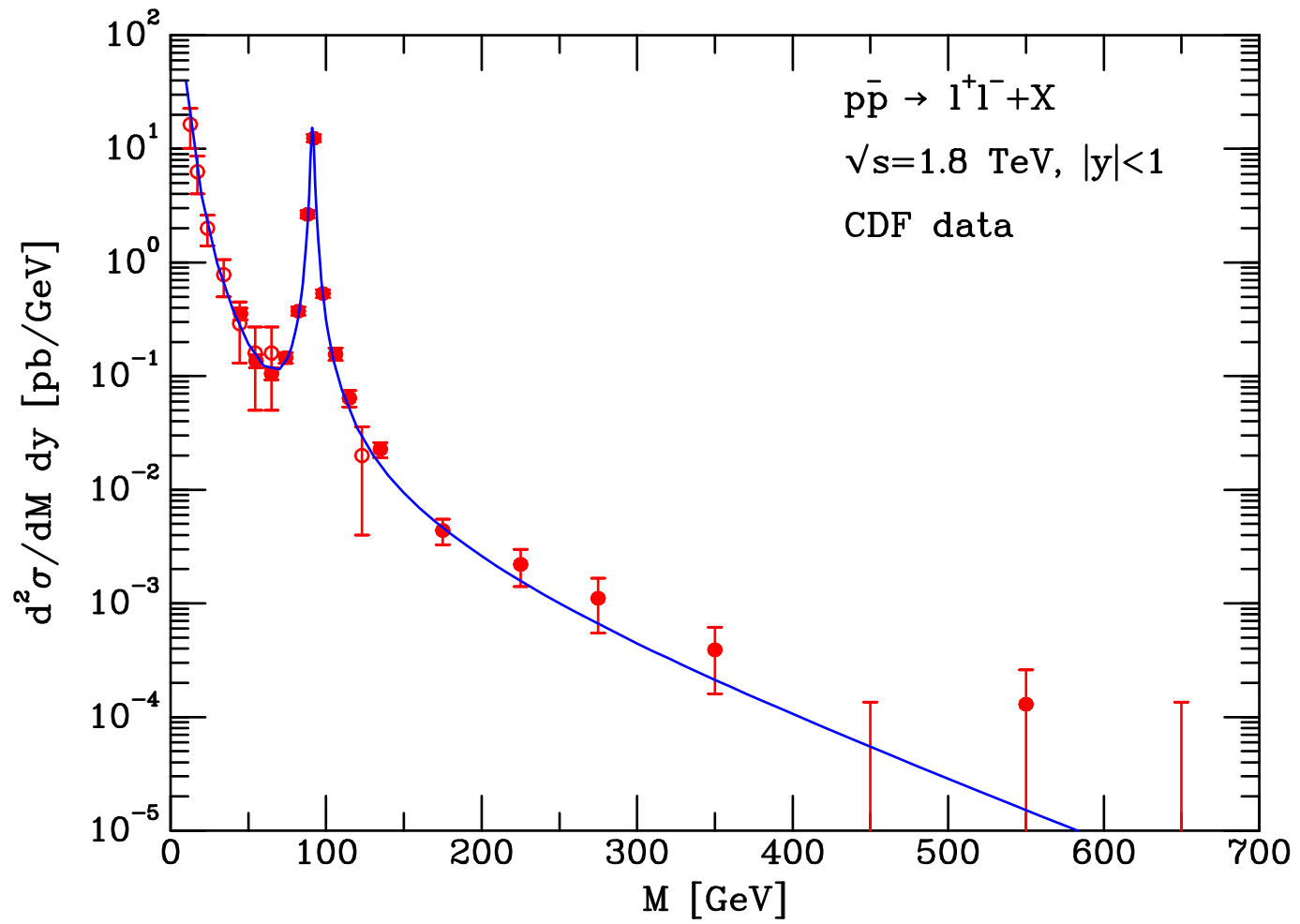
- Figure shows parton-parton luminosities at  $\sqrt{s} = 14$  TeV for various parton combinations, calculated using the CTEQ6.1 parton distribution functions and scale  $\mu = \sqrt{\hat{s}}$ . Widths of curves estimate PDF uncertainties.



Green =  $gg$ , Blue =  $gq + g\bar{q} + qq + \bar{q}q$ , Red =  $q\bar{q} + \bar{q}q$  ( $q = d + u + s + c + b$ ).



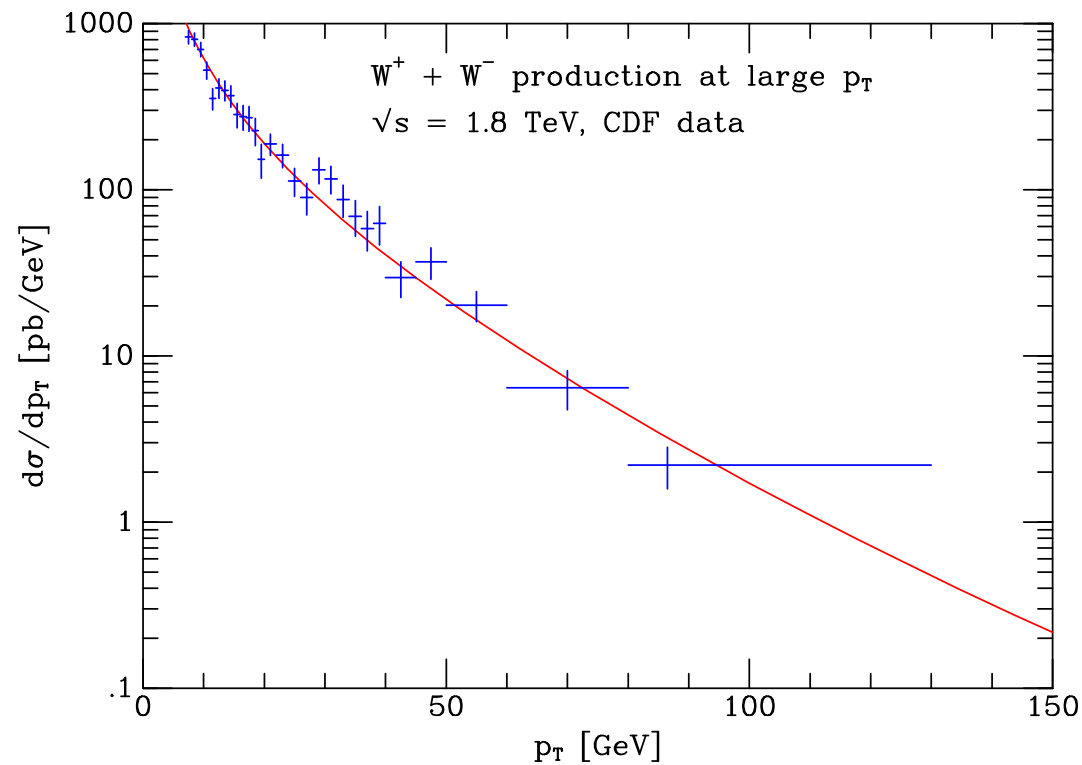




- $W^\pm$  boson production is similar, except sensitive to different parton distributions, e.g.

$$u\bar{d} \rightarrow W^+ \rightarrow l^+\nu_l$$

- Transverse momentum of lepton pair,  $p_T$  measures net transverse momentum of colliding partons plus any *intrinsic*  $p_T$ :

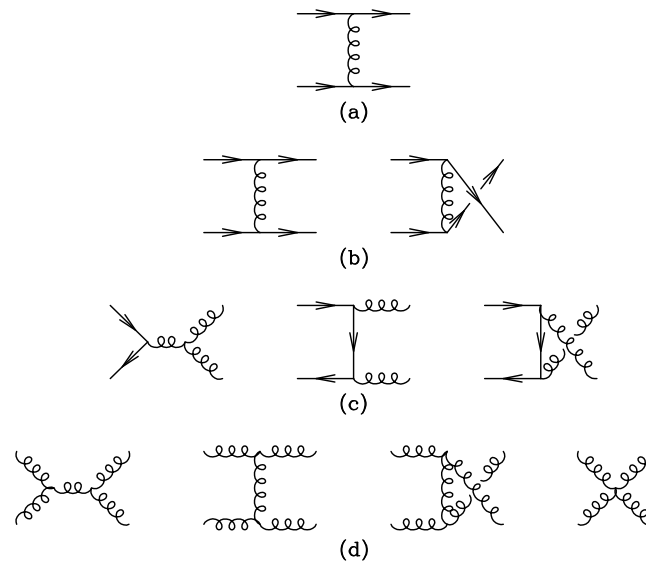


## Jet Production

- Lowest-order subprocess for purely hadronic jet production is  $2 \rightarrow 2$  scattering  $p_1 + p_2 \rightarrow p_3 + p_4$

$$\begin{aligned} \frac{d\hat{\sigma}}{d\Phi_{34}} &\equiv \frac{E_3 E_4 d^6\hat{\sigma}}{d^3\mathbf{p}_3 d^3\mathbf{p}_4} \\ &= \frac{1}{32\pi^2 \hat{s}} \sum |\mathcal{M}|^2 \delta^4(p_1 + p_2 - p_3 - p_4) . \end{aligned}$$

- Many processes even at  $\mathcal{O}(\alpha_S^2)$ :



- **Single-jet inclusive** cross section obtained by integrating over one outgoing momentum:

$$\begin{aligned} \frac{E d^3 \hat{\sigma}}{d^3 \mathbf{p}} &= \frac{d^3 \hat{\sigma}}{d^2 \mathbf{p}_T dy} \longrightarrow \frac{1}{2\pi E_T} \frac{d^3 \hat{\sigma}}{dE_T d\eta} \\ &= \frac{1}{16\pi^2 \hat{s}} \overline{\sum} |\mathcal{M}|^2 \delta(\hat{s} + \hat{t} + \hat{u}) \end{aligned}$$

where (neglecting jet mass)

$$E_T \equiv E \sin \theta = |\mathbf{p}_T|, \quad \eta \equiv -\ln \tan(\theta/2) = y.$$

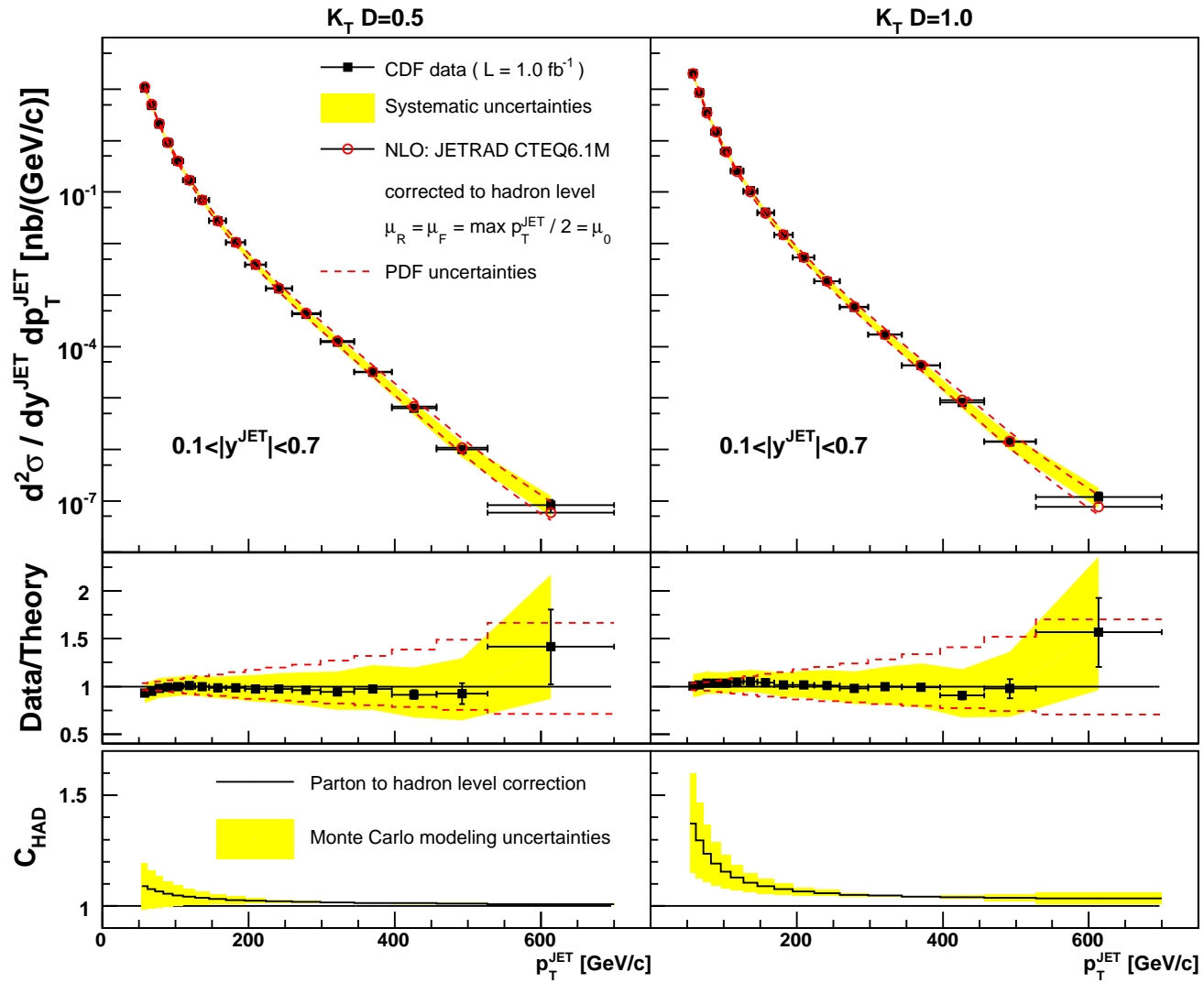
- Jets can be defined by the  **$k_T$  algorithm**:
  - ❖ For each final-state momentum  $p_i$  and each pair of final-state momenta  $p_i, p_j$ , define

$$k_{Ti} = E_{Ti}, \quad k_{Tij} = \min\{E_{Ti}, E_{Tj}\} \Delta R_{ij} / D$$

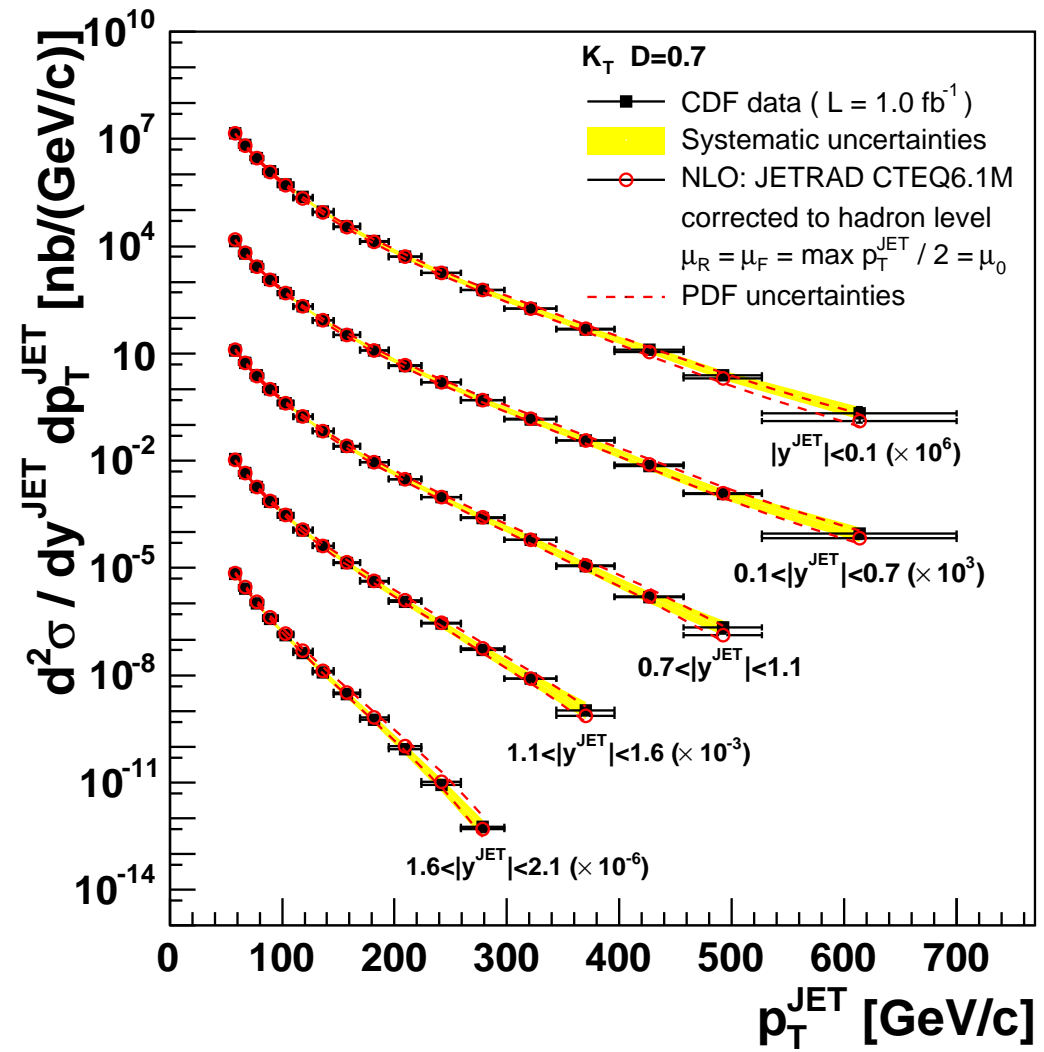
where  $\Delta R_{ij} = \sqrt{(\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2}$  and  $D =$  dimensionless parameter for angular size of jets ( $D = 0.5 - 1.0$ )

- ❖ If  $k_{TI}$  is the smallest in the list of  $\{k_{Ti}, k_{Tij}\}$ , define  $I$  as a jet and remove from list.
  - ❖ If  $k_{TIJ}$  is the smallest, combine  $I, J$  into one object  $K$  with  $p_K = p_I + p_J$ .
  - ❖ Repeat until list is empty.
- Use  $\eta$  rather than  $\theta$  for invariance under longitudinal boosts:  $x_1 \rightarrow ax_1, x_2 \rightarrow x_2/a$  gives  $\eta_i \rightarrow \eta_i + \ln a$ , so  $\eta_i - \eta_j$  is invariant.

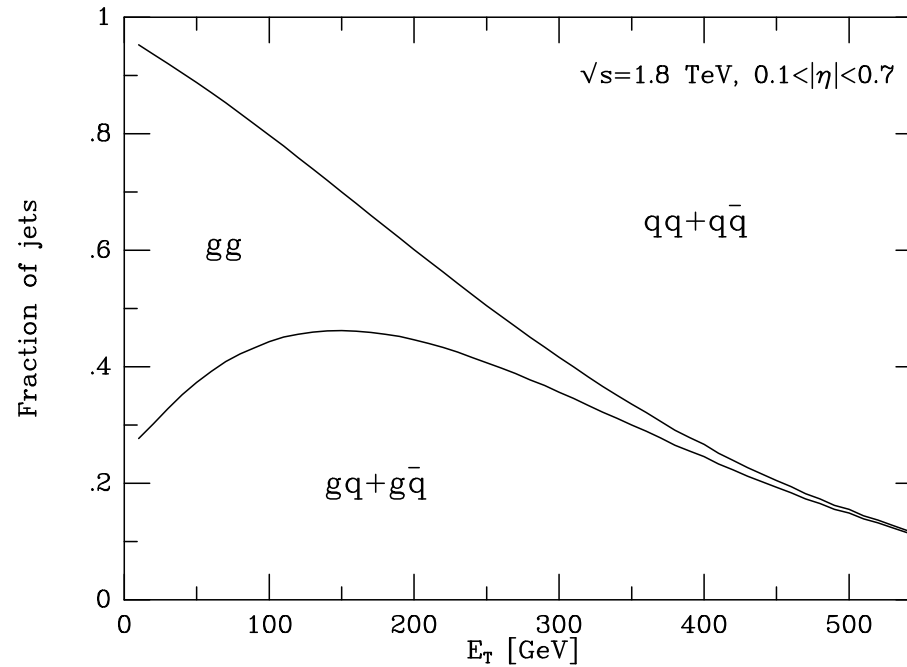
- NLO predictions and data agree very well:



● Rapidity dependence:



- Contribution of different parton combinations determined by subprocess cross sections and parton distributions.



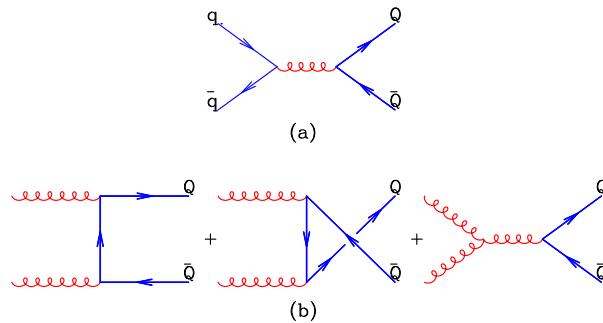
- Quarks dominate at large  $E_T$  since this selects large  $x_{1,2}$ :

$$\hat{s} = x_1 x_2 S > 4E_T^2$$

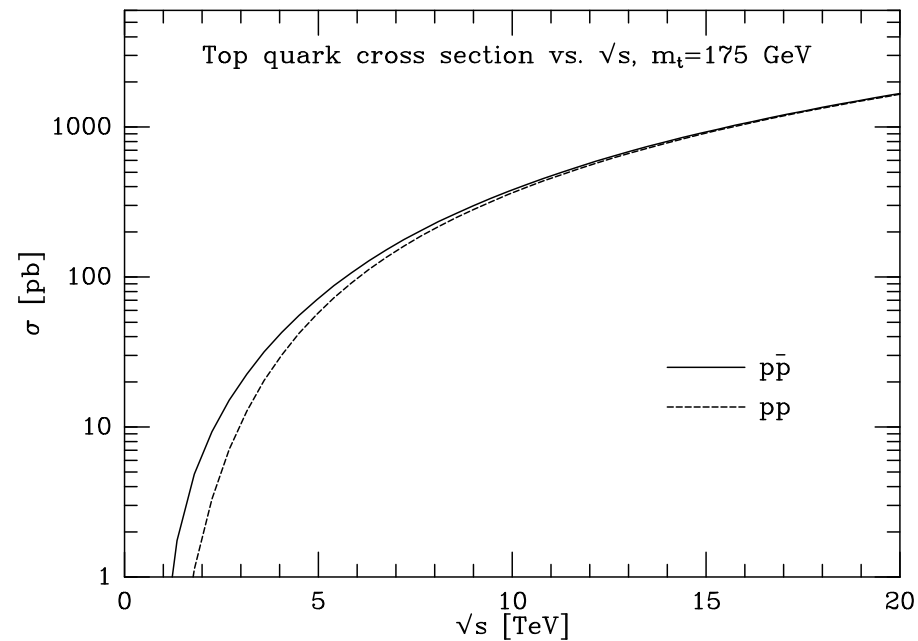


# Heavy Quark Production

- Lowest-order subprocesses for heavy quark production are (a) light quark-antiquark annihilation (10% at LHC) and (b) gluon-gluon fusion (90% at LHC)

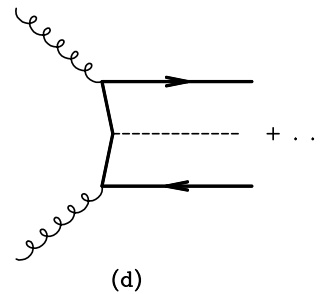
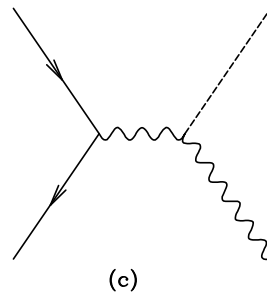
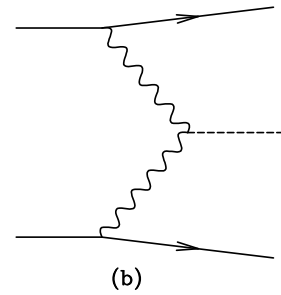
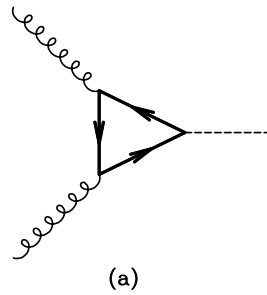


- NLO top quark cross section =  $840 \pm 30(\text{scale}) \pm 20(\text{pdf})$  pb at LHC

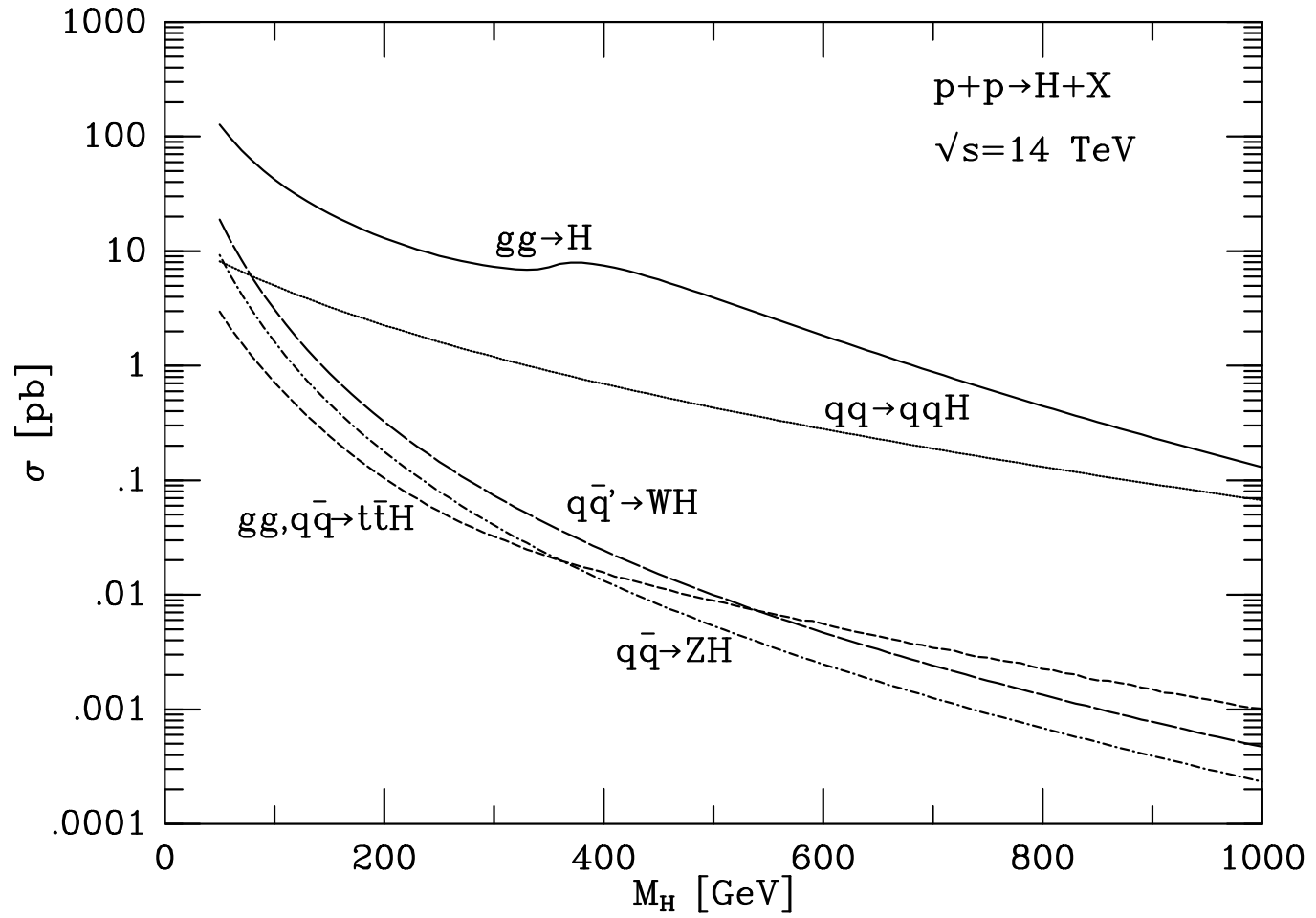


# Standard Model Higgs Boson Production

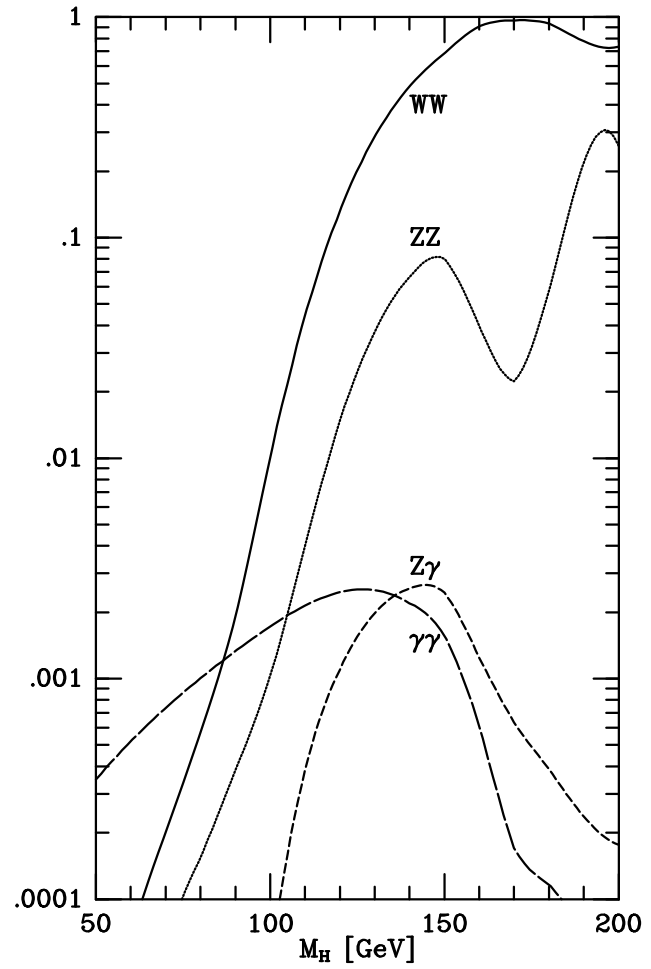
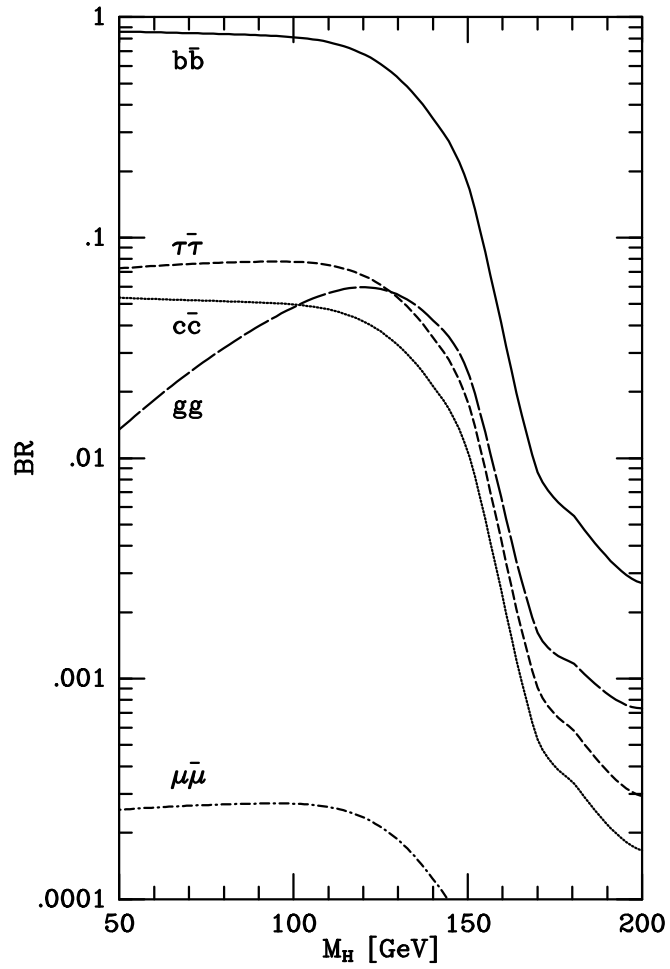
- Lowest-order subprocesses for Higgs boson production at hadron colliders:
  - (a) Gluon-gluon fusion (via top loop)
  - (b) Vector boson fusion
  - (c) Associated production with  $W, Z$  boson
  - (d) Associated production with  $t\bar{t}$ .



● NLO Higgs cross sections



- Discovery decay channels depend on Higgs mass



## Status of NLO Calculations for LHC (2007)

- 2 → 2 parton processes — all available, e.g. in MCFM (CaEi\*)
- 2 → 3 parton processes

| Final State            | Authors*                 | Comments              |
|------------------------|--------------------------|-----------------------|
| 3 jets                 | KuSiTr,BerDixKo,GiKi,Na  | Public code available |
| $V + 2$ jets           | EiCa,CaGIMi              | Public code available |
| $V b \bar{b}$          | EiCa                     | Massless $b$ quarks   |
| $V b \bar{b}$          | ReFeWa                   | Massive $b$ quarks    |
| $H + 2$ jets           | FiOlZep                  | Vector boson fusion   |
| $H + 2$ jets           | CaEiZa                   | Gluon fusion          |
| $VV + 2$ jets          | JaOlZep                  | Vector boson fusion   |
| $\gamma\gamma$ jet     | deFKu,DelMalNaTr,BiGuMah |                       |
| $t\bar{t}H, b\bar{b}H$ | ReDaWaOr,BeeDitKrPISpZer |                       |
| $t\bar{t}$ jet         | DitUwWe                  |                       |
| $HHH$                  | PIRa,BiKarKauRu          |                       |
| $WW$ jet               | DiKalUw                  |                       |
| $ZZZ$                  | LaMePe                   |                       |

\*Beenakker,Bern,Binoth,Campbell,Dawson,deFlorian,DelDuca,Dittmaier,Dixon,Ellis,FebresCordero,Figy,Giele,Glover,Guillet,Jager,Kallweit,Karg,Kauer,Kilgore,Kramer,Kosower,Kunszt,Lazopoulos,Mahmoudi,Maltoni,Melnikov,Miller,Nagy,Oleari,Orr,Petriello,Plehn,Plumper,Rauch,Reina,Ruckl,Signer,Spira,Troscanyi,Uwer,Wackeroth,Weinzierl,Zanderighi,Zeppenfeld,Zerwas

## NLO Update (Glover, LP2009)

| Final State        | Authors*                               | Comments                         |
|--------------------|--|----------------------------------|
| $W + 3\text{jets}$ | BBDFFGIKM <sup>a</sup>                 |                                  |
| $VV b \bar{b}$     | vHPP <sup>b</sup>                      |                                  |
| $H + 3\text{jets}$ | FHZ <sup>c</sup>                       | Vector boson fusion              |
| $t\bar{t}b\bar{b}$ | BDDP <sup>d</sup> , BCPPW <sup>e</sup> |                                  |
| $t\bar{t}Z$        | LMMP <sup>f</sup>                      |                                  |
| $VVV$              | BOPP <sup>g</sup>                      | $WZZ, WWZ, WWW$                  |
| multijets          | GZ <sup>h</sup>                        | $gg \rightarrow$ up to 20 gluons |

<sup>a</sup>Berger, Bern, Dixon, Febres Cordero, Forde, Gleisberg, Ita, Kosower, Maitre

<sup>b</sup>van Hameren, Papadopoulos, Pittau

<sup>c</sup>Figy, Hankele, Zeppenfeld

<sup>d</sup>Bredenstein, Denner, Dittmaier, Pozzorini

<sup>e</sup>Bevilacqua, Czakon, Papadopoulos, Pittau, Worek

<sup>f</sup>Lazopoulos, McElmurry, Melnikov, Petriello

<sup>g</sup>Binoth, Ossola, Papadopoulos, Pittau

<sup>h</sup>Giele, Zanderighi

● Les Houches 2007 wish list of “feasible” NLO calculations

| Final State         | Relevance   | Progress?               |
|---------------------|---|-------------------------|
| $V V$ jet           | $t\bar{t}H$ , new physics                                   | $VV = \gamma\gamma, WW$ |
| $V V V$             | SUSY trilepton  | Done                    |
| $V V b\bar{b}$      | $VBF \rightarrow H \rightarrow VV, t\bar{t}H$ , new physics | Done                    |
| $V V + 2$ jets      | $VBF \rightarrow H \rightarrow VV$                          | VBF                     |
| $V + 3$ jets        | various new physics signatures                              | $W + 3$ jets            |
| $t\bar{t} + 2$ jets | $t\bar{t}H$   | $t\bar{t}Z$             |
| $t\bar{t} b\bar{b}$ | $t\bar{t}H$   | Done                    |
| $b\bar{b} b\bar{b}$ | $t\bar{t}H$   |                         |
| 4 jets              | various new physics signatures                              | $gg \rightarrow gggg$   |

● “Done” does not necessarily mean a (parton-level) event generator exists

- ❖ Time for matrix element generation?
- ❖ Sum over spins and colours?
- ❖ Decays of unstable particles (with spin correlations)?
- ❖ Efficient phase space generation and unweighting?
- ❖ Interfacing to parton showers and hadronization?

## Summary of Lecture 2

- Jet fragmentation functions also obey DGLAP evolution equations.
  - ❖ Scaling violation seen in  $e^+e^-$ .
  - ❖ Soft gluon coherence  $\Rightarrow$  angular-ordered branching.
  - ❖ Small- $x$  fragmentation sensitive to coherence effects.
  - ❖ Gaussian peak in  $\ln(1/x)$ , peak position shows coherence.
  - ❖ Average hadron multiplicity predicted.
- Hadronization models needed for simulation of full final states.
  - ❖ General ideas describe spectra and event shapes.
    - $\rightarrow$  Local parton-hadron duality  $\Rightarrow$  small- $x$  hadron spectra.
    - $\rightarrow$  Universal low-scale  $\alpha_S \Rightarrow \langle \alpha_S(q < 2 \text{ GeV}) \rangle \sim 0.5$ .
  - ❖ Specific models needed for hadron distributions.
    - $\rightarrow$  String model (PYTHIA).
    - $\rightarrow$  Cluster model (HERWIG).
- In hadron-hadron processes, factorization permits cross section calculations.
  - ❖ Parton-parton luminosities important: uncertainties  $\sim 10 - 20\%$ .
  - ❖ Lepton-pair, jet, top and Higgs production reliably predicted (NLO or NNLO).
  - ❖ All  $2 \rightarrow 2$  and many  $2 \rightarrow 3$  subprocesses predicted to NLO.